

# Special flow equation and GKP-Witten relation

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**Shuichi Yokoyama**

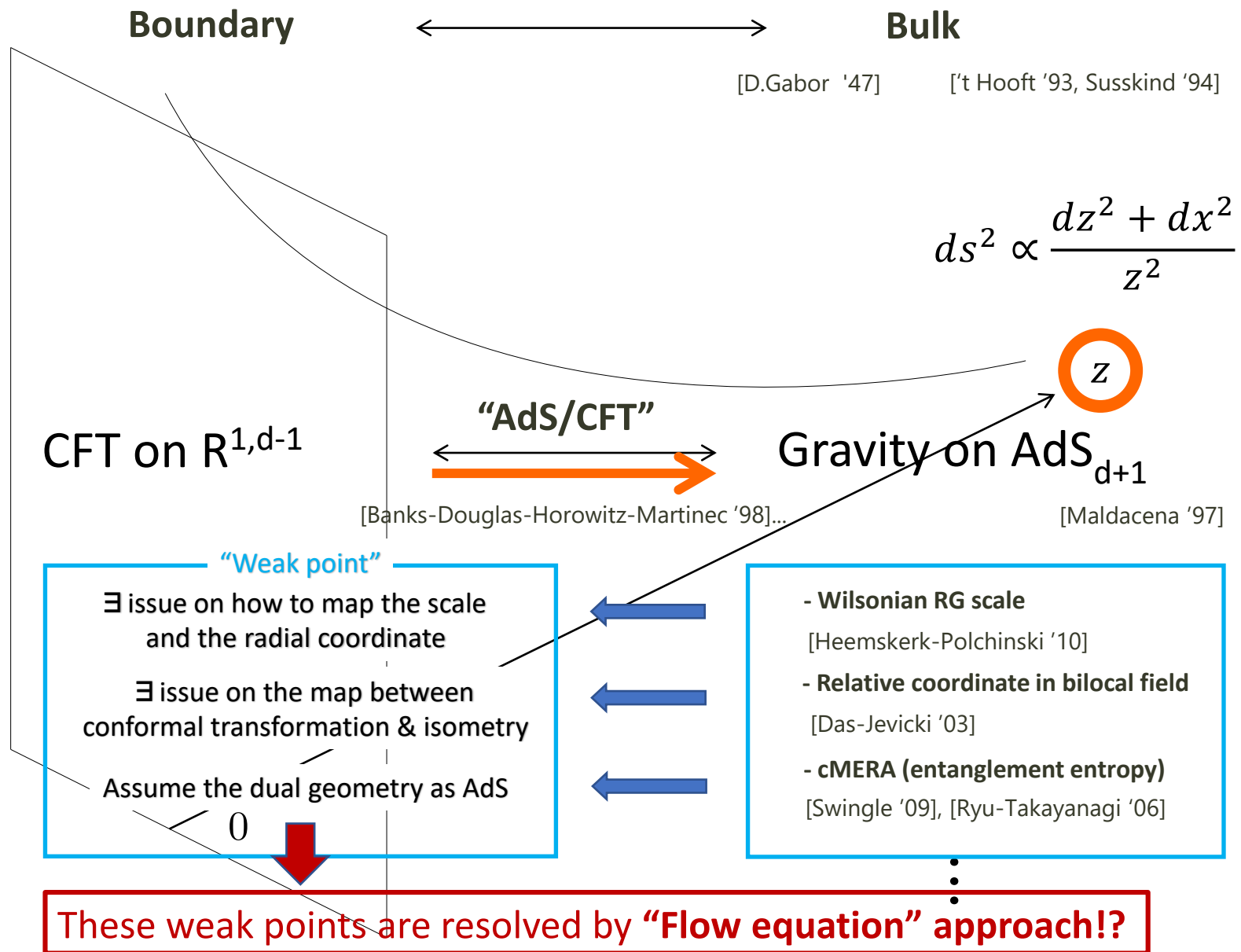
Ritsumeikan University



立命館大学



# Holography



# Holography

Boundary

Bulk

[D.Gabor '47]

[’t Hooft '93, Susskind '94]

$$ds^2 \propto \frac{dz^2 + dx^2}{z^2}$$

Flow equation approach

CFT on  $R^{1,d-1}$

“AdS/CFT”

Gravity on  $AdS_{d+1}$

[Banks-Douglas-Horowitz-Martinec '98]...

