

$SL(3, \mathbb{Z})$ modularity of $\mathcal{N} = 4$ SYM

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1 Introduction

2 Physics part: modularity

- Review of 2d $SL(2, \mathbb{Z})$ modularity
- The development of AdS_5 black holes

3 Mathematics

4 Future works

Introduction

- Black hole entropy and temperature should be understood from quantum gravity point of view.

$$S = \frac{Ac^3}{4G\hbar}$$

- Strominger and Vafa's work in 1996
- $\text{AdS}_3/\text{CFT}_2$ correspondence: modularity relation
- How can one generalize these understandings to higher dimensional AdS black holes?

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AdS₃/CFT₂

The first example of counting the microscopic states of black hole can be understood as AdS₃/CFT₂. The Bekenstein-Hawking entropy of BTZ black hole can be written as

$$S = \frac{A}{4G} = \pi \sqrt{\frac{\ell(\ell\mathcal{M} + \mathcal{J})}{2G}} + \pi \sqrt{\frac{\ell(\ell\mathcal{M} - \mathcal{J})}{2G}}$$

The AdS/CFT correspondence relates the conformal dimension to energy of black hole, and spin to the angular momentum

$$\mathcal{M} \leftrightarrow \Delta, \quad \mathcal{J} \leftrightarrow s$$

We can also split the 2d CFT into the left moving modes and the right moving modes as

$$L_0 - \frac{c}{24} = \ell\mathcal{M} + \mathcal{J}, \quad \bar{L}_0 - \frac{c}{24} = \ell\mathcal{M} - \mathcal{J}$$

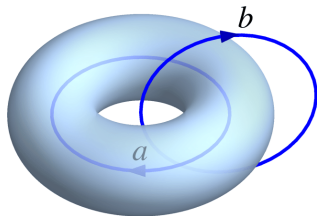
Then understanding the entropy reduces to understanding the Cardy formula from CFT₂

$$S = 2\pi \sqrt{\frac{c}{6} \left(L_0 - \frac{c}{24} \right)} + 2\pi \sqrt{\frac{c}{6} \left(\bar{L}_0 - \frac{c}{24} \right)}$$

Modularity

The 2d partition function $Z[\tau, \bar{\tau}] = \text{Tr} e^{2\pi i \tau L_0} e^{-2\pi i \bar{\tau} \bar{L}_0}$ satisfies the $SL(2, \mathbb{Z})$ modularity

$$Z_0[\tau] = Z_0\left[-\frac{1}{\tau}\right], \quad Z_0[\tau] = Z[\tau] e^{-2\pi i \tau \frac{c}{24}}$$



This indicates the asymptotic expansion of partition function near $\tau \rightarrow 0$ is

$$Z_0[\tau] \rightarrow e^{\frac{2\pi i c}{24\tau} + \frac{2\pi i c \tau}{24}} \times (1 + \dots)$$

Cardy formula

The degeneracy of states with given energy is determined by inverse Legendre transformation

$$\rho(\Delta) = \int e^{-2\pi i \tau \Delta} e^{\frac{2\pi i c}{24\tau} + \frac{2\pi i c \tau}{24}} Z\left[-\frac{1}{\tau}\right] d\tau$$

Since $Z\left[-\frac{1}{\tau}\right] \rightarrow 1$, we can evaluate this integral by saddle point approximation

$$\tau = i\sqrt{\frac{c}{24\Delta}}$$

Then we get the Cardy formula

- This means in the high temperature, the universal behavior of $Z_0[\tau]$

Casimir energy $+ \frac{1}{24}c$

- The modularity originates from conformal symmetry

How to generalize this to higher dimensions?

Large AdS black hole

We consider black holes in $\text{AdS}_5 \times S^5$, which includes two angular momenta and three R-charges Q_1, Q_2, Q_3 . Our first task is of course to find the large AdS_5 black hole solution. This is a rather difficult problem since Einstein gravity is a non-linear equation.

- (Chong, Cvetic, Lv, Pope): non-supersymmetric solutions but some equal charge/angular momentum

2.1 The Non-Extremal Black Holes

Since there are no solution-generating techniques available for constructing non-extremal rotating black holes in gauged supergravities, our procedure for obtaining them depends to a large extent on a combination of guesswork and conjecture, followed by an explicit verification that the equations of motion are indeed satisfied. Here, we simply present the outcome of this process.

- (Kunduri, Lucietti, Reall): only BPS black hole solutions.

$$E = J_1 + J_2 + Q_1 + Q_2 + Q_3$$

The most general BPS black hole was found in 0601156.

