

Towards a classification of Fermionic Rational CFTs

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Based on: J. Bae, ZD, K. Lee, S. Lee, M. Sarkis, arXiv: 2010.12392/2108.01647
ZD, K. Lee, S. Lee, L. Li, arXiv: 2210.06805

Joint HEP-TH seminar



Overview

- Holomorphic modular bootstrap
- Extension to fermionic theories
- Integrality
- Summary

Motivation

- Describe critical phenomena of phase transitions
- Describe 2D world-sheet of string theory
- Deep mathematical structure

CFT Basics

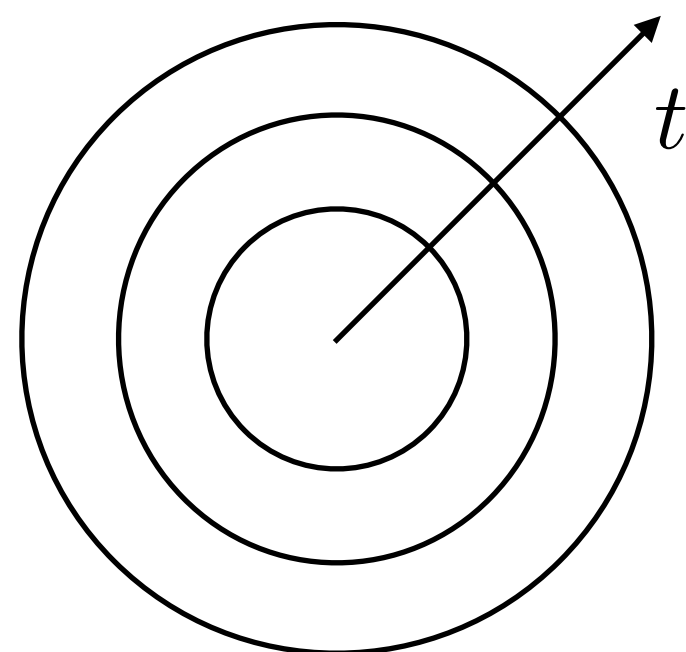
- 2D conformal field theory contains two copies of **Virasoro algebras** as its symmetry algebra

$$[L_m, L_n] = (m - n)L_{m+n} + \frac{c}{12}(m^3 - m)\delta_{m,-n} \quad (m \in \mathbb{Z})$$

- Conformal primaries are highest-weight states:

$$L_0|h\rangle = h|h\rangle, \quad L_{n>0}|h\rangle = 0 \quad \text{Conformal descendants: } L_{-k_1}L_{-k_2} \cdots L_{-k_n}|h\rangle$$

- Radial quantization

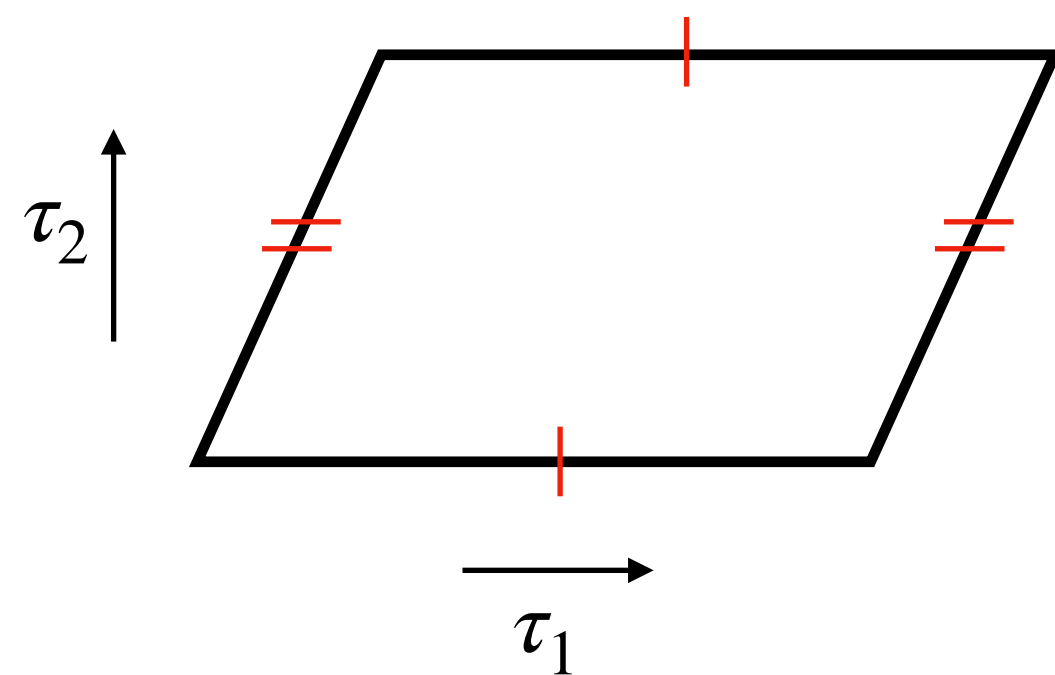


$$\mathcal{H}_{S^1} = \bigoplus_{h, \bar{h}} \overbrace{d_{h, \bar{h}}}^{\text{Degeneracy}} V_h \otimes V_{\bar{h}}$$

Highest-weight Representation

CFT Basics

- Torus Partition Function



$$\begin{aligned}
 Z(\tau, \bar{\tau}) &= \text{Tr}_{\mathcal{H}_{S^1}} [\exp(2\pi i \tau_1 P - 2\pi \tau_2 H)] \\
 &= \text{Tr}_{\mathcal{H}_{S^1}} [q^{L_0 - c/24} \bar{q}^{\bar{L}_0 - c/24}] \quad \longrightarrow \quad q = e^{2\pi i(\tau_1 + i\tau_2)} \\
 &= \sum_{h, \bar{h}} d_{h, \bar{h}} \underbrace{\text{Tr}_{V_h} [q^{L_0 - \frac{c}{24}}]}_{\chi_h(\tau)} \underbrace{\text{Tr}_{V_{\bar{h}}} [\bar{q}^{\bar{L}_0 - \frac{c}{24}}]}_{\chi_{\bar{h}}(\bar{\tau})} \\
 &= e^{2\pi i \tau}
 \end{aligned}$$

Character: counting states in rep.

- Modular invariance

$SL(2, \mathbb{Z})$ symmetry group generated by T and S

$$\mathcal{T} : Z(\tau, \bar{\tau}) \rightarrow Z(\tau + 1, \bar{\tau} + 1) = \text{Tr}[e^{2\pi i J} q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - \frac{c}{24}}] \quad \text{States must have integer spin}$$

$$\mathcal{S} : Z(\tau, \bar{\tau}) \rightarrow Z\left(-\frac{1}{\tau}, -\frac{1}{\bar{\tau}}\right) \quad \text{Impose constraints on the spectrum}$$

Rational CFT

- Rational CFT has only **finitely** many conformal primaries $\implies c$ and all h rational!

