

Shifted quantum groups and matter multiplets in SUSY gauge theories

Jean-Emile Bourgine

University of Melbourne (ACEMS)

Joint HEP-TH Seminar (SUDA?)

17-08-2022

[Based on [JEB 2107.10063, 2205.01309]]

AGT correspondence

6D $\mathcal{N} = (2, 0)$ gauge theory on $\mathbb{C}^2 \times \Sigma$

4D $\mathcal{N} = 2$ gauge theory on \mathbb{C}^2

$$G = \otimes U(2)$$

$$G = \otimes U(N)$$

2D Conformal Field Theory on Σ

Liouville CFT

A_{N-1} Toda CFT

AGT correspondence

AGT correspondence

6D $\mathcal{N} = (2, 0)$ gauge theory on $\mathbb{C}^2 \times \Sigma$

4D $\mathcal{N} = 2$ gauge theory on \mathbb{C}^2

$$G = \otimes U(2)$$

$$G = \otimes U(N)$$

2D Conformal Field Theory on Σ

Liouville CFT

A_{N-1} Toda CFT

Quantum Group

W-algebra

AGT correspondence



AGT correspondence

6D $\mathcal{N} = (2, 0)$ gauge theory on $\mathbb{C}^2 \times \Sigma$

4D $\mathcal{N} = 2$ gauge theory on \mathbb{C}^2

$$G = \otimes U(2)$$

$$G = \otimes U(N)$$

2D Conformal Field Theory on Σ

Liouville CFT

A_{N-1} Toda CFT

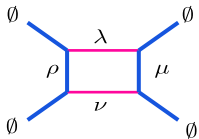


⇒ On the RHS, all physical quantities determined by the symmetry algebra!

How about gauge theory partition functions?

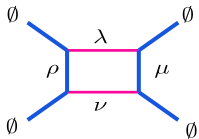
Can you reconstruct them using only representation theory?

- This point is better understood using the 5d uplift to $\mathcal{N} = 1$ theories on $\mathbb{C}^2 \times S^1$.
- ↪ AGT correspondence involves q-deformed W-algebras.
- ↪ Non-perturbative (instanton) partition functions obtained as **topological strings amplitudes** on toric Calabi-Yau threefolds.
- ↪ They can be computed using the (refined) topological vertex technique.



$$\mathcal{Z}_{\text{inst.}} \sim \sum_{\lambda, \mu, \nu, \rho} C_{\emptyset, \lambda, \rho} C_{\rho, \nu, \emptyset} C_{\nu, \mu, \emptyset} C_{\lambda, \emptyset, \mu}$$

- This point is better understood using the 5d uplift to $\mathcal{N} = 1$ theories on $\mathbb{C}^2 \times S^1$.
- ↪ AGT correspondence involves q -deformed W -algebras.
- ↪ Non-perturbative (instanton) partition functions obtained as **topological strings amplitudes** on toric Calabi-Yau threefolds.
- ↪ They can be computed using the (refined) topological vertex technique.



$$\mathcal{Z}_{\text{inst.}} \sim \sum_{\lambda, \mu, \nu, \rho} C_{\emptyset, \lambda, \rho} C_{\rho, \nu, \emptyset} C_{\nu, \mu, \emptyset} C_{\lambda, \emptyset, \mu}$$

- The topological vertex is the set of matrix elements of a **vertex operator**

$$C_{\lambda, \mu, \nu} = \langle \lambda | \Phi (|\mu\rangle) \otimes |\nu\rangle \rangle = (\langle \langle \lambda | \otimes \langle \mu |) \Phi^* |\nu\rangle).$$

- ↪ Choice of basis actually irrelevant (equivalence between IKV and Awata-Kanno vertices).

What is a vertex operator?

- The vertex operators of a 2d (chiral) CFT satisfy the primary field property

$$\begin{aligned}
 [L_n; V_\alpha(z)] &= (z^{n+1} \partial_z + h_\alpha(n+1)z^n) V_\alpha(z) \\
 \Leftrightarrow (\rho_V \otimes \rho_H \Delta(L_n)) V_\alpha(z) &= V_\alpha(z) \rho_H(L_n) \\
 \Delta(L_n) &= L_n \otimes 1 + 1 \otimes L_n
 \end{aligned}$$

- We distinguish two types of representations:

- **Vertical:** ρ_V conformal transformations acting on the coordinates (basis z^n)
- **Horizontal:** ρ_H action of the Virasoro algebra on the Fock space (basis $J_{-\lambda_1} \cdots J_{-\lambda_\ell} |\emptyset\rangle$).

↪ This distinction will come back throughout the talk.

What is a vertex operator?

- The vertex operators of a 2d (chiral) CFT satisfy the primary field property

$$\begin{aligned}
 [L_n; V_\alpha(z)] &= (z^{n+1} \partial_z + h_\alpha(n+1)z^n) V_\alpha(z) \\
 \Leftrightarrow (\rho_V \otimes \rho_H \Delta(L_n)) V_\alpha(z) &= V_\alpha(z) \rho_H(L_n) \\
 \Delta(L_n) &= L_n \otimes 1 + 1 \otimes L_n
 \end{aligned}$$

- We distinguish two types of representations:

- **Vertical:** ρ_V conformal transformations acting on the coordinates (basis z^n)
- **Horizontal:** ρ_H action of the Virasoro algebra on the Fock space (basis $J_{-\lambda_1} \cdots J_{-\lambda_\ell} |\emptyset\rangle$).

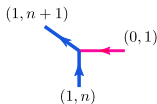
↔ This distinction will come back throughout the talk.

- The Virasoro algebra can be replaced by affine Lie algebras (WZW models), quantum groups (Integrable Systems), or even toroidal quantum groups (topological strings vertex).

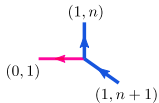
⇒ A vertex operator is an intertwiner between representations ρ_H and $\rho_V \otimes \rho_H$.

