

Krylov complexity, double-scaled SYK, (and holography)

Zhuo-Yu Xian

Julius Maximilian University of Würzburg

Joint HEP-TH seminar
May 17, 2024

Outline

A simple lecture on

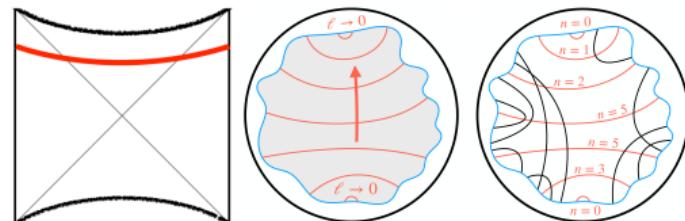
- ▶ Krylov complexity
- ▶ Double-scaled SYK with vacuum chords

Various complexities

The difficulty for preparing a target state/operator from applying operators on a reference state/operator.

Some notions of complexities

- ▶ Nielsen's complexity [Nielsen:'05]
- ▶ Computational complexity [Watrous:'08]
- ▶ The holographic complexity ? [Susskind:'14]



The Krylov complexity (KC):

- ▶ Independent from locality nor the definition of simple/hard operators.
- ▶ Could diagnose quantum chaos
 - ▶ Operator growth [Parker, Cao, Avdoshkin,et-al:'19][Jian,Swingle,ZYX:'21];
 - ▶ Spectrum statistics [Kar,et-al:'20][Rabinovici,et-al:'20][Balasubramanian,et-al:'22][Erdmenger,Jian,ZYX:'23].
- ▶ Chords states in DSSYK [Berkooz et al:'18][Lin:'22][Rabinovici:'23]...

Krylov basis

Measure the complexity of $|\Psi_t\rangle = e^{-it\mathcal{L}} |\Psi_0\rangle$ ($\mathcal{L}^\dagger = \mathcal{L}$) on the unit of \mathcal{L} . Krylov space

$$\mathcal{K} = \text{span} \left\{ |\Psi_0\rangle, \mathcal{L} |\Psi_0\rangle, \mathcal{L}^2 |\Psi_0\rangle, \dots \right\} \xrightarrow[\text{orthogonalization}]{\text{Gram-Schmidt}} \{ |0\rangle, |1\rangle, |2\rangle, \dots, |L-1\rangle \}.$$

We want a basis

Lanczos algorithm (monic version)

$$\langle n|m\rangle \propto \delta_{mn}$$

$$|n\rangle = \sum_{m=0}^n c_{nm} \mathcal{L}^m |\Psi_0\rangle \qquad \Leftarrow$$

$$|O_n\rangle \equiv |n\rangle / \sqrt{\langle n|n\rangle}$$

$$|0\rangle = |\Psi_0\rangle, \quad b_0 = 0,$$

$$|n\rangle = \mathcal{L} |n-1\rangle - b_{n-1}^2 |n-2\rangle,$$

$$b_n^2 = \frac{\langle n|n\rangle}{\langle n-1|n-1\rangle},$$

$$b_n |O_n\rangle = \mathcal{L} |O_{n-1}\rangle - b_{n-1} |O_{n-2}\rangle.$$

Lanczos coefficients

$$\langle O_m | \mathcal{L} | O_n \rangle = \begin{pmatrix} 0 & b_1 & 0 & \cdots & 0 \\ b_1 & 0 & b_2 & \cdots & 0 \\ 0 & b_2 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & b_{L-1} \\ 0 & 0 & 0 & b_{L-1} & 0 \end{pmatrix}, \quad \frac{\langle m | \mathcal{L} | n \rangle}{\langle m | m \rangle} = \begin{pmatrix} 0 & b_1^2 & 0 & \cdots & 0 \\ 1 & 0 & b_2^2 & \cdots & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & b_{L-1}^2 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}.$$