[Anderson, Moore 1988] [Vafa 1988]

$$Z(\tau, \bar{\tau}) = \sum_{i,j=0}^{n-1} \chi_i d_{ij} \bar{\chi}_j$$

Lattice CFT, WZW model, etc

$$= \underbrace{(\chi_0, \dots, \chi_{n-1})}_{\downarrow \text{Classify?}} \cdot \mathcal{M} \cdot \begin{pmatrix} \bar{\chi}_0 \\ \vdots \\ \bar{\chi}_{n-1} \end{pmatrix}$$

- (Holomorphic) characters form a weight zero **vector-valued modular form** for $SL(2, \mathbb{Z})$

$$\chi_i(\tau + 1) = \sum_{j=0}^{n-1} T_{ij} \chi_j(\tau), \quad \chi_i\left(-\frac{1}{\tau}\right) = \sum_{j=0}^{n-1} S_{ij} \chi_j(\tau)$$

Modularity

- Recall MF of weight k

$$f\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^{\overset{\text{Weight}}{k}} f(\tau), \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{Z}) \quad \text{e.g.} \quad E_k(\tau) = \sum_{\substack{m, n \in \mathbb{Z} \\ m, n \neq 0}} \frac{1}{(m\tau + n)^k}$$

$\tau \in \mathbb{H}$

Graded ring of **holomorphic** modular forms $M_k(\text{SL}(2, \mathbb{Z}))$ freely generated by E_4 and E_6

Modular Forms also have $q = \exp(2\pi i\tau)$ -expansion

- Characters span solutions to n -th order **Modular Linear Differential Equation (MLDE)**

Related to null vectors [Gaberdiel, Keller 0804.0489]

[Anderson, Moore 1988]

$$\left[D_\tau^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_\tau^a \right] \chi_i(\tau) = 0$$

Modular form of weight $2(n - a)$

Serre Derivative $D_\tau = \frac{1}{2\pi i} \partial_\tau - \frac{k}{12} E_2 : M_k \rightarrow M_{k+2}$

MLDE

- Wronskian method

$$D_\tau^n \chi + \sum_{a=0}^{n-1} \phi_a(\tau) D_\tau^a \chi = 0$$

$$\det \begin{pmatrix} \chi & \chi_0 & \cdots & \chi_{n-1} \\ D\chi & D\chi_0 & \cdots & D\chi_{n-1} \\ \vdots & \vdots & & \vdots \\ D^k \chi & D^k \chi_0 & \cdots & D^k \chi_{n-1} \\ \vdots & \vdots & & \vdots \\ D^n \chi & D^n \chi_0 & \cdots & D^n \chi_{n-1} \end{pmatrix} = 0 \implies W_k = \det \begin{pmatrix} \chi_0 & \cdots & \chi_{n-1} \\ D_\tau \chi_0 & \cdots & D_\tau \chi_{n-1} \\ \vdots & & \vdots \\ D_\tau^{k-1} \chi_0 & \cdots & D_\tau^{k-1} \chi_{n-1} \\ D_\tau^{k+1} \chi_0 & \cdots & D_\tau^{k+1} \chi_{n-1} \\ \vdots & & \vdots \\ D_\tau^n \chi_0 & \cdots & D_\tau^n \chi_{n-1} \end{pmatrix}, \quad \phi_k(\tau) = (-1)^{n-k} \frac{W_k}{W_n}$$

Meromorphic

- Physical constraint: characters have no poles in upper half-plane
- Poles of $\phi_a(\tau)$ all come from **zeros** of W_n

Holomorphic modular bootstrap

[Mathur, Mukhi, Sen 1988]

- Classify RCFT by n (number of χ_i) and l (order of zeros for W_n)

- Physical constraints on q -expansion

Integrality; Positivity; Unique Vacuum; Unitarity...

$$\begin{cases} \chi_0 \stackrel{\text{vacuum}}{=} q^{-c/24} (1 + \sum a_i q^i), & \underline{a_i \in \mathbb{Z}^{\geq 0}} \\ \chi_i = q^{h_i - c/24} (1 + \sum a_i q^i), & \underline{a_i \in \mathbb{Q}^{\geq 0}} \end{cases}$$

- Identify or disprove the solutions

Bounded denominator

- 2nd order holomorphic ($l = 0$) MLDE [Mathur, Mukhi, Sen 1988]

$M_k(\text{SL}(2, \mathbb{Z}))$ generated by E_4 and E_6 $[D_\tau^2 + \mu E_4] f(\tau) = 0$

Finite unitary family $\mu = -\frac{c(c+4)}{576}, \quad c = \left\{ \frac{2}{5}, 1, 2, \frac{14}{5}, 4, \frac{26}{5}, 6, 7, \frac{38}{5}, 8 \right\}$

Mathur-Mukhi-Sen family

- MMS (Cvitanović-Deligne) Series

$$\left\{ \frac{2}{5}, 1, 2, \frac{14}{5}, 4, \frac{26}{5}, 6, 7, \left(\frac{38}{5}\right), 8 \right\}$$

$$\Downarrow$$

$$\underbrace{\{LY, \mathfrak{a}_1, \mathfrak{a}_2, \mathfrak{g}_2, \mathfrak{d}_4, \mathfrak{f}_4, \mathfrak{e}_6, \mathfrak{e}_7, (\mathfrak{e}_{7\frac{1}{2}}), \mathfrak{e}_8\}}_{\text{Level 1 WZW model}}$$

$$\dim L(\theta) = \dim \mathfrak{g} = \frac{2(h^\vee + 1)(5h^\vee - 6)}{h^\vee + 6}$$

$$\dim L(2\theta) = 5(h^\vee)^2 \frac{(2h^\vee + 3)(5h^\vee - 6)}{(h^\vee + 12)(h^\vee + 6)} \dots$$

- Appears also

- At the boundary of **2d** numerical modular bootstrap [\[Collier, Lin, Yin 1608.06241\]](#) [\[Bae, Lee, Song 1708.08815\]](#)
- Gauge algebra (except $\mathfrak{a}_1, \mathfrak{g}_2$) of minimal **6d** $\mathcal{N} = (1,0)$ SCFTs [\[Morrison, Tylor 1201.1943\]](#)
- Instanton moduli space of simply laced \mathfrak{g} = Higgs branch of **4d** rank 1 $\mathcal{N} = 2$ SCFT [\[Beem et al. 1312.5344\]](#) \Downarrow [\[Beem, Rastelli 1707.07679\]](#)

(Modified) Schur index = Vacuum character of WZW model at level $-\frac{h^\vee}{6} - 1 = \text{non-unitary}$ solution of 2nd order MLDE

Generalize to fermionic theories!

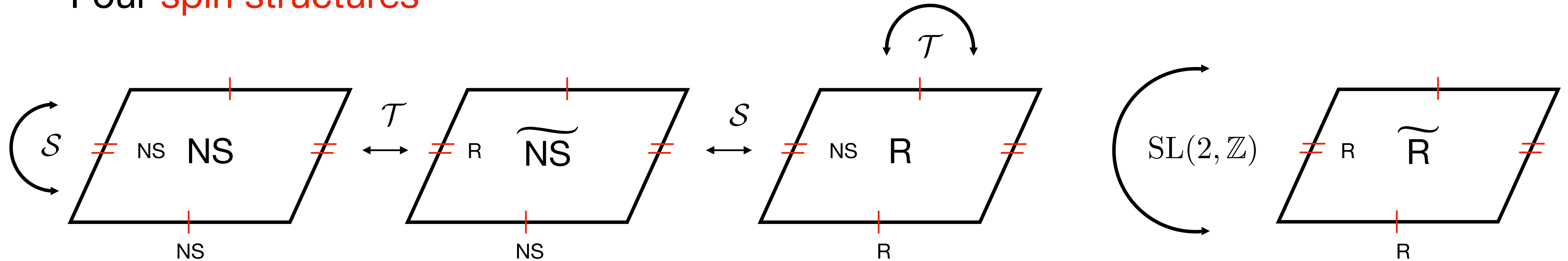
[J. Bae, ZD, K. Lee, S. Lee, M. Sarkis, 2010.12392]

Are there analogs of MMS family?