- To be specific, the vertex operators of topological strings Φ and Φ^* are constructed as **intertwiners** between 3 representations of the **quantum toroidal $\mathfrak{gl}(1)$ algebra**:

$$\Phi \left(\rho^{(0,1)} \otimes \rho^{(1,n)} \Delta(e) \right) = \rho^{(1,n+1)}(e) \Phi$$

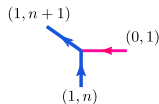


$$\Phi^* \rho^{(1,n+1)}(e) = \left(\rho^{(0,1)} \otimes \rho^{(1,n)} \Delta'(e) \right) \Phi^*$$

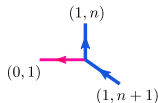


- To be specific, the vertex operators of topological strings Φ and Φ^* are constructed as **intertwiners** between 3 representations of the **quantum toroidal $\mathfrak{gl}(1)$ algebra**:

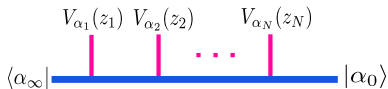
$$\Phi \left(\rho^{(0,1)} \otimes \rho^{(1,n)} \Delta(e) \right) = \rho^{(1,n+1)}(e) \Phi$$



$$\Phi^* \rho^{(1,n+1)}(e) = \left(\rho^{(0,1)} \otimes \rho^{(1,n)} \Delta'(e) \right) \Phi^*$$



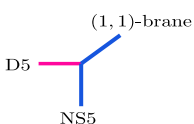
- Thus, **topological strings amplitudes are analogues of conformal blocks**



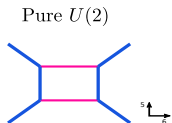
Geometric VS Algebraic Engineering

- This construction is in fact very general!

↪ To understand why, we need to recall the correspondence with (p, q) -brane webs in which the CY toric diagram is interpreted as a configuration of branes in type IIB string theory.



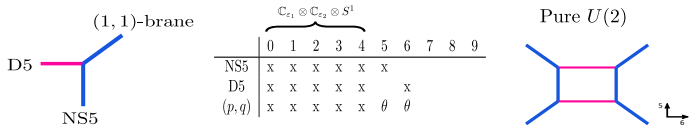
	$C_{x_1} \otimes C_{x_2} \otimes S^1$									
	0	1	2	3	4	5	6	7	8	9
NS5	x	x	x	x	x	x				
D5	x	x	x	x	x					x
(p, q)	x	x	x	x	x	θ	θ			



Geometric VS Algebraic Engineering

- This construction is in fact very general!

↪ To understand why, we need to recall the correspondence with (p, q) -brane webs in which the CY toric diagram is interpreted as a configuration of branes in type IIB string theory.



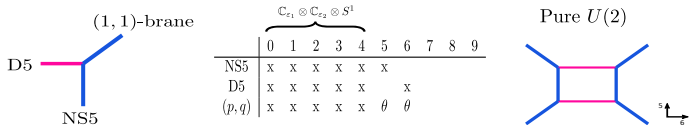
- The two types of representations are attached to different kind of branes:

- **Vertical:** on D5-branes = COHA action on instanton moduli space $\rho_V = \rho^{(0,1)}$
- **Horizontal:** on NS5-branes (+ n D5) = 'auxiliary' Fock space $\rho_H = \rho^{(1,n)}$

Geometric VS Algebraic Engineering

- This construction is in fact very general!

↪ To understand why, we need to recall the correspondence with **(p, q) -brane webs** in which the CY toric diagram is interpreted as a configuration of branes in type IIB string theory.



- The two types of representations are attached to different kind of branes:
 - **Vertical:** on D5-branes = COHA action on instanton moduli space $\rho_V = \rho^{(0,1)}$
 - **Horizontal:** on NS5-branes (+ n D5) = 'auxiliary' Fock space $\rho_H = \rho^{(1,n)}$

This correspondence can be extended to many different brane systems and representations!!!

- In this way, several **observables** of SUSY gauge theories can be constructed in the representation theory of a quantum group starting from the knowledge of the **brane system**.

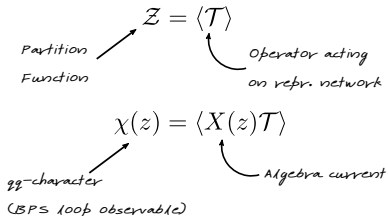
- In this way, several **observables** of SUSY gauge theories can be constructed in the representation theory of a quantum group starting from the knowledge of the **brane system**.
- The procedure follows from these four steps:

- In this way, several **observables** of SUSY gauge theories can be constructed in the representation theory of a quantum group starting from the knowledge of the **brane system**.
- The procedure follows from these four steps:
 - i. Determine the **quantum group** from the spacetime of the theory:
e.g. $\mathbb{C}_\epsilon \rightarrow$ affinization, $S^1 \rightarrow$ trigonometric/elliptic deformation, orbifold \rightarrow ADE,...

- In this way, several **observables** of SUSY gauge theories can be constructed in the representation theory of a quantum group starting from the knowledge of the **brane system**.
- The procedure follows from these four steps:
 - i. Determine the **quantum group** from the spacetime of the theory:
e.g. $\mathbb{C}_\epsilon \rightarrow$ affinization, $S^1 \rightarrow$ trigonometric/elliptic deformation, orbifold \rightarrow ADE,...
 - ii. Associate a module to each brane to obtain a **network of representations**.

- In this way, several **observables** of SUSY gauge theories can be constructed in the representation theory of a quantum group starting from the knowledge of the **brane system**.
- The procedure follows from these four steps:
 - i. Determine the **quantum group** from the spacetime of the theory:
e.g. $\mathbb{C}_\epsilon \rightarrow$ affinization, $S^1 \rightarrow$ trigonometric/elliptic deformation, orbifold \rightarrow ADE,...
 - ii. Associate a module to each brane to obtain a **network of representations**.
 - iii. Construct an operator \mathcal{T} acting on this network by **gluing vertex operators** Φ and Φ^* .

- In this way, several **observables** of SUSY gauge theories can be constructed in the representation theory of a quantum group starting from the knowledge of the **brane system**.
- The procedure follows from these four steps:
 - Determine the **quantum group** from the spacetime of the theory:
e.g. $\mathbb{C}_\epsilon \rightarrow$ affinization, $S^1 \rightarrow$ trigonometric/elliptic deformation, orbifold \rightarrow ADE,...
 - Associate a module to each brane to obtain a **network of representations**.
 - Construct an operator \mathcal{T} acting on this network by **gluing vertex operators** Φ and Φ^* .
 - Derive the gauge theory observables from **matrix elements** of this operator, e.g.