Krylov complexity

Krylov wave-function

$$\phi_n(t) = i^n \langle O_n | \Psi_t \rangle$$

Discrete Schrödinger equation

$$\partial_t \phi_n = -b_{n+1} \phi_{n+1} + b_n \phi_{n-1}.$$

Krylov complexity

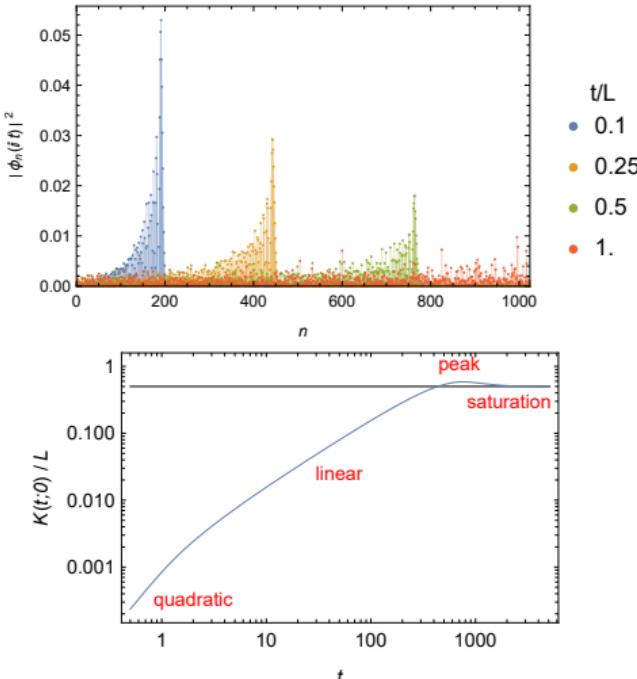
$$\hat{n} = \sum_{n=0}^{L-1} |O_n\rangle n \langle O_n|, \quad n(t) = \sum_{n=0}^{L-1} n |\phi_n(t)|^2$$

Rewrite

$$\begin{aligned} \mathcal{L} &= b_{\hat{n}} \hat{\alpha}^\dagger + \hat{\alpha} b_{\hat{n}}, \quad \hat{\alpha}^\dagger |O_n\rangle = |O_{n+1}\rangle \\ &= \bar{\alpha}^\dagger + \bar{\alpha} b_{\hat{n}}^2, \quad \bar{\alpha}^\dagger |n\rangle = |n+1\rangle \end{aligned}$$

Ehrenfest theorem

$$\partial_t^2 \langle \hat{n} \rangle = - \langle [[\hat{n}, \mathcal{L}], \mathcal{L}] \rangle = 2 \langle (b_{\hat{n}+1}^2 - b_{\hat{n}}^2) \rangle$$



$$\mathcal{L} = H_{\text{GUE}} \otimes 1, |\Psi_0\rangle = |\text{MES}\rangle \in \mathcal{H}_{LR}$$

Double-scaled SYK

For N Majorana fermions ψ_j ($\{\psi_i, \psi_j\} = 2\delta_{ij}$) with p -body random interaction

$$h = \sqrt{\lambda}H = i^{p/2} \sum_{1 \leq j_1 < j_2 < \dots < j_p \leq N} J_{j_1 j_2 \dots j_p} \psi_{j_1} \psi_{j_2} \dots \psi_{j_p}, \quad \left\langle J_{j_1 j_2 \dots j_p}^2 \right\rangle = \mathcal{J}^2 / \binom{N}{p}, \quad \mathcal{J} = 1$$

Double-scaled limit

$$\lambda = \frac{2p^2}{N} = \text{fixed}, \quad N \rightarrow \infty$$

Moments of H with normalized trace $\text{Tr}1 = 1$ [Berkooz+:'18]

$$\langle \text{Tr}[h^n] \rangle = i^{np/2} \sum_{I_1, I_2, \dots, I_n} \langle J_{I_1} J_{I_2} \dots J_{I_n} \rangle \text{Tr}[\psi_{I_1} \psi_{I_2} \dots \psi_{I_n}], \quad I = j_1 j_2 \dots j_p, \quad \psi_I = \psi_{j_1} \dots \psi_{j_p}$$

$$\psi_{I_1} \psi_{I_2} = (-1)^{|I_1 \cap I_2|} \psi_{I_2} \psi_{I_1} \xrightarrow[\text{mean}=\lambda/2]{|I_1 \cap I_2| = \text{Poisson}} q \psi_{I_2} \psi_{I_1}, \quad q = e^{-\lambda}$$