- (Wu): the most general non-supersymmetric solution

Solution

$$ds^2 = -(H_1 H_2 H_3)^{-2/3} (dt + \omega_\phi d\phi + \omega_\psi d\psi)^2 + (H_1 H_2 H_3)^{1/3} h_{mn} dx^m dx^n, \quad (74)$$

where

$$H_I = 1 + \frac{\sqrt{\Xi_a \Xi_b} (1 + g^2 \mu_I) - \Xi_a \cos^2 \theta - \Xi_b \sin^2 \theta}{g^2 r^2}, \quad (75)$$

$$\begin{aligned} h_{mn} dx^m dx^n = & r^2 \left\{ \frac{dr^2}{\Delta_r} + \frac{d\theta^2}{\Delta_\theta} + \frac{\cos^2 \theta}{\Xi_b^2} \left[\Xi_b + \cos^2 \theta (\rho^2 g^2 + 2(1 + bg)(a + b)g) \right] d\psi^2 \right. \\ & + \frac{\sin^2 \theta}{\Xi_a^2} \left[\Xi_a + \sin^2 \theta (\rho^2 g^2 + 2(1 + ag)(a + b)g) \right] d\phi^2 \\ & \left. + \frac{2 \sin^2 \theta \cos^2 \theta}{\Xi_a \Xi_b} \left[\rho^2 g^2 + 2(a + b)g + (a + b)^2 g^2 \right] d\psi d\phi \right\}, \quad (76) \end{aligned}$$

$$\begin{aligned} \Delta_r &= r^2 [g^2 r^2 + (1 + ag + bg)^2], & \Delta_\theta &= 1 - a^2 g^2 \cos^2 \theta - b^2 g^2 \sin^2 \theta, \\ \Xi_a &= 1 - a^2 g^2, & \Xi_b &= 1 - b^2 g^2, & \rho^2 &= r^2 + a^2 \cos^2 \theta + b^2 \sin^2 \theta, \end{aligned} \quad (77)$$

$$\begin{aligned} \omega_\psi &= -\frac{g \cos^2 \theta}{r^2 \Xi_b} \left[\rho^4 + (2r_m^2 + b^2) \rho^2 + \frac{1}{2} (\beta_2 - a^2 b^2 + g^{-2} (a^2 - b^2)) \right], \\ \omega_\phi &= -\frac{g \sin^2 \theta}{r^2 \Xi_a} \left[\rho^4 + (2r_m^2 + a^2) \rho^2 + \frac{1}{2} (\beta_2 - a^2 b^2 - g^{-2} (a^2 - b^2)) \right], \end{aligned} \quad (78)$$

and

$$r_m^2 = g^{-1} (a + b) + ab \quad (79)$$

$$\beta_2 = \Xi_a \Xi_b (\mu_1 \mu_2 + \mu_1 \mu_3 + \mu_2 \mu_3) - \frac{2\sqrt{\Xi_a \Xi_b} (1 - \sqrt{\Xi_a \Xi_b})}{g^2} (\mu_1 + \mu_2 + \mu_3) + \frac{3(1 - \sqrt{\Xi_a \Xi_b})^2}{g^4} \quad (80)$$

The scalars are

$$X^I = \frac{(H_1 H_2 H_3)^{1/3}}{H_I}. \quad (81)$$

The vectors are:

$$A^I = H_I^{-1} (dt + \omega_\psi d\psi + \omega_\phi d\phi) + U_\psi^I d\psi + U_\phi^I d\phi \quad (82)$$

Puzzle

In the most general BPS black hole solution, the entropy is

$$S = \frac{A}{4G} = 2\pi \sqrt{Q_1 Q_2 + Q_1 Q_3 + Q_2 Q_3 - \frac{N^2}{2}(J_1 + J_2)}$$

with the extremality condition

$$\begin{aligned} & \left(Q_1 Q_2 + Q_2 Q_3 + Q_1 Q_3 - \frac{N^2}{2} (J_1 + J_2) \right) \left(Q_1 + Q_2 + Q_3 + \frac{N^2}{2} \right) \\ &= \frac{N^2}{2} J_1 J_2 + Q_1 Q_2 Q_3 \end{aligned}$$

How to understand this entropy from the dual $\mathcal{N} = 4$ SYM calculation?

Fail trials

In 2005, [Maldacena](#), [Raju](#), [Minwalla](#) and [Kinney](#) studied the superconformal index, but they found the superconformal index in the large N limit scales as $\mathcal{O}(N^0)$ while black hole entropy scales as $\mathcal{O}(N^2)$. This mismatch was believed due to cancellation between fermionic and bosonic degrees of freedom.