- Over the last few years, this program has been applied successfully to many SUSY QFT:

Theories	Quantum Group	Reference
5D $\mathcal{N} = 1$	quantum toroidal $\mathfrak{gl}(1)$	[Awata, Feigin, Shiraishi 2011]
5D $\mathcal{N} = 1$ on \mathbb{Z}_p -orbifold	quantum toroidal $\mathfrak{gl}(p)$	[Awata, Kanno, et. al. 2017]
5D $\mathcal{N} = 1$ on \mathbb{Z}_p -orbifold	new quantum toroidal algebras!	[JEB, Jeong 2019]
4D $\mathcal{N} = 2$	affine Yangian $\mathfrak{gl}(1)$	[JEB, Zhang 2018]
6D $\mathcal{N} = (1, 0)$	elliptic toroidal $\mathfrak{gl}(1)$	[Zhu 2018] [Foda, Zhu 2018]
3D $\mathcal{N} = 2^*$	quantum toroidal $\mathfrak{gl}(1)$	[Zenkevich 2018]
3D $\mathcal{N} = 2$	quantum affine $\mathfrak{sl}(2)$	[JEB 2107.10063]

- Other results include **D-type quiver gauge theories** [JEB, Fukuda, Matsuo, Zhu 2017], **qq-characters** [JEB, Fukuda, Harada, Matsuo, Zhu 2017], **R-matrices** and **KZ-equations** [Awata, Kanno, et. al.]...

Motivations

A few reasons for developing this technique:

- Emphasize the role of the non-perturbative symmetry
 - ↪ Correspondences (CFT, integrability), KZ equations, (q-)Painlevé,...
- Develop a diagrammatic technique that simplifies actual calculations
 - ↪ Generalize the topological vertex technique to other brane systems
- Study of non-Lagrangian theories
- Connect with string theory realizations of QFT and brane systems
 - ↪ Description of non-perturbative dualities, RG flows,...

● In this talk we...

- Develop an algebraic description of fundamental hypermultiplets of 5D $\mathcal{N} = 1$ $U(N)$ gauge theories.
- Extend the algebraic construction to a class of 3D $\mathcal{N} = 2$ theories corresponding to certain D3/D5/NS5-brane systems.
- Relate the two through the Higgsing mechanism and a limiting procedure.

● In this talk we...

- Develop an algebraic description of fundamental hypermultiplets of 5D $\mathcal{N} = 1$ $U(N)$ gauge theories.
- Extend the algebraic construction to a class of 3D $\mathcal{N} = 2$ theories corresponding to certain D3/D5/NS5-brane systems.
- Relate the two through the Higgsing mechanism and a limiting procedure.

To do this, we need the notion of **shifted** quantum groups!

Outline

I. Introduction

II. Shifted quantum groups

III. Higgsing and pit representations

IV. 3d SUSY gauge theories

V. Discussion

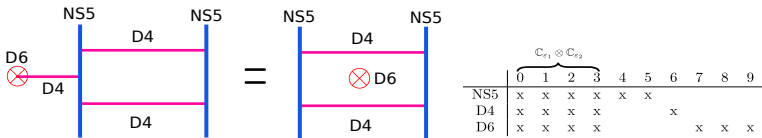
II. Shifted Quantum Groups

Main point

- **Disclaimer:** While this work is about 5d/3d theories realized in type IIB string theory, the illustrations are simpler in terms of 4d/2d theories in type IIA (no bending of branes). The latters are obtained by sending the radius $R \rightarrow 0$ of the extra compact dimension.

Main point

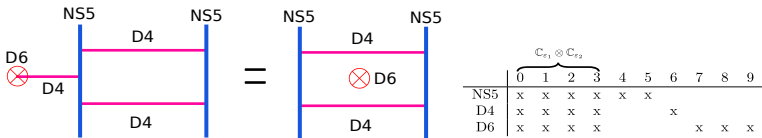
- **Disclaimer:** While this work is about 5d/3d theories realized in type IIB string theory, the illustrations are simpler in terms of 4d/2d theories in type IIA (no bending of branes). The latter are obtained by sending the radius $R \rightarrow 0$ of the extra compact dimension.
- Hanany-Witten transition for a 4d $\mathcal{N} = 2$ $SU(2)$ theory with one fundamental flavor:



↪ **On the LHS**, the extra D4 brane bring a (trivial) module with weight \propto mass, it has an extra vertex operator too. \Rightarrow **How do we include this information in the RHS?**

Main point

- **Disclaimer:** While this work is about 5d/3d theories realized in type IIB string theory, the illustrations are simpler in terms of 4d/2d theories in type IIA (no bending of branes). The latters are obtained by sending the radius $R \rightarrow 0$ of the extra compact dimension.
- Hanany-Witten transition for a 4d $\mathcal{N} = 2$ $SU(2)$ theory with one fundamental flavor:



↪ **On the LHS**, the extra D4 brane bring a (trivial) module with weight \propto mass, it has an extra vertex operator too. \Rightarrow How do we include this information in the RHS?

Need to modify the representations acting on the branes modules!

Shifted Quantum Groups

- In the Drinfeld presentation of **quantum affine/toroidal algebras**, a set of currents $x_{\omega}^{\pm}(z)$ (or $e_{\omega}(z)$, $f_{\omega}(z)$) and $\psi_{\omega}^{\pm}(z)$ is attached to each node ω of the (./affine) Dynkin diagram.
- ↪ Relation with RLL presentation **[Frenkel, Ding 1993]** for qu. affine algebras.

Shifted Quantum Groups

- In the Drinfeld presentation of **quantum affine/toroidal algebras**, a set of currents $x_{\omega}^{\pm}(z)$ (or $e_{\omega}(z)$, $f_{\omega}(z)$) and $\psi_{\omega}^{\pm}(z)$ is attached to each node ω of the (./affine) Dynkin diagram.
↪ Relation with RLL presentation [Frenkel, Ding 1993] for qu. affine algebras.
- Algebraic relations can be expressed using a matrix of structure functions $G_{\omega, \omega'}(z)$, obtained from the Cartan matrix, and depending on one/two parameters (denoted q or q_1, q_2).