Torus Partition Function Revisited

- Four **spin structures**

NS = Anti-Periodic R = Periodic



- Four partition functions

$$Z_{\text{NS}}(\tau, \bar{\tau}) = \text{Tr}_{\mathcal{H}_{\text{NS}}} \left[q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - c/24} \right], \quad Z_{\widetilde{\text{NS}}}(\tau, \bar{\tau}) = \text{Tr}_{\mathcal{H}_{\text{NS}}} \left[(-1)^F q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - c/24} \right]$$

$$Z_{\text{R}}(\tau, \bar{\tau}) = \text{Tr}_{\mathcal{H}_{\text{R}}} \left[q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - c/24} \right], \quad Z_{\widetilde{\text{R}}}(\tau, \bar{\tau}) = \text{Tr}_{\mathcal{H}_{\text{R}}} \left[(-1)^F q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - c/24} \right]$$

Witten index if \exists SUSY

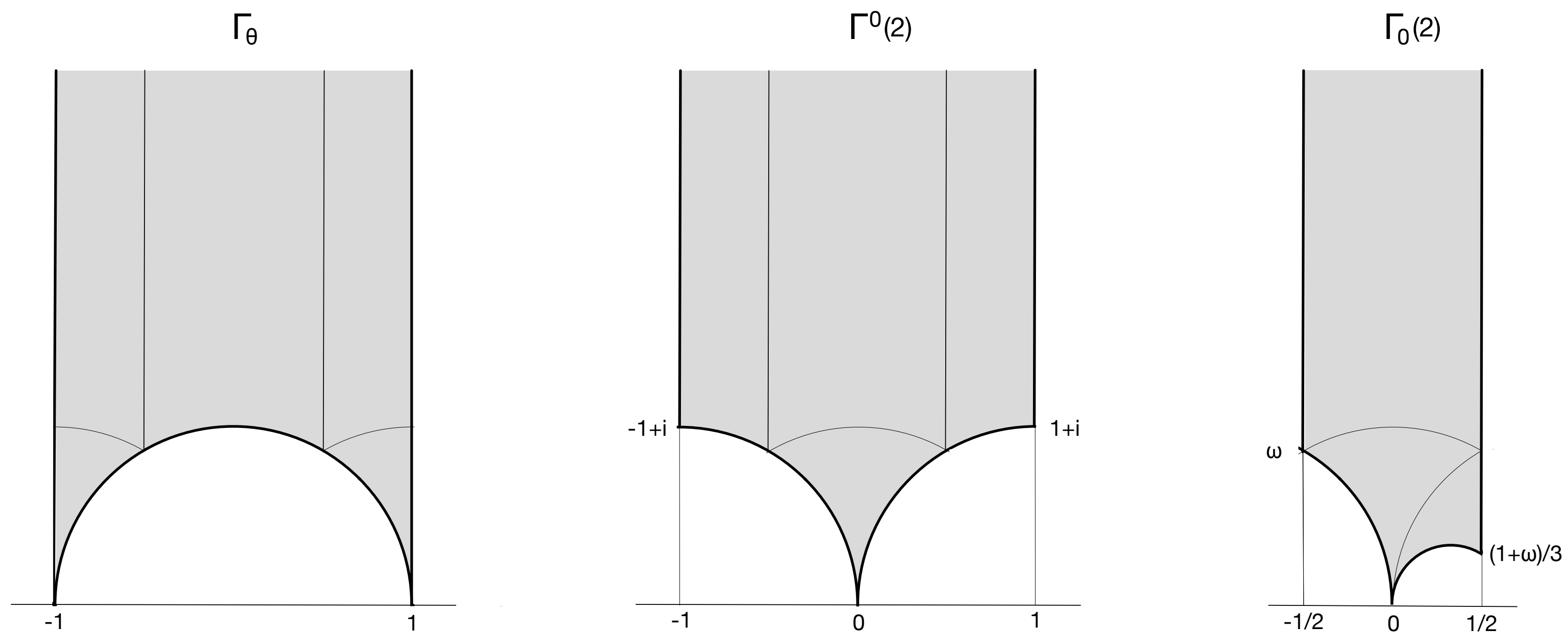
$$h_i \implies h_i^{\text{NS}}, h_i^{\text{R}}$$

Symmetry

- Level-two congruence subgroups of $SL(2, \mathbb{Z})$

$$\underbrace{\Gamma_\theta = \langle T^2, S \rangle}_{NS} \xleftrightarrow{\mathcal{T}} \underbrace{\Gamma^0(2) = \langle T^2, STS^{-1} \rangle}_{\widetilde{NS}} \xleftrightarrow{\mathcal{S}} \underbrace{\Gamma_0(2) = \langle T, ST^{-2}S^{-1} \rangle}_{\mathbb{R}}$$

- Fundamental domain



Fermionic MLDE

- MLDE for NS, $\widetilde{\text{NS}}$ and R

Focus on \rightarrow

$$\begin{array}{l}
 \mathcal{T} \left[D_{\tau}^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_{\tau}^a \right] f_i^{\text{NS}}(\tau) = 0, \quad f_i^{\text{NS}} = q^{h_i^{\text{NS}} - \frac{c}{24}} \left(\sum_{i=0}^{\infty} a_i q^{i/2} \right) \\
 \mathcal{S} \left[D_{\tau}^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_{\tau}^a \right] f_i^{\widetilde{\text{NS}}}(\tau) = 0, \quad f_i^{\widetilde{\text{NS}}} = q^{h_i^{\text{NS}} - \frac{c}{24}} \left(\sum_{i=0}^{\infty} (-1)^i a_i q^{i/2} \right) \\
 \left[D_{\tau}^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_{\tau}^a \right] f_i^{\text{R}}(\tau) = 0, \quad f_i^{\text{R}} = q^{h_i^{\text{R}} - \frac{c}{24}} \left(\sum_{i=0}^{\infty} b_i q^i \right)
 \end{array}$$

- Ring of **holomorphic** modular forms

$$M_{2k}(\Gamma_{\theta}) = \text{Sym}\langle -\vartheta_2^4, \vartheta_4^4 \rangle$$

$$M_{2k}(\Gamma^0(2)) = \text{Sym}\langle \vartheta_2^4, \vartheta_3^4 \rangle$$

$$M_{2k}(\Gamma_0(2)) = \text{Sym}\langle \vartheta_3^4, \vartheta_4^4 \rangle$$

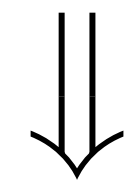
$$(k \geq 1)$$

ϑ_i Jacobi theta functions, weight 1/2

Classification of fermionic RCFTs

- Holomorphic first order ($n = 1, l = 0$) MLDE for Γ_θ

$$M_k(\Gamma_\theta) = \text{Sym}\langle -\vartheta_2^4, \vartheta_4^4 \rangle \quad \left[D_\tau + \mu \left(-\vartheta_2^4(\tau) + \vartheta_4^4(\tau) \right) \right] f^{\text{NS}} = 0, \quad \mu = \frac{c}{12}$$



Change of variables

$$\left[\frac{d}{d\lambda} + \frac{c}{12} \frac{1 - 2\lambda}{\lambda(1 - \lambda)} \right] f^{\text{NS}} = 0, \quad \lambda = \frac{\vartheta_2^4}{\vartheta_3^4}$$

- All Solutions

$$f_0^{\text{NS}}(\lambda) = \left[\frac{16}{\lambda(1 - \lambda)} \right]^{\frac{c}{12}} \quad \text{To have non-negative coefficients } c = \frac{k}{2}, \quad k \in \mathbb{N}$$

- Tensor products of $k = 1$ theory. What is it? **Majorana-Weyl fermion!**

Ising Model

- Unitary minimal model $(m + 1, m)$ with $c = 1 - \frac{6}{m(m + 1)}$
- $m = 3$ is Ising model: $h = 0, \frac{1}{2}$ and $\frac{1}{16}$

$$\chi_0(\tau) = \frac{1}{2} \left[\sqrt{\frac{\vartheta_3(\tau)}{\eta(\tau)}} + \sqrt{\frac{\vartheta_4(\tau)}{\eta(\tau)}} \right] = q^{-\frac{1}{24} \cdot \frac{1}{2}} (1 + q^2 + q^3 + 2q^4 + \dots)$$

- $\chi_\epsilon(\tau) = \frac{1}{2} \left[\sqrt{\frac{\vartheta_3(\tau)}{\eta(\tau)}} - \sqrt{\frac{\vartheta_4(\tau)}{\eta(\tau)}} \right] = q^{\frac{1}{2} - \frac{1}{24} \cdot \frac{1}{2}} (1 + q + q^2 + q^3 + \dots)$

$$\chi_\sigma(\tau) = \frac{1}{\sqrt{2}} \sqrt{\frac{\vartheta_2(\tau)}{\eta(\tau)}} = q^{\frac{1}{16} - \frac{1}{24} \cdot \frac{1}{2}} (1 + q + q^2 + 2q^3 + \dots)$$