Should AdS/CFT be modified in the non-perturbative region?

Resolution

It was first realized by (Hosseini, Hristov, Zaffroni) that this entropy can be acquired by inverse Legendre transformation of the partition function

$$\ln Z = -i\pi \frac{N^2}{2} \frac{\phi_1 \phi_2 \phi_3}{\tau \sigma}$$

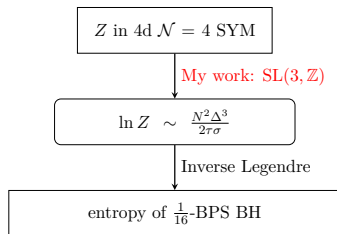
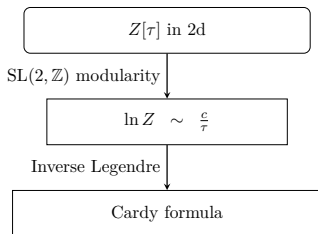
under the chemical potential constraint $\phi_1 + \phi_2 + \phi_3 - \tau - \sigma = 1$ which is equivalent to extremization of the entropy functional

$$S = -i\pi \frac{N^2}{2} \frac{\phi_1 \phi_2 \phi_3}{\tau \sigma} - 2\pi i(\tau J_1 + \sigma J_2 + Q_1 \phi_1 + Q_2 \phi_2 + Q_3 \phi_3) \\ - \pi i \Lambda(\phi_1 + \phi_2 + \phi_3 - \tau - \sigma - 1)$$

Breakthrough

- Chemical potentials are complex
- The leading order of partition function is very similar to the known supersymmetric Casimir energy

The analogy



Our work is to find the analogue of $\text{SL}(2, \mathbb{Z})$ modularity in 4d, which turns out to be $\text{SL}(3, \mathbb{Z})$ modularity. Other related researches in studying this includes Bethe Ansatz and Matrix model methods.

Contents of $\mathcal{N} = 4$ SYM

The set of letters of $\mathcal{N} = 4$ SYM

- 6 independent gauge components $F_{\pm,0}, \bar{F}_{\pm,0}$
- 6 complex scalars $Z, W, X, \bar{Z}, \bar{W}, \bar{X}$
- 16 complex fermions $\chi_i, \bar{\chi}_i, i = 1, \dots, 8$
- 4 components of covariant derivatives $d_{1,2}$ and $\bar{d}_{1,2}$

The letters are specified by dimension E , $SO(4)$ spin (J_1, J_2) and R-charges (Q_1, Q_2, Q_3) . The BPS letters are those satisfying

$$E = J_1 + J_2 + Q_1 + Q_2 + Q_3$$

Supersymmetric partition function

$$I = \text{tr}(e^{-\beta E - Q_1 \Delta_1 - Q_2 \Delta_2 - Q_3 \Delta_3 - J_1 \tau - J_2 \sigma})$$

Elliptic Gamma function

The integral form of superconformal index is

$$I_N = \frac{\kappa_N}{N!} \prod_{k=1}^{N-1} \oint_{|x_k|=1} \frac{dx_k}{2\pi i x_k} \prod_{1 \leq i \neq j \leq N} \frac{\prod_{a=1}^3 \Gamma(x_{ij} f_a)}{\Gamma(x_{ij})}.$$

where the elliptic Gamma function is defined as

$$\Gamma(x) \equiv \Gamma(z; \tau, \sigma) = \prod_{m,n=0}^{\infty} \frac{1 - x^{-1} p^{m+1} q^{n+1}}{1 - x p^m q^n}$$

where $q = e^{2\pi i \tau}$, $p = e^{2\pi i \sigma}$, $x = e^{2\pi i z}$ The analogue function in 2d CFT is q - θ function

$$\theta(z; \tau) = \prod_{n=0}^{\infty} (1 - x q^n)(1 - x^{-1} q^{n+1})$$

Modularity

The θ -function has the following $SL(2, \mathbb{Z})$ modularity transformation:

$$\theta\left(\frac{z}{\tau}; -\frac{1}{\tau}\right) = e^{i\pi B(z, \tau)} \theta(z; \tau),$$

$$B(z, \tau) = \frac{z^2}{\tau} + z\left(\frac{1}{\tau} - 1\right) + \frac{1}{6}\left(\tau + \frac{1}{\tau}\right) - \frac{1}{2}.$$

As $\tau \rightarrow 0$, $\theta(z/\tau, -1/\tau) \rightarrow 1$ approaches to 1, which is equivalent to the identity operator dominates. Then we can approximate the q - θ function by purely the phase factor, which is equivalent to the Casimir operator in 2d.