Shifted Quantum Groups

- In the Drinfeld presentation of **quantum affine/toroidal algebras**, a set of currents $x_{\omega}^{\pm}(z)$ (or $e_{\omega}(z), f_{\omega}(z)$) and $\psi_{\omega}^{\pm}(z)$ is attached to each node ω of the (./affine) Dynkin diagram.

↪ Relation with RLL presentation [**Frenkel, Ding 1993**] for qu. affine algebras.

- Algebraic relations can be expressed using a matrix of structure functions $G_{\omega, \omega'}(z)$, obtained from the Cartan matrix, and depending on one/two parameters (denoted q or q_1, q_2).
- The shifts are introduced by imposing a different expansion for the Cartan currents:

$$x_{\omega}^{\pm}(z) = \sum_{k \in \mathbb{Z}} z^{-k} x_{\omega, k}^{\pm}, \quad \psi_{\omega}^{\pm}(z) = \sum_{\pm k \geq \mu_{\omega}^{\pm}} z^{-k} \psi_{\omega, k}^{\pm}.$$

⇒ More flexibility in the definition of representations!

Shifted Quantum Groups

- In the Drinfeld presentation of **quantum affine/toroidal algebras**, a set of currents $x_{\omega}^{\pm}(z)$ (or $e_{\omega}(z), f_{\omega}(z)$) and $\psi_{\omega}^{\pm}(z)$ is attached to each node ω of the (./affine) Dynkin diagram.

↪ Relation with RLL presentation [**Frenkel, Ding 1993**] for qu. affine algebras.

- Algebraic relations can be expressed using a matrix of structure functions $G_{\omega, \omega'}(z)$, obtained from the Cartan matrix, and depending on one/two parameters (denoted q or q_1, q_2).
- The shifts are introduced by imposing a different expansion for the Cartan currents:

$$x_{\omega}^{\pm}(z) = \sum_{k \in \mathbb{Z}} z^{-k} x_{\omega, k}^{\pm}, \quad \psi_{\omega}^{\pm}(z) = \sum_{\pm k \geq \mu_{\omega}^{\pm}} z^{-k} \psi_{\omega, k}^{\pm}.$$

⇒ More flexibility in the definition of representations!

- We focus here on the quantum affine $\mathfrak{sl}(2)$ and quantum toroidal $\mathfrak{gl}(1)$ algebras.

↪ No index ω . Shift parameters $\mu = (\mu_+, \mu_-) \in \mathbb{Z} \times \mathbb{Z}$.

Main properties:

- i. The usual quantum group \mathcal{E} is recovered as \mathcal{E}^μ with $\mu = (0, 0)$.

Main properties:

- i. The usual quantum group \mathcal{E} is recovered as \mathcal{E}^μ with $\mu = (0, 0)$.
- ii. The Drinfeld coproduct defines an homomorphism $\Delta : \mathcal{E}^{\mu+\mu'} \rightarrow \mathcal{E}^\mu \otimes \mathcal{E}^{\mu'}$.

Main properties:

- i. The usual quantum group \mathcal{E} is recovered as \mathcal{E}^μ with $\mu = (0, 0)$.
- ii. The Drinfeld coproduct defines an homomorphism $\Delta : \mathcal{E}^{\mu+\mu'} \rightarrow \mathcal{E}^\mu \otimes \mathcal{E}^{\mu'}$.
- iii. **Shifted representation:** From any representation ρ of \mathcal{E}^μ and a Laurent polynomial

$P(z) \in \mathbb{C}[z^{\pm 1}]$, we can define two representations $\iota_P \rho$ and $\iota_P^* \rho$ of $\mathcal{E}^{\mu+\mu_P}$ as

$$\iota_P \rho(x^+(z)) = P(z)\rho(x^+(z)), \quad \iota_P \rho(x^-(z)) = \rho(x^-(z)), \quad \iota_P \rho(\psi^\pm(z)) = P(\hat{\gamma}^{\pm 1/2} z)\rho(\psi^\pm(z)),$$

$$\iota_P^* \rho(x^+(z)) = \rho(x^+(z)), \quad \iota_P^* \rho(x^-(z)) = P(z)\rho(x^-(z)), \quad \iota_P^* \rho(\psi^\pm(z)) = P(\hat{\gamma}^{\mp 1/2} z)\rho(\psi^\pm(z)),$$

with $\mu_P = (n - \mu_0, \mu_0)$ for $P(z) = z^{-\mu_0} \prod_{a=1}^n (1 - z/\nu_a)$.

↪ These representations act on the **same module!**

Main properties:

- i. The usual quantum group \mathcal{E} is recovered as \mathcal{E}^μ with $\mu = (0, 0)$.
- ii. The Drinfeld coproduct defines an homomorphism $\Delta : \mathcal{E}^{\mu+\mu'} \rightarrow \mathcal{E}^\mu \otimes \mathcal{E}^{\mu'}$.
- iii. **Shifted representation:** From any representation ρ of \mathcal{E}^μ and a Laurent polynomial $P(z) \in \mathbb{C}[z^{\pm 1}]$, we can define two representations $\iota_P \rho$ and $\iota_P^* \rho$ of $\mathcal{E}^{\mu+\mu_P}$ as

$$\begin{aligned} \iota_P \rho(x^+(z)) &= P(z)\rho(x^+(z)), & \iota_P \rho(x^-(z)) &= \rho(x^-(z)), & \iota_P \rho(\psi^\pm(z)) &= P(\hat{\gamma}^{\pm 1/2} z)\rho(\psi^\pm(z)), \\ \iota_P^* \rho(x^+(z)) &= \rho(x^+(z)), & \iota_P^* \rho(x^-(z)) &= P(z)\rho(x^-(z)), & \iota_P^* \rho(\psi^\pm(z)) &= P(\hat{\gamma}^{\mp 1/2} z)\rho(\psi^\pm(z)), \end{aligned}$$

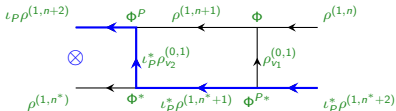
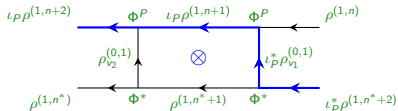
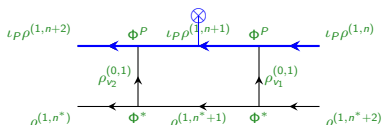
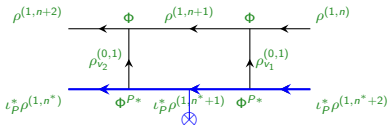
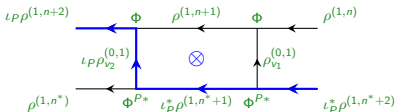
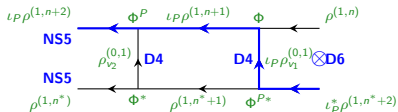
with $\mu_P = (n - \mu_0, \mu_0)$ for $P(z) = z^{-\mu_0} \prod_{a=1}^n (1 - z/\nu_a)$.