They satisfy a third order holomorphic **bosonic** MLDE

Rank 1 solution

- $k = 1$ ($c = \frac{1}{2}$) solution for fermionic MLDE

$$f_0^{\text{NS}} = \left[\frac{16}{\lambda(1-\lambda)} \right]^{\frac{1}{24}} = \sqrt{\frac{\vartheta_3(\tau)}{\eta(\tau)}} = \chi_0(\tau) + \chi_\epsilon(\tau)$$

$(h = \frac{1}{2})$

$\mathcal{T} \updownarrow$

$$f_0^{\widetilde{\text{NS}}} = \sqrt{\frac{\vartheta_4(\tau)}{\eta(\tau)}} = \chi_0(\tau) - \chi_\epsilon(\tau)$$

\Rightarrow MW fermion

$\mathcal{S} \updownarrow$

$$f_0^{\text{R}} = \sqrt{\frac{\vartheta_2(\tau)}{\eta(\tau)}} = \sqrt{2}\chi_\sigma(\tau)$$

- Partition function:

$$Z = |\chi_0|^2 + |\chi_\epsilon|^2 + |\chi_\sigma|^2$$

$$= \frac{1}{2} \left(\underbrace{|\chi_0 + \chi_\epsilon|^2}_{Z_{\text{NS}}} + \underbrace{|\chi_0 - \chi_\epsilon|^2}_{Z_{\widetilde{\text{NS}}}} + \underbrace{|\sqrt{2}\chi_\sigma|^2}_{Z_{\text{R}}} + \underbrace{0}_{Z_{\widetilde{\text{R}}}} \right)$$

Z_{NS}

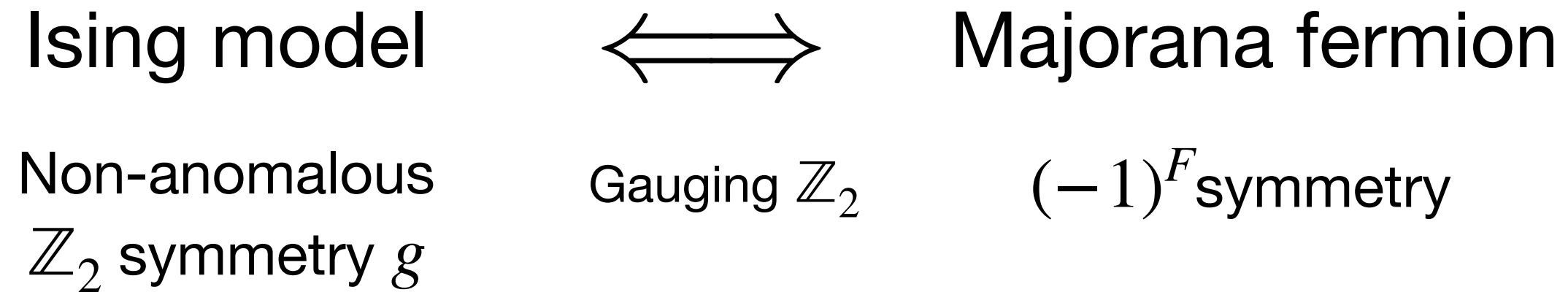
$Z_{\widetilde{\text{NS}}}$

Z_{R}

$Z_{\widetilde{\text{R}}}$

due to fermionic zero mode

Fermionization



- More precisely

e.g. [Karch, Tong, Turner 1902.05550]

Couple to spin TQFT and gauge \mathbb{Z}_2 background field

sum over all spin structures t (GSO projection)

$$Z_{\mathcal{F}}(\rho) = \frac{1}{2^g} \sum_{t \in H^1(\Sigma_g, \mathbb{Z}_2)} Z_{\mathcal{B}}[t + \rho] \exp \left[i\pi \left(\text{Arf}[t + \rho] + \text{Arf}[\rho] + \int t \cup S \right) \right]$$

$$Z_{\mathcal{B}}(T) = \frac{1}{2^g} \sum_{s \in H^1(\Sigma_g, \mathbb{Z}_2)} Z_{\mathcal{F}}[s + \rho] \exp \left[i\pi \left(\text{Arf}[T + \rho] + \text{Arf}[\rho] + \int s \cup T \right) \right]$$

Denote $Z_{(a,b)}$ the partition function with \mathbb{Z}_2 symmetry line a, b in spatial and time direction

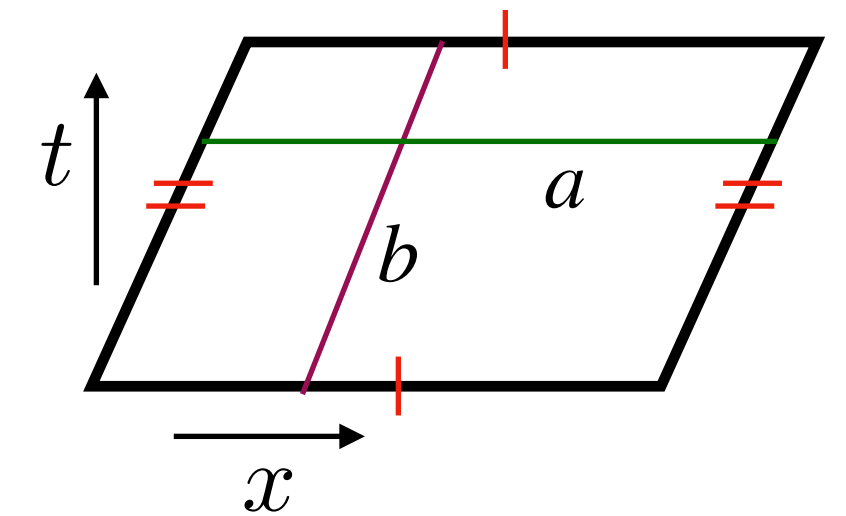
$$Z_{\mathcal{F}}^{\text{NS}} = \frac{1}{2} \left(Z_{(1,1)} + Z_{(g,1)} + Z_{(1,g)} - Z_{(g,g)} \right),$$

$$Z_{\mathcal{F}}^{\widetilde{\text{NS}}} = \frac{1}{2} \left(Z_{(1,1)} + Z_{(g,1)} - Z_{(1,g)} + Z_{(g,g)} \right),$$

$$Z_{\mathcal{F}}^{\text{R}} = \frac{1}{2} \left(Z_{(1,1)} - Z_{(g,1)} + Z_{(1,g)} + Z_{(g,g)} \right),$$

$$Z_{\mathcal{F}}^{\widetilde{\text{R}}} = \frac{1}{2} \left(Z_{(1,1)} - Z_{(g,1)} - Z_{(1,g)} - Z_{(g,g)} \right).$$

$$\text{Tr}_{V_{h_b}} \left[a q^{L_0 - \frac{c}{24}} \right]$$



$$Z_{\mathcal{B}} = Z_{(1,1)} = Z_{\mathcal{F}}^{\text{NS}} + Z_{\mathcal{F}}^{\widetilde{\text{NS}}} + Z_{\mathcal{F}}^{\text{R}} + Z_{\mathcal{F}}^{\widetilde{\text{R}}}$$