The modularity satisfied by elliptic Gamma function is [\[Felder, 99\]](#)

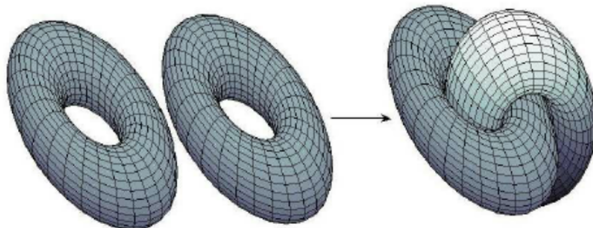
$$\Gamma(z; \tau, \sigma) \Gamma\left(z; \frac{\tau}{\sigma}, \frac{1}{\sigma}\right) \Gamma\left(z; \frac{\sigma}{\tau}, \frac{1}{\tau}\right) = e^{-i\pi Q(z-1; \tau, \sigma)},$$

$$Q(z; \tau, \sigma) = \frac{z^3}{3\tau\sigma} - \frac{\tau + \sigma - 1}{2\tau\sigma} z^2 + \frac{\tau^2 + \sigma^2 + 3\tau\sigma - 3\tau - 3\sigma + 1}{6\tau\sigma} z$$

$$+ \frac{1}{12}(\tau + \sigma - 1)(\tau^{-1} + \sigma^{-1} - 1)$$

Geometric background

The three dimensional manifold has the following topological operation:



Given two solid torus $D_2 \times S^1$. We can glue $(1, 0)$ circle of one torus with $(0, 1)$ circle of the other one. The gluing results in manifold S^3 .

$$\begin{pmatrix} x'_1 \\ x'_2 \end{pmatrix} = S \cdot \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}, \quad S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

The procedure is known as Heegaard splitting.

Four dimensional manifold

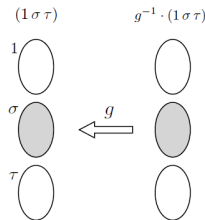
In four dimensions, we are using two three solid tori $D_2 \times T^2$ to do Heegaard splitting gluing. There are three S^1 , which results in $\text{SL}(3, \mathbb{Z})$ modularity. We then use S_{23} to glue two solid torus to acquire $S^3 \times S^1$

$$\begin{pmatrix} x'_1 \\ x'_2 \\ x'_3 \end{pmatrix} = S_{23} \cdot \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix}, \quad S_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix}$$

which is written as

$$\mathcal{M}_g = (D_2 \times T^2) \times_g (D_2 \times T^2)$$

When $g = \mathbb{1}$, $M_g = S^2 \times T^2$.



Physical interpretation

Consider the $\mathcal{N} = 1$ chiral multiplet defined on $D_2 \times T^2$. We can use localization method to show the partition function is

$$B_L = \Gamma\left(\frac{z}{\sigma}, \frac{\tau}{\sigma}, -\frac{1}{\sigma}\right)$$

These are called the holomorphic block. Similarly the partition function on $S^3 \times S^1$ can also be shown as $\Gamma(z, \tau, \sigma)$. Therefore the modularity of $\text{SL}(3, \mathbb{Z})$ is

$$Z_{S_{23}}(z, \tau, \sigma) = e^{i\phi(S_{23})} B_L(z, \tau, \sigma) B_R(S_{23} \cdot (z, \tau, \sigma))$$

This is called the holomorphic factorization.

Partition function relations

The partition function in 4d depends on the group element we choose. It has been shown they satisfy the following

$$Z_{g_1 g_2}(\vec{\tau}) = e^{i\phi_{g_1, g_2}} Z_{g_1}(\vec{\tau}) \cdot Z_{g_2}(g_1^{-1} \vec{\tau})$$

Recall the 2d partition function satisfies

$$Z(z, \tau) = e^{i\phi_g} Z(g^{-1} \cdot (z, \tau))$$

They are distinguished as automorphic form of degree 0 and 1.

Matrix to modularity

$\text{SL}(3, \mathbb{Z})$ matrix relation leads to $\text{SL}(3, \mathbb{Z})$ modularity!