↪ These representations act on the **same module!**

The presence of D6 branes induce a shift of the representations!

zeros of $P(z)$ = positions of D6 = masses hypermultiplets

• Example of (equivalent) realizations of $SU(2)$ gauge theory with one fundamental flavor:



↪ The position of the transverse D6 is a conjecture.

- Main lessons:
 - The shifts propagate.

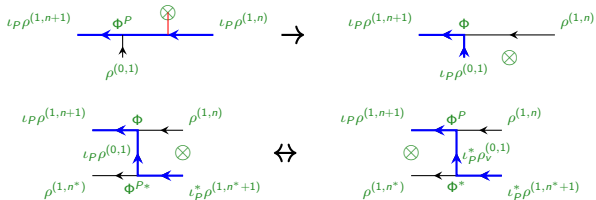
- Main lessons:

- The shifts propagate.
- Vertex operators at brane junctions have to be modified.

Representations	Algebras	Intertwiner
$\iota_P \rho_V^{(0,1)} \otimes \rho_U^{(1,n)} \rightarrow \iota_P \rho_{U'}^{(1,n+1)}$	$\mathcal{E}^{\mu P} \otimes \mathcal{E} \rightarrow \mathcal{E}^{\mu P}$	Φ
$\iota_P^* \rho_V^{(0,1)} \otimes \rho_U^{(1,n)} \rightarrow \iota_P \rho_{U'}^{(1,n+1)}$	$\mathcal{E}^{\mu P} \otimes \mathcal{E} \rightarrow \mathcal{E}^{\mu P}$	Φ^P
$\rho_V^{(0,1)} \otimes \iota_P^* \rho_U^{(1,n)} \rightarrow \iota_P^* \rho_{U'}^{(1,n+1)}$	$\mathcal{E} \otimes \mathcal{E}^{\mu P} \rightarrow \mathcal{E}^{\mu P}$	Φ
$\rho_V^{(0,1)} \otimes \iota_P \rho_U^{(1,n)} \rightarrow \iota_P \rho_{U'}^{(1,n+1)}$	$\mathcal{E} \otimes \mathcal{E}^{\mu P} \rightarrow \mathcal{E}^{\mu P}$	Φ^P
$\iota_P^* \rho_{U'}^{(1,n+1)} \rightarrow \iota_P^* \rho_V^{(0,1)} \otimes \rho_U^{(1,n)}$	$\mathcal{E}^{\mu P} \rightarrow \mathcal{E}^{\mu P} \otimes \mathcal{E}$	Φ^*
$\iota_P^* \rho_{U'}^{(1,n+1)} \rightarrow \iota_P \rho_V^{(0,1)} \otimes \rho_U^{(1,n)}$	$\mathcal{E}^{\mu P} \rightarrow \mathcal{E}^{\mu P} \otimes \mathcal{E}$	Φ^{P*}
$\iota_P \rho_{U'}^{(1,n+1)} \rightarrow \rho_V^{(0,1)} \otimes \iota_P \rho_U^{(1,n)}$	$\mathcal{E}^{\mu P} \rightarrow \mathcal{E} \otimes \mathcal{E}^{\mu P}$	Φ^*
$\iota_P \rho_{U'}^{(1,n+1)} \rightarrow \rho_V^{(0,1)} \otimes \iota_P^* \rho_U^{(1,n)}$	$\mathcal{E}^{\mu P} \rightarrow \mathcal{E} \otimes \mathcal{E}^{\mu P}$	Φ^{P*}

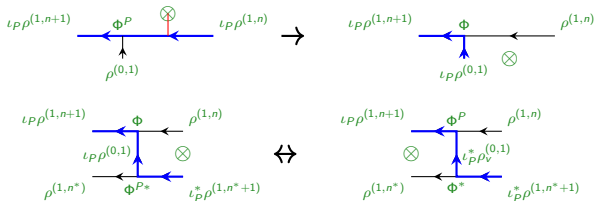
• Main lessons:

- The shifts propagate.
- Vertex operators at brane junctions have to be modified.
- Algebraic realization of Hanany-Witten and *Vertical* transitions.



• Main lessons:

- The shifts propagate.
- Vertex operators at brane junctions have to be modified.
- Algebraic realization of Hanany-Witten and *Vertical* transitions.



⇒ We can construct in this way the partition function and fundamental qq-character of 5d $\mathcal{N} = 1$ $U(N)$ linear quiver gauge theories with a number of (anti)fundamental hypermultiplets.

III. Higgsing and pit representations

Vertical 'pit' representations

- The vertical representation acts on the instanton moduli space.

↪ Instanton configurations are labelled by Young diagrams λ , and the action is

$$x^+(z) |\lambda\rangle = \sum_{\square \in A(\lambda)} \delta(z/\chi_{\square}) C_{\lambda}^+(\square) |\lambda + \square\rangle,$$

$$x^-(z) |\lambda\rangle = \sum_{\square \in R(\lambda)} \delta(z/\chi_{\square}) C_{\lambda}^-(\square) |\lambda - \square\rangle,$$

$$\psi^{\pm}(z) |\lambda\rangle = q_3^{-1/2} \left[\frac{\prod_{\square \in A(\lambda)} (1 - q_3 \chi_{\square}/z) \prod_{\square \in R(\lambda)} (1 - \chi_{\square}/(q_3 z))}{\prod_{\square \in A(\lambda)} (1 - \chi_{\square}/z) \prod_{\square \in R(\lambda)} (1 - \chi_{\square}/z)} \right]_{\pm} |\lambda\rangle$$

where $C_{\lambda}^{\pm}(\square)$ are known coefficients (but not enlightening).