Result

| type | property | central charge c |
|---------------------|--|--|
| BPS, I | $h_-^R = \frac{c}{24}, a_1 = 0$ | $1, \frac{9}{4}, 6, \frac{39}{4}, 11, 12$ |
| BPS, II | $h_-^R = \frac{c}{24}, a_1 \neq 0$ | $\frac{3}{4}, \frac{3}{2}, 3, 6, 9, \frac{21}{2}, \frac{45}{4}, 12$ |
| non-BPS, I | $h_-^R > \frac{c}{24}, h^{\text{NS}} \neq \frac{1}{2}$ | $\frac{7}{10}, \frac{133}{10}, \frac{91}{5}, \frac{102}{5}, 21, \frac{85}{4}, 22, \frac{114}{5}$ |
| non-BPS, II | $h_-^R > \frac{c}{24}, h^{\text{NS}} = \frac{1}{2}$ | $\frac{9}{2}, 5, \frac{11}{2}, 6, \frac{13}{2}, 7, \frac{15}{2}$ |
| non-BPS, III | one-parameter family | 16 |
| non-BPS, IV | single-character | $\frac{17}{2}, 9, \frac{19}{2}, 10, \dots, \frac{47}{2}$ |

[J. Bae, ZD, K. Lee, S. Lee, M. Sarkis, 2010.12392]

Result

| c | 1 | $\frac{9}{4}$ | 6 | $\frac{39}{4}$ | 11 | 12 |
|-----------------|-----------------------------|-------------------------------|----------------------------|--------------------------------|------------------------------|--------------------|
| h^{NS} | $\frac{1}{6}$ | $\frac{1}{4}$ | $\frac{1}{2}$ | $\frac{3}{4}$ | $\frac{5}{6}$ | [1] |
| h^{R} | $\frac{1}{24}, \frac{3}{8}$ | $\frac{3}{32}, \frac{15}{32}$ | $\frac{1}{4}, \frac{3}{4}$ | $\frac{13}{32}, \frac{33}{32}$ | $\frac{11}{24}, \frac{9}{8}$ | $\frac{1}{2}, [1]$ |

- A_k type $\mathcal{N} = 2$ superconformal minimal model

$$c(k) = \frac{3k}{k+2}, \quad (k = 1, 2, 3, \dots)$$

- For $k = 1$ ($c = 1$), $(h^{\text{NS}}, Q) = (0, 0), (\frac{1}{6}, \pm\frac{1}{3})$

$$\begin{cases} f_0^{\text{NS}} = \chi_{(0,0)}^{\text{NS}} = q^{-\frac{1}{24}} (1 + q + 2q^{\frac{3}{2}} + 2q^2 + 2q^{\frac{5}{2}} + \dots) \\ f_1^{\text{NS}} = \chi_{(\frac{1}{6}, \pm\frac{1}{3})}^{\text{NS}} = q^{\frac{1}{6} - \frac{1}{24}} (1 + q^{\frac{1}{2}} + q + q^{\frac{3}{2}} + 2q^2 + \dots) \end{cases}$$

$\downarrow U(1)_R$

Result

| c | 1 | $\frac{9}{4}$ | 6 | $\frac{39}{4}$ | 11 | 12 |
|-----------------|-----------------------------|-------------------------------|----------------------------|--------------------------------|------------------------------|--------------------|
| h^{NS} | $\frac{1}{6}$ | $\frac{1}{4}$ | $\frac{1}{2}$ | $\frac{3}{4}$ | $\frac{5}{6}$ | [1] |
| h^{R} | $\frac{1}{24}, \frac{3}{8}$ | $\frac{3}{32}, \frac{15}{32}$ | $\frac{1}{4}, \frac{3}{4}$ | $\frac{13}{32}, \frac{33}{32}$ | $\frac{11}{24}, \frac{9}{8}$ | $\frac{1}{2}, [1]$ |

- **Fermionization** of \mathfrak{a}_1 level six WZW model (7 primaries, \mathbb{Z}_2 symmetry from Verlinde line)

At the level of partition function:

$$\begin{aligned}
 Z &= |\chi_0|^2 + |\chi_1|^2 + |\chi_2|^2 + |\chi_3|^2 + |\chi_4|^2 + |\chi_5|^2 + |\chi_6|^2 \\
 &= \frac{1}{2} \left(\underbrace{|\chi_0 + \chi_6|^2 + |\chi_2 + \chi_4|^2}_{Z_{\text{NS}}} + \underbrace{|\chi_0 - \chi_6|^2 + |\chi_2 - \chi_4|^2}_{Z_{\widetilde{\text{NS}}}} + \underbrace{|\chi_1 + \chi_5|^2 + |\sqrt{2}\chi_3|^2}_{Z_{\text{R}}} + \underbrace{|\chi_1 - \chi_5|^2}_{Z_{\widetilde{\text{R}}} = 4} \right)
 \end{aligned}$$

Macdonald
Identity (The yellow book Ex.14.9)

Result

| | | | | | | |
|-----------------|-----------------------------|-------------------------------|----------------------------|--------------------------------|------------------------------|--------------------|
| c | 1 | $\frac{9}{4}$ | 6 | $\frac{39}{4}$ | 11 | 12 |
| h^{NS} | $\frac{1}{6}$ | $\frac{1}{4}$ | $\frac{1}{2}$ | $\frac{3}{4}$ | $\frac{5}{6}$ | [1] |
| h^{R} | $\frac{1}{24}, \frac{3}{8}$ | $\frac{3}{32}, \frac{15}{32}$ | $\frac{1}{4}, \frac{3}{4}$ | $\frac{13}{32}, \frac{33}{32}$ | $\frac{11}{24}, \frac{9}{8}$ | $\frac{1}{2}, [1]$ |



- **Fermionization** of six copies of \mathfrak{a}_1 level one WZW model

Conformal weights: (lots of degeneracies) $h = 0, \frac{1}{4}, \frac{1}{2}, \frac{3}{4}, 1, \frac{5}{4}, \frac{3}{2}$ ($\chi_{\frac{1}{4}} - \chi_{\frac{5}{4}} = 2$)

$\mathcal{N} = 4$ supersymmetry? [Harvey, Moore 2003.13700]

- One-character theory. The second solution does not make sense as a character.

What is it? Why we list it here?

C = 12 Theory

- Solution $f_0^{\text{NS}} = K(\tau) = \frac{16}{\lambda(1-\lambda)} - 24, \quad \lambda = \frac{\theta_2^4}{\theta_3^4}$

Describe \mathbb{Z}_2 orbifold of eight free bosons + eight free fermions

$\exists \mathcal{N} = 1$ super vertex operator algebra

[Duncan 0502267]

- Very large symmetry group known as Conway group Co_0 (order $\sim 8 \times 10^8$)

Start from 24 free fermions: to generate the required supercurrent, $W = \sum_{\alpha=1}^{4096} \epsilon^\alpha W_\alpha$

A choice of ϵ^α breaks $O(24)$ symmetry to Co_0

- Conjectured to be holographically dual to the pure $\mathcal{N} = 1$ supergravity in AdS_3 [Witten 0706.3359]

Bilinear Relation

| c | 1 | $\frac{9}{4}$ | 6 | $\frac{39}{4}$ | 11 | 12 |
|---------------|---|---------------|----|----------------|----|-----|
| \mathcal{M} | 2 | 1 | 15 | 1 | 2 | [0] |

Primary degeneracy

$$f_0^{\text{NS},(c)} f_0^{\text{NS},(12-c)} + \mathcal{M} f_1^{\text{NS},(c)} f_1^{\text{NS},(12-c)} = f_0^{\text{NS},(12)} = K(\tau)$$

- Decompose the one-character theory into two sub-theories

Generalized coset construction [Gaberdiel, Hampapura, Mukhi 1602.01022] Extended to 4-point functions [Muhki, Poddar 2011.09487]

Related to Hecke image of characters [Harvey, Wu 1804.06860][ZD, Lee, Sun 2206.07478]

Conway Moonshine

- Bilinear relation:

Decompose Conway CFT into theories with subgroup symmetry

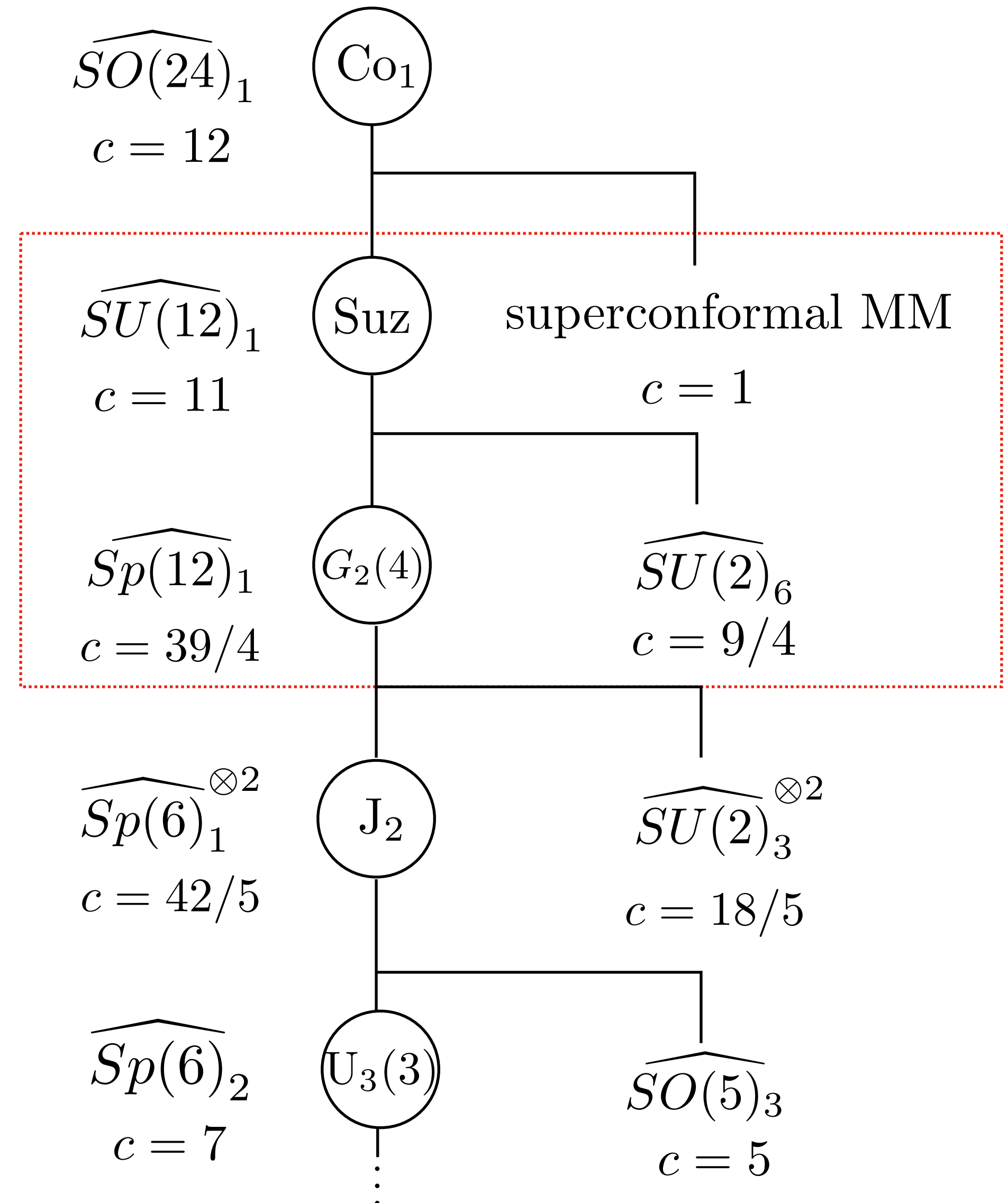
$$f_0^{\text{NS},(c)} f_0^{\text{NS},(12-c)} + \mathcal{M} f_1^{\text{NS},(c)} f_1^{\text{NS},(12-c)} = f_0^{\text{NS},(12)} = K(\tau)$$

- $\mathcal{N} = 1$ SVOA with symmetry Suzuki chain subgroups are identified in [Johnson-Freyd 1908.11012]

Dual theories naturally appear from the classification

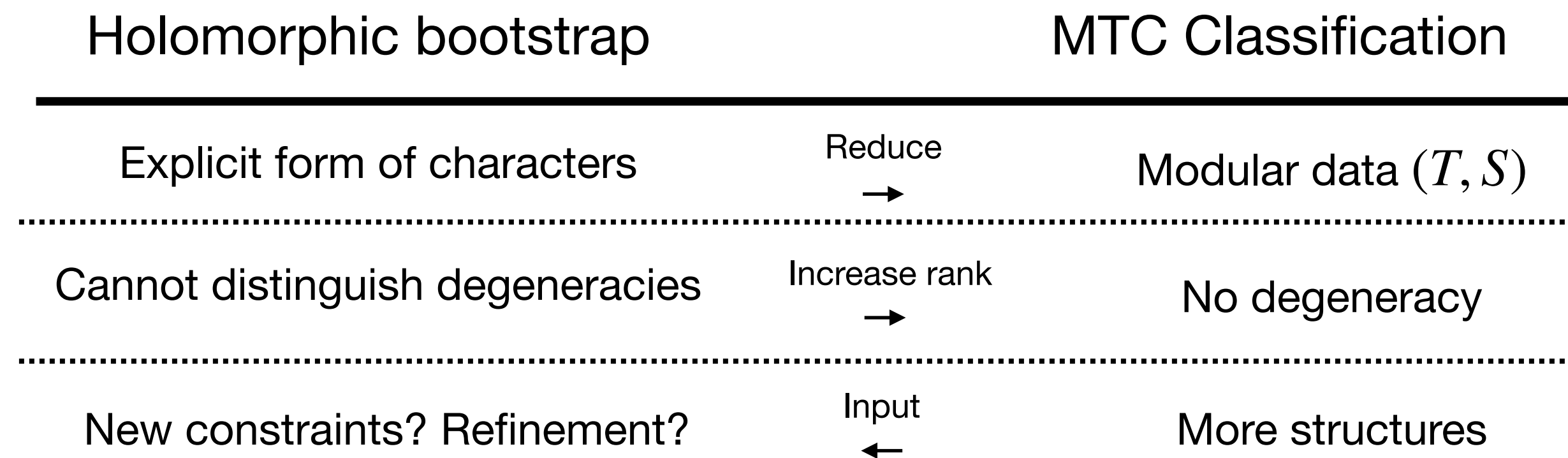
- Beyond two characters starting from J_2 group

[J. Bae, ZD, K. Lee, S. Lee, M. Sarkis, 2108.01647]



Comparison with MTC classification

- Modular Tensor Category (MTC) contains objects with topological spin, \exists fusion, braiding operations...
- Similarity: modularity plays an important role
- Difference:



- Fermionic theories: Spin/Super/Fermionic MTC

[Bruillard et al, 1705.05293]

[Bruillard, Plavnik, Rowell, Zhang, 1909.09843]

↓
First non-trivial example: \mathfrak{a}_1 level six WZW model

Rank 3

- **Problem: number of parameters grows too fast**

For holomorphic case, $c, h_1^{\text{NS}}, h_2^{\text{NS}}$ determine three out of five; impose both “BPS” condition and absence of free fermions.