A class of modularity

In my work considering $Y^3 = 1$ relations, where $Y = AS_{23}$ such that $A \in H$. I found the following modularity by studying the $SL(3, \mathbb{Z})$ matrix relations

$$\Gamma(z; \tau, \sigma) = e^{-i\pi Q'_m(mz; \tau, \sigma)} \Gamma\left(\frac{z}{m\tau+n}; \frac{\sigma-\tau}{m\tau+n}, \frac{\tau-n_1}{m\tau+n}\right) \Gamma\left(\frac{z}{m\sigma+n}; \frac{\tau-\sigma}{m\sigma+n}, \frac{\sigma-n_1}{m\sigma+n}\right)$$

which indicates the following high temperature limit

$$\tau = \sigma \rightarrow \frac{1}{m} - n_0$$

If we consider $Y_1 Y_2 Y_3 = 1$, we get

$$\Gamma(z + \sigma, \tau, \sigma) = e^{-i\pi Q'_m} \frac{\Gamma\left(\frac{z}{m\sigma+n_1}, \frac{\tau-n_2(k_1\sigma+l_1)}{m\sigma+n_1}, \frac{k_1\sigma+l_1}{m\sigma+n_1}\right)}{\Gamma\left(\frac{z}{m\tau+n_2}, \frac{-\sigma+n_1(k_2\tau+l_2)}{m\tau+n_2}, \frac{k_2\tau+l_2}{m\tau+n_2}\right)}$$

which gives Cardy limit $(\tau, \sigma) \rightarrow (-\frac{n_2}{m}, -\frac{n_1}{m})$

Phase polynomial

The phase is determined by a single Q -polynomial up to constant

$$Q'_m = \frac{1}{m} Q(mz, m\tau + n_2, m\sigma + n_1) + f(m, n_1, n_2)$$

In the case $n_1 = n_2 = n$, we can show

$$f(m, n) = 2s(n, m) + \frac{(m-1)(m-5)}{12m}$$

We introduce the famous Dedekind sum defined for coprime pair (n, m)

$$s(n, m) = \frac{1}{4m} \sum_{\mu=1}^{m-1} \cot \frac{\pi\mu}{m} \cot \frac{\pi n\mu}{m}$$

But we do not know how to work out the constant with $n_1 \neq n_2$

Dedekind sum

- The general $SL(2, \mathbb{Z})$ action on the η function is

$$\eta\left(\frac{a\tau + b}{c\tau + d}\right) = \epsilon(a, b, c, d) \sqrt{c\tau + d} \eta(\tau),$$

where

$$\epsilon(a, b, c, d) = \begin{cases} \exp\left(i\pi \left[\frac{a+d}{12c} - s(d, c) - \frac{1}{4}\right]\right) & \text{for } c \neq 0, \\ \exp(i\pi b/12) & \text{for } c = 0, \end{cases}$$

- Classification of lens spaces

Farey tail

In AdS₃/CFT₂,

$\tau \rightarrow 0$, BTZ black hole

$\tau \rightarrow \infty$ thermal AdS.

We need to sum over all the saddle point in the partition function.

The 2d partition function was studied by [Dijkgraaf, et al, 2000]. They found the moduli τ can be related to gravitational saddle point by approaching any rational number. These are called the SL(2, \mathbb{Z}) family of black holes. They are very crucial in understanding information paradox.

$$\mathcal{Z}_\chi(\beta, \omega) = -2\pi i \sum_{(c,d)=1, c \geq 0} \sum_{\mu=1}^k \sum_{4km - \mu^2 < 0} \tilde{c}_\mu(4km - \mu^2; \text{Sym}^k(K3))$$

$$(c\tau + d)^{-3} \exp\left[2\pi i \left(m - \frac{\mu^2}{4k}\right) \frac{a\tau + b}{c\tau + d}\right] \exp\left[-2\pi i k \frac{c\omega^2}{c\tau + d}\right] \Theta_{\mu,k}^+\left(\frac{\omega}{c\tau + d}, \frac{a\tau + b}{c\tau + d}\right)$$

Question

Can one do this in 4d SCFT?