↪ $x^+(z)$ add boxes ($A(\lambda)$ is the set of addable boxes), while $x^-(z)$ removes boxes ($R(\lambda)$ is the set of removable boxes) and $\psi^{\pm}(z)$ are diagonal.

↪ For a box \square of coordinates (i, j) , we set $\chi_{\square} = v q_1^{i-1} q_2^{j-1}$ with the weight $v \in \mathbb{C}^{\times}$.



- The shifted vertical representation $\iota_P \rho_V$ of $\mathcal{E}^{(d,0)}$ reads

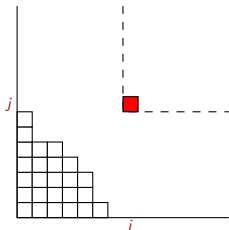
$$x^+(z) |\lambda\rangle = P(z) \sum_{\square \in A(\lambda)} \delta(z/\chi_\square) A_\lambda^+(\square) |\lambda + \square\rangle,$$

$$x^-(z) |\lambda\rangle = \sum_{\square \in R(\lambda)} \delta(z/\chi_\square) A_\lambda^-(\square) |\lambda - \square\rangle,$$

$$\psi^\pm(z) |\lambda\rangle = q_3^{-1/2} \left[P(z) \frac{\prod_{\square \in A(\lambda)} (1 - q_3 \chi_\square / z) \prod_{\square \in R(\lambda)} (1 - \chi_\square / (q_3 z))}{\prod_{\square \in A(\lambda)} (1 - \chi_\square / z) \prod_{\square \in R(\lambda)} (1 - \chi_\square / z)} \right]_\pm |\lambda\rangle$$

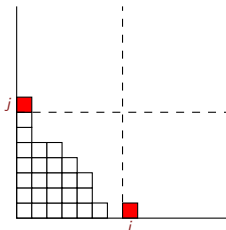
⇒ If $P(z)$ has a zero at $z = vq_1^{i-1} q_2^{j-1}$, the representation is reducible! The subrepresentation acts on a module spanned by Young diagrams **NOT** containing the box (i, j) .

↪ Young diagrams are restricted to a fat hook



The subrepresentation is called a **pit representation!**

- With two pits, we can even obtain **finite dimensional representations**:



Here $P(z)$ has two zeros at $z = vq_1^{j-1}$ and $z = vq_2^{i-1}$, Young diagrams are restricted to the rectangle $i \times j$: there are $\binom{i+j}{i}$ states.

⇒ This is a new feature for quantum toroidal algebras, it could be very interesting in the context of quantum integrable systems!

Extra remarks

- Final dimensional representations of qu. tor. $\mathfrak{gl}(1)$ requires $\mu_+ + \mu_- \geq 2$. The most general finite dimensional representations are obtained as $\iota_P \rho_V$ with

$$P(z) = \prod_{\blacksquare \in A(\mu)} (1 - \chi_{\blacksquare}/z) \quad \Rightarrow \quad \mathcal{M}_{\mu} = \text{span} \{ |\lambda\rangle \mid \lambda \subseteq \mu \}.$$

Extra remarks

- Final dimensional representations of qu. tor. $\mathfrak{gl}(1)$ requires $\mu_+ + \mu_- \geq 2$. The most general finite dimensional representations are obtained as $\iota_P \rho_V$ with

$$P(z) = \prod_{\blacksquare \in A(\mu)} (1 - \chi_{\blacksquare}/z) \quad \Rightarrow \quad \mathcal{M}_\mu = \text{span} \{ |\lambda\rangle \mid \lambda \subseteq \mu \}.$$

- In the same way, “bulge representations” in which a number of boxes are **always present** are obtained as $\iota_P^* \rho_V$ with

$$P(z) = \prod_{\blacksquare \in R(\mu)} (1 - \chi_{\blacksquare}/z),$$

The submodule is spanned by states $|\lambda\rangle$ where λ is restricted to contain μ as a sub-Young diagram and $|\mu\rangle$ defines a new vacuum ($x^-(z) |\mu\rangle = 0$).

Higgsing

- In the gauge theory, the quantum group parameters q_1, q_2 are identified with the (exponentiated) omega-background parameters $(e^{R\epsilon_1}, e^{R\epsilon_2})$ (R radius of S^1).
- ↪ The vertical weights $\nu_\ell = e^{Ra_\ell}$ are (exponentiated) Coulomb branch vevs.
- ↪ The zeros $\nu_a = e^{Rm_a}$ of the polynomial $P(z)$ are the masses of the hypermultiplets.

Higgsing

- In the gauge theory, the quantum group parameters q_1, q_2 are identified with the (exponentiated) omega-background parameters $(e^{R\epsilon_1}, e^{R\epsilon_2})$ (R radius of S^1).
- ↪ The vertical weights $\nu_\ell = e^{Ra_\ell}$ are (exponentiated) Coulomb branch vevs.
- ↪ The zeros $\nu_a = e^{Rm_a}$ of the polynomial $P(z)$ are the masses of the hypermultiplets.
- ⇒ Higgsing corresponds to tune the hypermultiplets mass to $m_a = a_\ell + (i-1)\epsilon_1 + (j-1)\epsilon_2$ and leads to subrepresentations on smaller instanton moduli spaces.

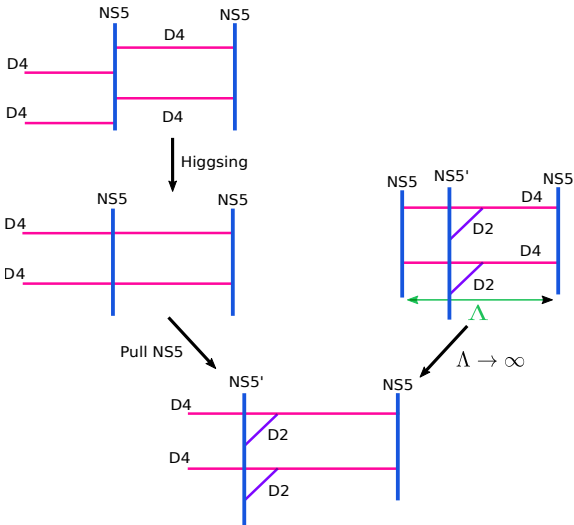
Higgsing

- In the gauge theory, the quantum group parameters q_1, q_2 are identified with the (exponentiated) omega-background parameters $(e^{R\epsilon_1}, e^{R\epsilon_2})$ (R radius of S^1).
- ↪ The vertical weights $\nu_\ell = e^{Ra_\ell}$ are (exponentiated) Coulomb branch vevs.
- ↪ The zeros $\nu_a = e^{Rm_a}$ of the polynomial $P(z)$ are the masses of the hypermultiplets.
- ⇒ Higgsing corresponds to tune the hypermultiplets mass to $m_a = a_\ell + (i-1)\epsilon_1 + (j-1)\epsilon_2$ and leads to subrepresentations on smaller instanton moduli spaces.