| Class | $(c, h_1^{\text{NS}}, h_2^{\text{NS}}, h_0^{\text{R}}, h_1^{\text{R}}, h_2^{\text{R}})$ |
|-------|--|
| I | $(\frac{3m}{2}, \frac{1}{2}, \frac{m}{8}, \frac{m}{16}, \frac{1}{16}(2m + 4 + m - 4), \frac{1}{16}(2m + 4 - m - 4))$ |
| I | $(12, \frac{1}{2}, 1, \frac{1}{2}, \frac{3}{2}, 1), (18, \frac{1}{2}, \frac{3}{2}, \frac{3}{4}, \frac{9}{4}, \frac{5}{4}), (24, \frac{1}{2}, 2, 1, 3, \frac{3}{2})$ |
| II | $(2, \frac{1}{6}, \frac{1}{3}, \frac{1}{12}, \frac{3}{4}, \frac{5}{12}), (\frac{18}{5}, \frac{3}{10}, \frac{2}{5}, \frac{3}{20}, \frac{3}{4}, \frac{11}{20}), (\frac{9}{2}, \frac{1}{4}, \frac{1}{2}, \frac{3}{16}, \frac{15}{16}, \frac{9}{16}), (5, \frac{1}{3}, \frac{1}{2}, \frac{5}{24}, \frac{7}{8}, \frac{5}{8}),$ $(7, \frac{1}{2}, \frac{2}{3}, \frac{7}{24}, \frac{7}{8}, \frac{5}{8}), (\frac{15}{2}, \frac{1}{2}, \frac{3}{4}, \frac{5}{16}, \frac{15}{16}, \frac{9}{16}), (\frac{42}{5}, \frac{3}{5}, \frac{7}{10}, \frac{7}{20}, \frac{19}{20}, \frac{3}{4}), (10, \frac{2}{3}, \frac{5}{6}, \frac{5}{12}, \frac{13}{12}, \frac{3}{4})$ |
| III | $(\frac{39}{2}, \frac{3}{4}, \frac{3}{2}, \frac{13}{16}, \frac{33}{16}, \frac{23}{16}), (\frac{66}{5}, \frac{4}{5}, \frac{11}{10}, \frac{11}{20}, \frac{27}{20}, \frac{3}{4}), (22, \frac{5}{6}, \frac{5}{3}, \frac{11}{12}, \frac{9}{4}, \frac{19}{12})$ |
| IV | $(1, \frac{1}{6}, \frac{1}{2}, \frac{1}{24}, \frac{3}{8}, \frac{1}{8}), (\frac{3}{2}, \frac{1}{2}, \frac{1}{4}, \frac{1}{16}, \frac{5}{16}, \frac{3}{16}), (3, \frac{1}{2}, \frac{1}{3}, \frac{1}{8}, \frac{11}{24}, \frac{3}{8}),$ $(9, \frac{1}{2}, \frac{2}{3}, \frac{3}{8}, \frac{9}{8}, \frac{25}{24}), (\frac{21}{2}, \frac{1}{2}, \frac{3}{4}, \frac{7}{16}, \frac{21}{16}, \frac{19}{16}), (11, \frac{1}{2}, \frac{5}{6}, \frac{11}{24}, \frac{11}{8}, \frac{9}{8})$ |

[J. Bae, ZD, K. Lee, S. Lee, M. Sarkis, 2108.01647]

- **Improvement: make use of *integrality!***

Already appeared in MTC literature [W. Eholzer 9408160], heavily used e.g. in [Ng, Rowell, Wang, Wen, 2203.14829]

First appeared in holomorphic bootstrap [Kaidi, Lin, Parra-Martinez 2107.13557]

Integrality for $\mathrm{SL}(2, \mathbb{Z})$

- Unbounded Denominator Conjecture (congruence property in MTC):

If coefficients of a vector-valued modular form (characters in particular) are all integral, each component is invariant under a fixed **principal congruence subgroup** $\Gamma(N)$

Smallest N possible

proved by [Calegari, Dimitrov, Tang, 2109.09040]

- $$\Gamma(N) := \left\{ \begin{pmatrix} a & b \\ d & e \end{pmatrix} \in \mathrm{SL}(2, \mathbb{Z}), N \in \mathbb{N}, \begin{pmatrix} a & b \\ d & e \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$

- Action of $\mathrm{SL}(2, \mathbb{Z})$ is reduced to: $\mathrm{SL}(2, \mathbb{Z}) / \Gamma(N) = \mathrm{SL}(2, \mathbb{Z}_N)$

Finite group

- No assumptions on positivity; $-\mathrm{id}$ acts trivially, so actually $\mathrm{PSL}(2, \mathbb{Z}_N)$ reps

Fun with Finite Group

- If $N = \prod_i p_i^{\lambda_i}$ with p_i prime, then:

$$\mathrm{SL}(2, \mathbb{Z}_N) = \prod_i \mathrm{SL}(2, \mathbb{Z}_{p_i^{\lambda_i}})$$

- Understand representation theory of $\mathrm{SL}(2, \mathbb{Z}_{p^\lambda})$, then tensor them together

Easily accessed from e.g., GAP

- **Assumption:**

- ① characters transform as irreducible representation

Not for degenerate theory where $\exists(i, j), h_i - h_j \in \mathbb{Z}$

- ② p^λ cannot be reduced further

Not for irreps that are pulled back from irreps of $\mathrm{SL}(2, \mathbb{Z}_{p^{\lambda-1}})$

Lesson from Finite Group

- Define exponents α_i as $\chi_i \sim q^{\alpha_i}(1 + \dots)$, i.e., $\alpha_i = h_i - \frac{c}{24}$ ($0 \leq i \leq n - 1$)
- For given n , the set of $\{\alpha_i \pmod{1}\}$ is always **finite**, independent of l and can be determined

- When $n = 2$

| N | Exponents mod 1 |
|-----|--|
| 2 | $\{0, \frac{1}{2}\}$ |
| 6 | $\{\frac{2}{3}, \frac{1}{6}\}, \{\frac{1}{3}, \frac{5}{6}\}$ |
| 8 | $\{\frac{1}{8}, \frac{3}{8}\}, \{\frac{5}{8}, \frac{7}{8}\}$ |
| 12 | $\{\frac{1}{4}, \frac{11}{12}\}, \{\frac{3}{4}, \frac{5}{12}\}, \{\frac{1}{4}, \frac{7}{12}\}, \{\frac{3}{4}, \frac{1}{12}\}, \{\frac{1}{12}, \frac{5}{12}\}, \{\frac{7}{12}, \frac{11}{12}\}$ |
| 20 | $\{\frac{1}{20}, \frac{9}{20}\}, \{\frac{3}{20}, \frac{7}{20}\}, \{\frac{11}{20}, \frac{19}{20}\}, \{\frac{13}{20}, \frac{17}{20}\}$ |
| 24 | $\{\frac{11}{24}, \frac{17}{24}\}, \{\frac{5}{24}, \frac{23}{24}\}, \{\frac{1}{24}, \frac{19}{24}\}, \{\frac{7}{24}, \frac{13}{24}\}$ |
| 60 | $\{\frac{11}{60}, \frac{59}{60}\}, \{\frac{17}{60}, \frac{53}{60}\}, \{\frac{23}{60}, \frac{47}{60}\}, \{\frac{29}{60}, \frac{41}{60}\}, \{\frac{1}{60}, \frac{49}{60}\}, \{\frac{7}{60}, \frac{43}{60}\}, \{\frac{19}{60}, \frac{31}{60}\}, \{\frac{13}{60}, \frac{37}{60}\}$ |

- Extend the classification to $n \leq 5, l < 6$ [Kaidi, Lin, Parra-Martinez 2107.13557]

Integrality for Γ_ϑ ?

- Conjecture (is it?):

If coefficients of a vector-valued modular form of Γ_ϑ are all integral, each component is invariant under a fixed **principal congruence subgroup** $\Gamma(N)$ with N **even**

For super MTC under technical assumption, proved by [Bonderson, Rowell, Zhang, Wang 1704.02041]

Smallest N possible

- $T^N \in \Gamma(N) := \left\{ \begin{pmatrix} a & b \\ d & e \end{pmatrix} \in \text{SL}(2, \mathbb{Z}), N \in \mathbb{N}, \begin{pmatrix} a & b \\ d & e \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\} < \Gamma_\theta = \langle T^2, S \rangle$
subgroup

- Action of Γ_ϑ is reduced to: $\Gamma_\theta / \Gamma(N) < \text{SL}(2, \mathbb{Z}_N)$

- Similar conjecture for $\widetilde{\text{NS}}$ sector: $\Gamma^0(2)$ and R sector: $\Gamma_0(2)$

More Fun with Finite Group

- If $N = 2^k \times \prod_i p_i^{\lambda_i}$ with p_i odd prime, then:

$$\Gamma_\theta/\Gamma(N) = \Gamma_\theta/\Gamma(2^k) \times \prod_i \text{SL}(2, \mathbb{Z}_{p_i^{\lambda_i}})$$

- New ingredient: understand representation theory of $\Gamma_\theta/\Gamma(2^k)$

[Cho, Kim, Seo, You, 2210.03681]

- How to identify it?