$\text{SL}(3, \mathbb{Z})$ family: sum over non-perturbative saddles

We should have independent Lorentz spin related to chemical potentials τ, σ . We need to construct modularity whose high temperature limits are defined by

$$\lim_{\tau, \sigma \rightarrow (\mathbb{Q}, \mathbb{Q})} \Gamma(z, \tau, \sigma)$$

Consider following relations

$$A_1 S_{23} T_{23}^{-e_1} S_{23} \dots T_{23}^{-e_t} S_{23} A_2 S_{23} A_3 S_{23} = 1$$

the modularity becomes

$$\begin{aligned} \Gamma(z, \tau, \sigma) = & e^{-i\pi Q_t(z, \tau, \sigma)} \mathfrak{L}_t \left(\frac{z}{k^0 - m\sigma}, \frac{\tau + e_0(n_1\sigma - l^0)}{k^0 - m\sigma}, \frac{n_1\sigma - l^0}{k^0 - m\sigma} \right) \\ & \times \Gamma \left(\frac{z}{mp_t\tau + w_t}, \frac{(q_t + k^{t+1}p_t n w_{t-1})\tau + l^{t+1}w_{t-1}}{mp_t\tau + w_t}, \frac{k^{t+1}p_t\tau + \sigma + \frac{l^{t+1} - l^0}{n_1}}{mp_t\tau + w_t} \right) \end{aligned}$$

where \mathfrak{L}_t is called the Lens space partition function defined in the following way.

Lens space

- $S^3 \times S^1$: represented as Hopf surface

$$(z_1, z_2) \sim (pz_1, qz_2), \quad (z_1, z_2) \in \mathbb{C}^2 \setminus \{(0, 0)\}, \quad 0 < |p| \leq |q| < 1,$$

with $p = e^{2\pi i(\sigma_1 + i\sigma_2)}$ and $q = e^{2\pi i(\tau_1 + i\tau_2)}$. Heegaard splitting of S^3 : gluing $(1, 0)$ circle of $D_2 \times T^2$ with $(0, 1)$ circle of another $D_2 \times T^2$.

- $L(p, q) \times S^1$: additional Lens quotient:

$$(z_1, z_2) \sim (e^{\frac{q2\pi i}{p}} z_1, e^{\frac{-2\pi i}{p}} z_2).$$

Heegaard splitting of $L(p, q)$: gluing $(1, 0)$ circle of $D_2 \times T^2$ with (q, p) circle of another $D_2 \times T^2$.

Lens data

Define

$$\Delta_i = S_{23} \prod_{j=1}^i \left(T_{23}^{-e_j} S_{23} \right) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & u_i & -v_i \\ 0 & -p_i & q_i \end{pmatrix}.$$

The entries will satisfy the recursive relation

$$\begin{aligned} p_i &= e_i p_{i-1} - p_{i-2}, & q_i &= -p_{i-1}, \\ u_i &= e_i u_{i-1} - u_{i-2}, & v_i &= -u_{i-1}. \end{aligned}$$

with the initial conditions

$$p_0 = 1, \quad p_1 = e_1, \quad u_0 = 0, \quad u_1 = -1.$$

Define

$$w_i \equiv e_0 p_i + u_i = -(e_0 q_{i+1} + v_{i+1})$$

Continued fraction

$$-\frac{p_t}{q_t} = [e_t; \dots, e_1]^- = e_t - \frac{1}{e_{t-1} - \dots}$$

$$\frac{u_t}{p_t} = [0; e_1, e_2, \dots, e_t] \quad \frac{w_t}{p_t} = [e_0; e_1, e_2, \dots, e_t]$$

The Lens partition function is

$$\mathfrak{L}_t(z, \tau, \sigma) = Z_{\Delta_t} = \prod_{i=0}^t \Gamma(z + \sigma_i, \tau_i, \sigma_i)$$

where $\sigma_{i+1} = \tau_i = p_i \tau + u_i \sigma$. Then the Cardy limit data is

$$(\tau, \sigma) = \left(-\frac{[e_0; e_1, \dots]}{m}, \frac{k^0}{m} \right)$$

Then we scan all the rational pairs

2d vs 4d

	2d SCFT	4d SCFT
Basic block	$\theta(z, \tau)$	$\Gamma(z, \tau, \sigma)$
Modular group	$\text{SL}(2, \mathbb{Z})$	$\text{SL}(3, \mathbb{Z})$
Modularity	$Z[\vec{\tau}] = e^{i\phi} Z[g^{-1}\vec{\tau}]$	$Z_{g_1 g_2}(\vec{\tau}) = e^{i\phi} Z_{g_1}(\vec{\tau}) \cdot Z_{g_2}(g_1^{-1}\vec{\tau})$
Phase	Quadratic poly	cubic poly
Farey tail	Sum over (c, d)	Sum over rational pair (gluing)
Casimir energy	$\ln Z = \frac{c\Delta_1\Delta_2}{2\omega} \sim \frac{c}{12}$	$\ln Z = \frac{N^2}{2} \frac{\Delta_1\Delta_2\Delta_3}{\tau\sigma}$

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Essential question

How to compute the phase Q_t ? This is crucial for computing the entropy of dual black hole. Let's crack this problem by considering more fundamental mathematical background of the modularity.