We can propose an algebraic description of the Higgsing phenomenon!

IV. 3d SUSY gauge theories

A cartoon for 2d $\mathcal{N} = (2, 2)$ gauge theories



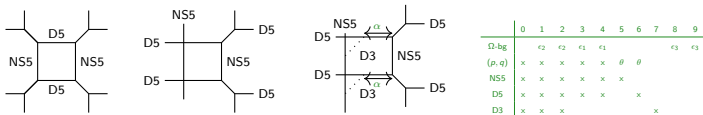
3d $\mathcal{N} = 2$ gauge theories

- Consider a class of 3d $\mathcal{N} = 2$ gauge theories on $\mathbb{C}_{\epsilon_2} \times S^1$ obtained from 5d $\mathcal{N} = 1$ gauge theories on $\mathbb{C}_{\epsilon_1} \times \mathbb{C}_{\epsilon_2} \times S^1$ following a 2-steps procedure:

3d $\mathcal{N} = 2$ gauge theories

- Consider a class of 3d $\mathcal{N} = 2$ gauge theories on $\mathbb{C}_{\epsilon_2} \times S^1$ obtained from 5d $\mathcal{N} = 1$ gauge theories on $\mathbb{C}_{\epsilon_1} \times \mathbb{C}_{\epsilon_2} \times S^1$ following a 2-steps procedure:

i. Higgsing at $\nu_a = \nu_a q_2$ which creates a single D3-brane per D5.

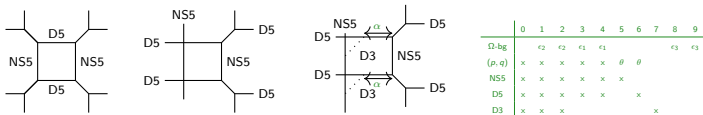


\Rightarrow 3d $\mathcal{N} = 4$ vector multiplet broken to $\mathcal{N} = 2$ vector \oplus chiral by Ω -background $m_{\text{adj}} = -\epsilon_1$.

3d $\mathcal{N} = 2$ gauge theories

- Consider a class of 3d $\mathcal{N} = 2$ gauge theories on $\mathbb{C}_{\epsilon_2} \times S^1$ obtained from 5d $\mathcal{N} = 1$ gauge theories on $\mathbb{C}_{\epsilon_1} \times \mathbb{C}_{\epsilon_2} \times S^1$ following a 2-steps procedure:

i. Higgsing at $\nu_a = \nu_a q_2$ which creates a single D3-brane per D5.



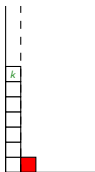
\Rightarrow 3d $\mathcal{N} = 4$ vector multiplet broken to $\mathcal{N} = 2$ vector \oplus chiral by Ω -background $m_{\text{adj}} = -\epsilon_1$.

ii. Send $\epsilon_1 \rightarrow \infty$ to decouple the adjoint chiral multiplet.

\Rightarrow 3d $\mathcal{N} = 2$ vector multiplet $\oplus N_f$ fund. chiral $\oplus \bar{N}_f$ antifund. chiral.

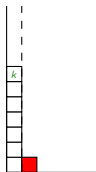
- Algebraic description of this procedure:

i. Higgsing with $\nu = \nu q_2$: pit subrepresentation on the vortex moduli space.



- Algebraic description of this procedure:

- Higgsing with $\nu = \nu q_2$: pit subrepresentation on the vortex moduli space.



- Limit $q_1 \rightarrow \infty$ (q_2 fixed): quantum toroidal $\mathfrak{gl}(1)$ reduces to (shifted) quantum affine $\mathfrak{sl}(2)$:

$$G(z) = \frac{(z - q_1)(z - q_2)(z - q_3)}{(z - q_1^{-1})(z - q_2^{-1})(z - q_3^{-1})} \rightarrow q^{-2} \frac{z - q^2}{z - q^{-2}}, \quad q_2 \rightarrow q^2.$$

⚠ It is a **formal** limit: it holds for the currents, not their modes!

↪ Mathematical part of the second paper tries to make it rigorous for some representations.

Engineering 3d $\mathcal{N} = 2$ gauge theories

- We need the following ingredients:

Engineering 3d $\mathcal{N} = 2$ gauge theories

- We need the following ingredients:

i. Vertical representation (D3): prefundamental representation of **[Hernandez-Jimbo 2012]**

↪ Acts on the vortex moduli space, states $|k\rangle\rangle$ with $k \in \mathbb{Z}^{\geq 0}$

$$x^+(z) |k\rangle\rangle = \delta(\nu q^{2k}/z) |k+1\rangle\rangle,$$

$$x^-(z) |k\rangle\rangle = -\delta(\nu q^{2k-2}/z)(1 - q^{2k}) |k-1\rangle\rangle,$$

$$\psi^\pm(z) |k\rangle\rangle = q^{2k} \left[\frac{z(z - \nu q^{-2})}{(z - \nu q^{2k})(z - \nu q^{2k-2})} \right]_\pm |k\rangle\rangle.$$

Engineering 3d $\mathcal{N} = 2$ gauge theories

- We need the following ingredients:

- i. Vertical representation (D3): prefundamental representation of **[Hernandez-Jimbo 2012]**
- ii. Horizontal representation (NS5): new twisted Fock representation with $\psi^+(z) = 0!!!$