[Maldacena '97]

Vacuum

$|0\rangle$

Excited state

$|O\rangle$

AdS geometry

$$g_{MN} = \frac{\eta_{MN}}{z^2}$$

???

Today!

0

z

# Plan

- ✓ 1. Introduction
2. Flow equation & dual geometry
3. Special flow equation
4. Correlation function & bulk reconstruction
5. Summary

# Flow equation

# Flow equation

1. was introduced to help numerics of lattice QCD.

cf. def of stress energy tensor

[Albanese et al. (APE) '87] [Narayanan-Neuberger '06] [Luscher '10,'13]

2. describes a **non-local course-graining of an operator**.

Consider a  $\text{CFT}_d$  which contains a primary scalar  $\phi$

$$\langle \phi(x_1)\phi(x_2) \rangle = \frac{1}{x_{12}^{2\Delta}}$$
$$x_{12} := x_1 - x_2$$

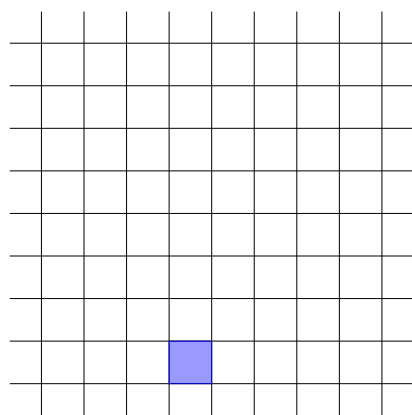
## Free flow equation

$$\frac{\partial \phi(x; \eta)}{\partial \eta} = \partial^2 \phi(x; \eta). \quad \phi(x; 0) = \phi(x)$$

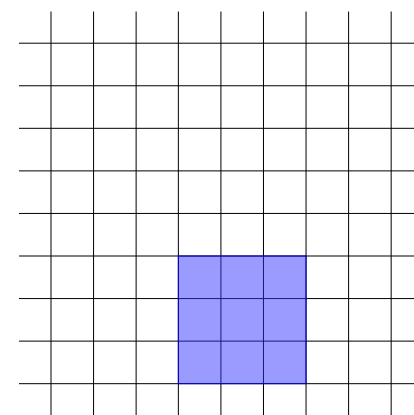
The solution:  $\phi(x; \eta) = \int d^d y K(x - y; \eta) \phi(y).$   $K(x - y; \eta) = \frac{e^{-(x-y)^2/4\eta}}{(4\pi\eta)^{d/2}}$

→ Reminiscent of the block spin transformation!?

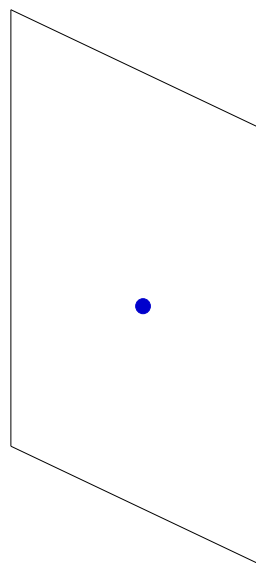
# Block spin v.s. Flowed operator



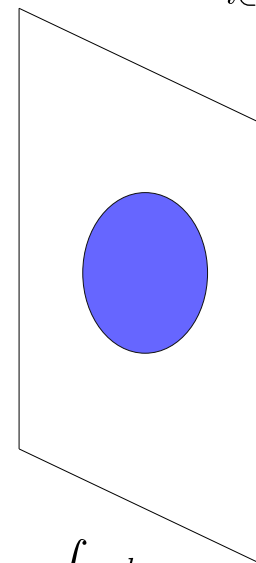
$s_i$



$$S_I = \frac{1}{\langle S_I \rangle} \sum_{i \in I} s_i$$



$\phi(x)$   
"Point particle"



$$\phi(x; \eta) = \int d^d y K(x - y; \eta) \phi(y).$$

"Gaussian wave packet"