- What is the generalization of modularity?
- Geometric origin of modularity

Jacobi group and $SL(2, \mathbb{Z})$ modularity

Recall that the Jacobi group is given by $\mathcal{J} = SL(2, \mathbb{Z}) \ltimes \mathbb{Z}^2$.

$$\begin{aligned}\chi(z + m\tau + n; \tau) &= e^{-2\pi i k(m^2\tau + 2mz)} \chi(z; \tau), \\ \chi\left(\frac{z}{c\tau + d}; \frac{a\tau + b}{c\tau + d}\right) &= e^{2\pi i k \frac{cz^2}{c\tau + d}} \chi(z; \tau).\end{aligned}$$

This identifies degree 0 Jacobi forms as elements of the zeroth group cohomology $H^0(\mathcal{J}, N/M)$ of the Jacobi group \mathcal{J} . N is the set of meromorphic function while M is the set of nowhere vanishing meromorphic functions. i.e. phases. They form short exact sequence

$$1 \rightarrow M \rightarrow N \rightarrow N/M \rightarrow 1.$$

Co-chain

Focus on the k -cochain group $C^k(G, A)$, where $A = N, M, N/M$. The group $C^k(G, A)$ consists of k -cocycles $\xi : G^k \rightarrow A$ such that $\xi_{g_1, \dots, g_k} = 1$ if $g_j = 1$ for some j . Furthermore, one defines $C^0(G, A) = A$. To construct the relevant cohomology groups, we now define a coboundary operator $\delta = \delta_k : C^k(G, A) \rightarrow C^{k+1}(G, A)$ via:

$$(\delta\xi)_{g_1, \dots, g_{k+1}}(\rho) = \xi_{g_1, \dots, g_k}(\rho) \left(\xi_{g_2, \dots, g_{k+1}}(g_1^{-1}\rho) \prod_{j=1}^k \xi_{g_1, \dots, g_j g_{j+1}, \dots, g_{k+1}}(\rho)^{(-1)^j} \right)^{(-1)^{k+1}}$$

So $\delta^2 = 1$ as one can verify.

Furthermore, for $k = 0$ one defines δ on $\chi \in C^0(G, A)$ as:

$$(\delta\chi)_g(\rho) = \frac{\chi(\rho)}{\chi(g^{-1}\rho)}$$

The coboundary operator allows us to define cohomology in the usual way:

$$H^k(G, A) = \frac{\ker \delta_k}{\text{im } \delta_{k-1}}, \quad k \geq 1, \quad H^0(G, A) = \ker \delta_0$$

If $\chi \in C^0(G, N) = N$ A degree 0 automorphic form of type $\xi_g \in C^1(G, M)$ corresponds to such a function χ which obeys:

$$(\delta\chi)_g(\rho) = \frac{\chi(\rho)}{\chi(g^{-1}\rho)} = \xi_g(\rho),$$

Since ξ_g is taking value in M , we notice that this equation abstracts the property associated to degree 0 Jacobi forms when $G = \mathcal{J}$. It also follows that such χ can be thought of as elements in $H^0(\mathcal{J}, N/M)$, since they are annihilated by δ modulo M .

Degree 1

Having set up the general framework, let us now increase the rank of the cohomology by one, and consider the action of δ_* . We take a one-cocycle $X_g \in C^1(G, N)$. Given the above, it follows that if $[X_g] \in H^1(G, N/M)$, it should satisfy:

$$\delta(X_{g_1}(\rho))_{g_2} = \frac{X_{g_1}(\rho)X_{g_2}(g_1^{-1}\rho)}{X_{g_1g_2}(\rho)} = \xi_{g_1,g_2}(\rho),$$

where $[\xi_{g_1,g_2}] \in H^2(G, M)$. It will be important in the following that for $H^1(G, N/M)$, there is a notion of trivializable or “exact” elements. Indeed, such classes can be written as:

$$[X_g] = [(\delta B)_g] = \left[\frac{B(\rho)}{B(g^{-1}\rho)} \right], \quad (1)$$

with $B \in C^0(G, N)$.