Chord diagrams

$$\text{Tr}[h^2] = \text{Diagram } 1 = 1, \quad \text{Tr}[h^4] = \text{Diagram } 2 + \text{Diagram } 3 + \text{Diagram } 4 = 1 + q + 1, \quad \text{penalty } q < 1$$


Chord states

Define the maximally entangled state $|\text{MES}\rangle = \frac{1}{\sqrt{L}} \sum_p^L |E_p\rangle |E_p\rangle$ and $|O\rangle = O \otimes 1 |\text{MES}\rangle$

$$\text{Tr}[h^{20}] = \langle h^7 | h^{13} \rangle = \sum_{mn} \langle h^7 | m^* \rangle \langle m | n \rangle \langle n^* | h^{13} \rangle, \quad \langle n^* | m \rangle = \delta_{mn}, \quad |n^*\rangle = |n\rangle / \langle n | n \rangle$$

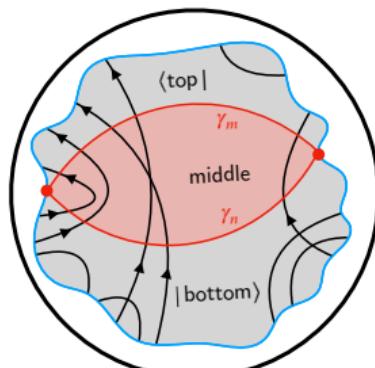
From chord diagrams, $\langle n^* | h^m \rangle = 0$ if $n > m$ and $\langle m | n \rangle \propto \delta_{mn}$.

Vacuum chord states = Krylov basis with $|\Psi_0\rangle = |\text{MES}\rangle$, $\mathcal{L} = h \otimes 1$.

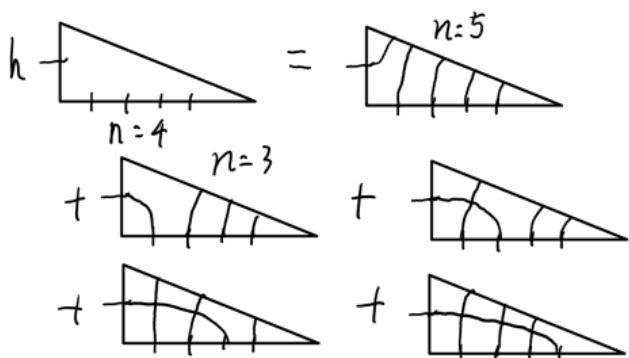
(Ensemble average before Gram-Schmidt orthogonalization $\{\langle \text{Tr}[h^n] \rangle\} \Rightarrow \{b_n\}$)

$$\mathcal{L} |n\rangle = |n+1\rangle + b_n^2 |n-1\rangle, \quad b_n^2 = \frac{1-q^n}{1-q}, \quad \hat{n} = \sum_n |n\rangle n \langle n^*| = \frac{1}{2p} \sum_j (1 + i\psi_j^L \psi_j^R)$$

$$|n\rangle = (1-q)^{-n/2} H_n \left(\sqrt{1-q} \mathcal{L}/2 \Big| q \right) |0\rangle, \quad H_n(x|q) = \text{q-Hermitie Poly.}, \quad E = 2 \cos \theta / \sqrt{1-q}$$



[Lin: '22]



Liouville quantum mechanic

$$\mathcal{L} = \frac{1}{\sqrt{1-q}} \left(e^{i\lambda k} \sqrt{1-e^{-l}} + \sqrt{1-e^{-l}} e^{-i\lambda k} \right), \quad l = \lambda \hat{n}, \quad k = -i\partial_l$$

Triple-scaling limit

$$\lambda \rightarrow 0, \quad l \rightarrow \infty, \quad e^{-l}/\lambda^2 = e^{-\tilde{l}} = \text{fixed}$$