$\Gamma_\theta/\Gamma(2^k)$ is a **2-Sylow subgroup** of $\text{SL}(2, \mathbb{Z}_{2^k})!$

Order is a pure power of 2

Precisely three of them
 $\Gamma_\theta/\Gamma(2^k)$, $\Gamma^0(2)/\Gamma(2^k)$ and $\Gamma_0(2)/\Gamma(2^k)$

- Same assumptions: non-degeneracy, irreducibility of representations

More Lesson from Finite Group

- Irrep $\mathcal{R}_i \iff$ character function $\chi_i(g) = \text{Tr}_{\mathcal{R}_i} g$

- For given $\Gamma_\theta/\Gamma(2^k)$, extract eigenvalues of some elements
 $\langle T^2, S \rangle$

① $g_m = T^{2m}$ for $m = 0, 1, \dots, 2^{k-1} - 1$: T^2 acts diagonally

knowing all values $\chi_{\mathcal{R}_i}(g_m) \iff$ knowing eigenvalues of $T^2 = e^{2\pi i(2\alpha_k^{\text{NS}})}$ $2\alpha_k^{\text{NS}} = 2h_k^{\text{NS}} - \frac{c}{12} \pmod{1}$

② $h_j = (ST)^{-1}T^j(ST)$ for $j = 0, 1, \dots, 2^k - 1$: **Lift** \mathcal{R}_i from $\Gamma_\theta/\Gamma(2^k)$ to $\text{SL}(2, \mathbb{Z}_{2^k})$

ST Conjugates **R** to **NS**

knowing all values $\chi_{\mathcal{R}_i}(h_j) \iff$ knowing eigenvalues of T in $\Gamma_0(2)/\Gamma(2^k) = e^{2\pi i \alpha_k^{\text{R}}}$

$$\alpha_k^{\text{R}} = h_k^{\text{R}} - \frac{c}{24} \pmod{1}$$

Example: $\Gamma_\theta/\Gamma(4)$

```
gap> Display(CharacterTable(sub2));
CT1
```

```
      2  4  3  3  3  3  3  4  4  3  4
      1a 4a 4b 4c 4d 2a 2b 2c 2d 2e
```

| | | | | | | | | | | |
|----------------|---|----|----|----|----|----|----|----|----|----|
| X.1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| X.2 | 1 | -1 | 1 | -1 | 1 | -1 | 1 | 1 | -1 | 1 |
| X.3 | 1 | -1 | -1 | -1 | -1 | 1 | 1 | 1 | 1 | 1 |
| X.4 | 1 | 1 | -1 | 1 | -1 | -1 | 1 | 1 | -1 | 1 |
| X.5 | 1 | A | -A | -A | A | 1 | 1 | -1 | -1 | -1 |
| X.6 | 1 | -A | -A | A | A | -1 | 1 | -1 | 1 | -1 |
| X.7 | 1 | -A | A | A | -A | 1 | 1 | -1 | -1 | -1 |
| X.8 | 1 | A | A | -A | -A | -1 | 1 | -1 | 1 | -1 |
| X.9 | 2 | . | . | . | . | . | -2 | 2 | . | -2 |
| X.10 | 2 | . | . | . | . | . | -2 | -2 | . | 2 |

Pulled back from $\Gamma_\theta/\Gamma(2)$

$A = E(4)$ \uparrow id $(ST)^{-1}T(ST)$ \uparrow T^2 \uparrow $-\text{id}$
 $= \text{Sqrt}(-1) = i$

Result

[ZD, K. Lee, S. Lee, L.Li, 2210.06805]

- Finiteness at given rank: When N is large, low dimensional irreps are all pullbacks
- For rank $n \leq 4$, we determine all possible N for $\Gamma(N)$ and irreps:
 - $n = 1$, $N \in \{2,4,6,8,12,16,24,48\}$, 48 irreps
 - $n = 2$, $N \in \{4,8,12,16,20,24,32,40,48,60,80,96,120,240\}$, 300 irreps
 - $n = 3$, $N \in \{6,10,12,14,20,24,28,30,40,42,48,56,60,80,84,112,120,168,240,336\}$, 208 irreps
 - $n = 4$, $N \in \{8,10,12,16,18,20,24,28,30,32,36,40,48,56,60,64,72,80,84,96,112,120,144,160,168,192,240,336,480\}$, 1206 irreps



$2n$ exponents $\{2\alpha_i^{\text{NS}}, \alpha_i^{\text{R}}\} \text{ mod } 1$

Rank 1

$n = 1, N \in \{2,4,6,8,12,16,24,48\}$, 48 irreps

- Exponents mod 1 $\{2\alpha^{\text{NS}}, \alpha^{\text{R}}\}$ can be grouped into two families

Ruled out by anomaly argument \leftarrow

| | |
|--------------|--|
| 1 | $\left\{ \frac{i}{24}, \frac{12-i}{24} \right\}, \quad 0 \leq i \leq 23$ |
| 2 | $\left\{ \frac{i}{24}, \frac{24-i}{24} \right\}, \quad 0 \leq i \leq 23$ |

\downarrow

MW fermions!

$$f^{\text{NS}} = q^{-\frac{1}{48}} (1 + q^{\frac{1}{2}} + \dots) \quad (i = 23)$$

$$f^{\text{R}} = \sqrt{2} q^{\frac{1}{24}} (1 + q^1 + \dots)$$

- For higher n , exponents mod 1 can also be grouped into families with exponent differ by $\left\{ \frac{i}{24}, -\frac{i}{24} \right\}$.

We can always tensor a theory with decoupled free fermions which shifts the exponent

(But theories with exponents in the same family may be total unrelated)

Classification

- ① For each pair of exponents mod 1 $\{2\alpha_i^{\text{NS}}, \alpha_i^{\text{R}}\}$, generate a list of real exponents s.t. $|h_i^{\text{NS,R}}| < \text{fixed bound}$

Exponents $\{2\alpha_i^{\text{NS}}, \alpha_i^{\text{R}}\}$ constrains the parameters in MLDE, when $l = 0$ determine it up to $n = 4$

Transform

$$\begin{array}{l}
 \mathcal{T} \left[D_\tau^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_\tau^a \right] f_i^{\text{NS}}(\tau) = 0, \quad f_i^{\text{NS}} \sim q^{\alpha_i^{\text{NS}}} \\
 \left[D_\tau^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_\tau^a \right] f_i^{\widetilde{\text{NS}}}(\tau) = 0, \quad f_i^{\widetilde{\text{NS}}} \sim q^{\alpha_i^{\text{NS}}} \\
 \mathcal{S} \left[D_\tau^n + \sum_{a=0}^{n-1} \phi_a(\tau) D_\tau^a \right] f_i^{\text{R}}(\tau) = 0, \quad f_i^{\text{R}} \sim q^{\alpha_i^{\text{R}}}
 \end{array}$$

- ② Expand the solutions to high powers in q and impose other physical constraints

Confirm two character results, and bootstrap new rank three and four candidate theories

Summary and Future Directions

- Initiate the program of classifying fermionic rational CFTs from fermionic MLDE
- Classify solutions with low rank, identify many solutions and study their properties
- Explore the consequence of integrality

- Identify or disprove all solutions from holomorphic bootstrap
- Reconstruct S matrix? Make contact with MTC classification?
- Connection to 4d SCFTs, e.g. flavored MLDE
[\[Pan, Wang, Zheng, 2104.12180 & 2207.10463\]](#)