Trivialization subgroup

$$\Gamma(z + \sigma; \tau, \sigma) = e^{-i\pi Q(z+\sigma; \tau, \sigma)} \frac{\Gamma\left(\frac{z}{\sigma}; \frac{\tau}{\sigma}, -\frac{1}{\sigma}\right)}{\Gamma\left(\frac{z}{\tau}; -\frac{\sigma}{\tau}, -\frac{1}{\tau}\right)}. \quad (2)$$

Then, the equation can be written as follows:

$$X_{S_{23}}(\rho) \cong \frac{B^S(\rho)}{B^S(S_{23}^{-1}\rho)}, \quad (3)$$

where we have defined the function $B^S(\rho)$:

$$B^S(\rho) \equiv B(S_{13}\rho) = \Gamma\left(\frac{z}{\sigma}; \frac{\tau}{\sigma}, -\frac{1}{\sigma}\right), \quad B(\rho) = \Gamma(z; \tau, \sigma). \quad (4)$$

g sits in a subgroup of modular group $SL(3, \mathbb{Z}) \ltimes \mathbb{Z}^3$

$$F_S \equiv SL(2, \mathbb{Z}) \ltimes \mathbb{Z}^2 \quad \text{with} \quad SL(2, \mathbb{Z}) = \{S_{23}, T_{23}\}, \\ \mathbb{Z}^2 = \{T_{12}, T_{13}\}.$$

Chain of trivialization

Consider $g = g_1 g_2 \cdots g_n$

$$\begin{aligned} X_g(\boldsymbol{\rho}) &\cong X_{g_1}(\boldsymbol{\rho}) \cdots X_{g_n}(g_{n-1}^{-1} \cdots g_1^{-1} \boldsymbol{\rho}) \\ &\cong \frac{B^S(\boldsymbol{\rho})}{B^S(g_1^{-1} \boldsymbol{\rho})} \cdots \frac{B^S(g_{n-1}^{-1} \cdots g_1^{-1} \boldsymbol{\rho})}{B^S(g_n^{-1} \cdots g_1^{-1} \boldsymbol{\rho})} = \frac{B^S(\boldsymbol{\rho})}{B^S(g^{-1} \boldsymbol{\rho})}, \end{aligned}$$

Lens modularity

$$\begin{aligned}
 \mathfrak{L}_t(z, \tau, \sigma) &= \prod_{i=0}^t \Gamma(z + \sigma_i, \tau_i, \sigma_i) = \prod_{i=0}^t e^{-i\pi Q'_i} \frac{\Gamma_{i+}}{\Gamma_{i-}} \\
 &= \left(\prod_{i=0}^t e^{-i\pi Q'_i} \right) \frac{\Gamma_{0+}}{\Gamma_{0-}} \frac{\Gamma_{1+}}{\Gamma_{1-}} \cdots \frac{\Gamma_{t+}}{\Gamma_{t-}} \\
 &= \left(\prod_{i=0}^t e^{-i\pi Q'_i} \right) \frac{\Gamma_{0+}}{\Gamma_{t-}}
 \end{aligned}$$

This results in

$$\begin{aligned}
 \mathfrak{L}_t(z, \tau, \sigma) &= \prod_{i=0}^t \Gamma(z + \sigma_i, \tau_i, \sigma_i) \\
 &= e^{-i\pi \mathbf{P}_t(z, \tau, \sigma)} \frac{\Gamma\left(\frac{z}{m\sigma+n_1}, \frac{\tau-n_1 e_0(k^0\sigma+l^0)}{m\sigma+n_1}, \frac{k^0\sigma+l^0}{m\sigma+n_1}\right)}{\Gamma\left(\frac{z}{m\tau_t+n_1 w_t}, \frac{-\sigma_t+n_1 w_{t-1}(k^{t+1}\tau_t+l^{t+1})}{m\tau_t+n_1 w_t}, \frac{k^{t+1}\tau_t+l^{t+1}}{m\tau_t+n_1 w_t}\right)}
 \end{aligned}$$

Moduli data v.s. geometry

There are two $SL(2, \mathbb{Z})$ conditions from the modularity

$$n_1 k^0 - m l^0 = 1, \quad n_1 w_t k^{t+1} - m l^{t+1} = 1 \quad (5)$$

This means the moduli group is congruence subgroup

$$\Gamma_0(w_t) = \begin{pmatrix} m & n_1 \\ -k^{t+1} w_t & -l^{t+1} \end{pmatrix}$$

A selection rule in the presence of nontrivial p .

- 1 Introduction
- 2 Physics part: modularity
 - Review of 2d $SL(2, \mathbb{Z})$ modularity
 - The development of AdS_5 black holes
- 3 Mathematics
- 4 Future works

Some future directions

- Modularity in other dimension?
- Non-supersymmetric cases?
- Modular bootstrap
- $SL(3, \mathbb{Z})$ Farey tail summation?
- Search the gravitational solution! Black holes and black lens