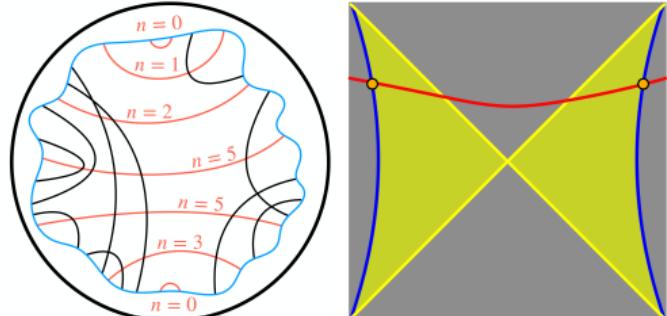
Liouville quantum mechanic

[Bagrets,Altland,Kamenev:'16][Harlow,Jafferis:'18]

$$\tilde{H} = -\frac{1}{\sqrt{\lambda}} \mathcal{L} + \frac{2}{\lambda} = \lambda \left(k^2 + e^{-\tilde{l}} \right).$$

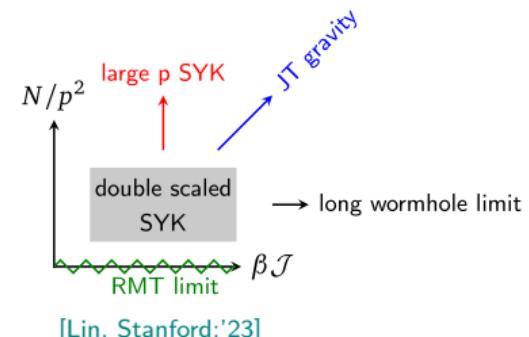
Liouville equation for $e^{-i\tilde{H}t}$ [Rabinovici et-al:'23]

$$\partial_t^2 \tilde{l} = 2\lambda^2 e^{-\tilde{l}} = 2e^{-l} \xrightarrow[E=\lambda e^{-\tilde{l}(0)}]{\tilde{l}'(0)=0} \tilde{l} = 2 \log \left(\sqrt{\frac{\lambda}{E}} \cosh \sqrt{\lambda E} t \right)$$



Ehrenfest theorem of Krylov complexity

$$\lambda \partial_t^2 \langle \hat{n} \rangle = 2 \langle (b_{\hat{n}+1}^2 - b_{\hat{n}}^2) \rangle = 2 \langle e^{-\lambda \hat{n}} \rangle \geq 2e^{-\lambda \langle \hat{n} \rangle}$$



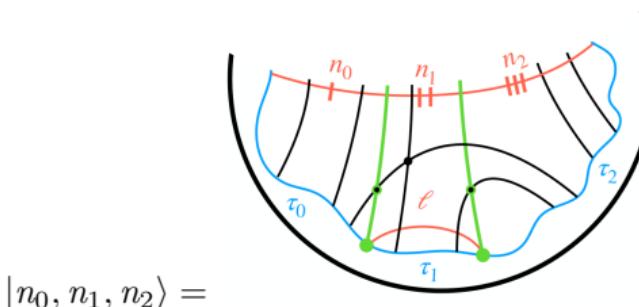
Recall

$$\mathcal{L} = b_{\hat{n}} \hat{\alpha}^\dagger + \hat{\alpha} b_{\hat{n}} = \bar{\alpha}^\dagger + \bar{\alpha} b_{\hat{n}}^2 = a^\dagger + a,$$

Chord algebra

$$aa^\dagger - qa^\dagger a = 1, \quad [a, a^\dagger] = q^{\hat{n}}, \quad [\hat{n}, a^\dagger] = a^\dagger, \quad [\hat{n}, a] = -a$$

Matter chords



- ▶ multi-point function [Lin,Stanford:'23]
- ▶ quantum group $SL_q(2)$ and generator algebra $U_q(SL(2))$
- ▶ The holographic dual of DSSYK at finite λ is unknown. Candidate: quantum disk [Almheiri,Popov:'24]