↪ Currents are represented by the vertex operators

$$x^+(z) = e^Q e^{\sum_{k>0} \frac{z^k}{k} (1-q^{2k}) J_{-k}} e^{-\sum_{k>0} \frac{z^{-k}}{k} J_k} q^{-2J_0},$$

$$x^-(z) = e^{-Q} e^{-\sum_{k>0} \frac{z^k}{k} (1-q^{-2k}) J_{-k}} e^{\sum_{k>0} \frac{z^{-k}}{k} J_k},$$

$$\psi^+(z) = 0, \quad \psi^-(z) = e^{-\sum_{k>0} \frac{z^k}{k} (1+q^{2k})(1-q^{-2k}) J_{-k}} q^{-2J_0},$$

↪ $x^-(z)$ coincides with Jing's t-fermion \Rightarrow **Hall-Littlewood polynomials!!!**

Engineering 3d $\mathcal{N} = 2$ gauge theories

- We need the following ingredients:
 - i. Vertical representation (D3): prefundamental representation of **[Hernandez-Jimbo 2012]**
 - ii. Horizontal representation (NS5): new twisted Fock representation with $\psi^+(z) = 0!!!$
 - iii. Vertex operators Φ and Φ^* solving the intertwining relations

$$\varrho_{u,n}^{(LT)}(e)\Phi = \Phi \left(\varrho_\nu \otimes \iota_{P_\nu}^* \varrho_{u,n}^{(LT)} \Delta(e) \right), \quad \left(\varrho_\nu \otimes \varrho_{u,n}^{(LT)} \Delta'(e) \right) \Phi^* = \Phi^* \iota_{P_\nu} \varrho_{-uv, n+1}^{(LT)}(e).$$

⇒ The vortex partition function and fundamental qq-characters of 3d $\mathcal{N} = 2$ gauge theories constructed using the representation theory of the shifted quantum affine $\mathfrak{sl}(2)$ algebra.

V. Discussion

Epilogue

To summarize...

- Fundamental hypermultiplets of 5d $\mathcal{N} = 1$ gauge theories are realized in the algebraic formalism using shifted representations.

Epilogue

To summarize...

- Fundamental hypermultiplets of 5d $\mathcal{N} = 1$ gauge theories are realized in the algebraic formalism using shifted representations.
- Higgsing is associated to 'pit' representations.

Epilogue

To summarize...

- Fundamental hypermultiplets of 5d $\mathcal{N} = 1$ gauge theories are realized in the algebraic formalism using shifted representations.
- Higgsing is associated to 'pit' representations.
- A class of 3d $\mathcal{N} = 2$ gauge theories can be 'engineered' using representations of the shifted quantum affine $\mathfrak{sl}(2)$ algebra.

Epilogue

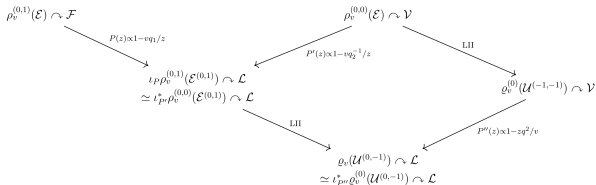
To summarize...

- Fundamental hypermultiplets of 5d $\mathcal{N} = 1$ gauge theories are realized in the algebraic formalism using shifted representations.
- Higgsing is associated to 'pit' representations.
- A class of 3d $\mathcal{N} = 2$ gauge theories can be 'engineered' using representations of the shifted quantum affine $\mathfrak{sl}(2)$ algebra.
- These representations are the limits of the vertical/horizontal representations of the quantum toroidal $\mathfrak{gl}(1)$ algebra as $q_1 \rightarrow \infty$ with q_2 fixed.

Director's cuts

Some important results have been omitted in this talk, including:

- Relations between various representations (incl. vector repr.) of the toroidal algebra:



- Limit of the vertical representation without Higgsing
- Definition of twisted Fock representations ($\psi^+(z) = 0$) for quantum toroidal $\mathfrak{gl}(1)$.
- ...

Sequels?

- Application of the 3D algebraic construction?

- ↪ Integrability: **[Gadde, Gukov, Putrov 2014]**? Nekrasov-Shatashvili limit $\epsilon_2 \rightarrow 0$?
- ↪ Finite AGT correspondence? W-algebras and twisted Fock representation?
- ↪ Algebraic description of mirror symmetry? (Miki's automorphism)

Sequels?

- Application of the 3D algebraic construction?

- ↪ Integrability: [Gadde, Gukov, Putrov 2014]? Nekrasov-Shatashvili limit $\epsilon_2 \rightarrow 0$?

- ↪ Finite AGT correspondence? W-algebras and twisted Fock representation?

- ↪ Algebraic description of mirror symmetry? (Miki's automorphism)

- Addressing more complicated defect

- ↪ Use the orbifold description of defects and (generalized) quantum toroidal $\mathfrak{gl}(n)$

Sequels?

- Application of the 3D algebraic construction?

- ↪ Integrability: [Gadde, Gukov, Putrov 2014]? Nekrasov-Shatashvili limit $\epsilon_2 \rightarrow 0$?
- ↪ Finite AGT correspondence? W-algebras and twisted Fock representation?
- ↪ Algebraic description of mirror symmetry? (Miki's automorphism)

- Addressing more complicated defect

- ↪ Use the orbifold description of defects and (generalized) quantum toroidal $\mathfrak{gl}(n)$

- Toward a fully algebraic description of brane systems?

- ↪ Higher dimensional theories and higher genus quantum groups.
- ↪ Treat more general backgrounds by combining different quantum groups.
- ↪ Other observables? Using integrability results?

Sequels?

- Application of the 3D algebraic construction?

- ↪ Integrability: [Gadde, Gukov, Putrov 2014]? Nekrasov-Shatashvili limit $\epsilon_2 \rightarrow 0$?
- ↪ Finite AGT correspondence? W -algebras and twisted Fock representation?
- ↪ Algebraic description of mirror symmetry? (Miki's automorphism)

- Addressing more complicated defect

- ↪ Use the orbifold description of defects and (generalized) quantum toroidal $\mathfrak{gl}(n)$

- Toward a fully algebraic description of brane systems?

- ↪ Higher dimensional theories and higher genus quantum groups.
- ↪ Treat more general backgrounds by combining different quantum groups.
- ↪ Other observables? Using integrability results?

Thank you!