# Flow equation

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**Free flow equation**

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The solution:  $\phi(x; \eta) = \int d^d y K(x - y; \eta) \phi(y).$   $K(x - y; \eta) = \frac{e^{-(x-y)^2/4\eta}}{(4\pi\eta)^{d/2}}$

**Claim: Contact singularity in 2pt function is resolved.**

[Albanese et al. (APE) '87] [Narayanan-Neuberger '06]

$$\langle \phi(x_1; \eta_1)\phi(x_2; \eta_2) \rangle = \frac{1}{\eta_+^\Delta} F\left(\frac{x_{12}^2}{\eta_+}; 1\right) \quad \eta_+ := \eta_1 + \eta_2$$

$$F(v; 1) = \frac{1}{(4\pi)^{\frac{d}{2}}} \int_0^1 du (1-u)^{d/2-\Delta-1} e^{-vu/4} u^{\Delta-1} \quad \frac{d-2}{2} \leq \Delta < \frac{d-1}{2}$$



# Construction of holographic space

[Aoki-SY '17][Aoki-Balog-SY '18]

# Metric operator and holographic metric

[Aoki-Kikuchi-Onogi '15] [Aoki-Balog-Onogi-Weisz '16,'17]

Def. (Normalized operator)

$$\sigma^a(x; \eta) = \frac{\phi^a(x; \eta)}{\sqrt{\sum_{b=1}^N \phi^b(x; \eta)^2}}$$

“Operator renormalization”

$$\Rightarrow \langle \sigma^a(x; \eta) \sigma^b(x; \eta) \rangle = \frac{1}{N} \delta^{ab}$$

$$\Rightarrow \langle \sigma^a(x; \eta) \sigma^a(x; \eta) \rangle = 1$$

NOTE This is well-defined due to the absence of the contact singularity.

Def. (Metric operator)

$$\hat{g}_{MN}(x; \eta) = \sum_{a=1}^N \frac{\partial}{\partial X^M} \sigma^a(x; \eta) \frac{\partial}{\partial X^N} \sigma^a(x; \eta)$$

$$(X^M) = (x^\mu, z) \text{ with } z := \sqrt{\eta/\gamma}$$



Def. (Metric of the “holographic space”)

$$g_{MN}(X) = \langle \hat{g}_{MN}(x; \eta) \rangle$$

# “Why do you construct like this?”

[Aoki-SY '17]

① The induced metric can be interpreted as the Bure **information metric**.

The Bure **information metric** measures the distance of 2 quantum states:

Def. (Bure information metric)

$$D(\rho, \rho + d\rho)^2 = \frac{1}{2} \text{tr}(d\rho H) \quad \rho H + H\rho = d\rho$$

$\rho$ : density matrix ( $\text{tr}(\rho) = 1$ )       $H$ : Hermitian 1 – form operator



$$\rho_X = \sum_{a=1}^N |\sigma^a(x; \eta)\rangle \langle \sigma^a(x; \eta)|$$

where we define the bra and ket states by

$$|\sigma^a(x; \eta)\rangle := \sigma^a(x; \eta)|0\rangle, \quad \langle \sigma^a(x; \eta)| := \langle 0|\sigma^a(x; \eta)$$

$$H_X = Nd\rho_X$$

**NOTE:** This is the usual Hermitian conjugate.

( $\because \rho_X$  is a pure state up to a scale factor:  $\rho_X^2 = \frac{1}{N}\rho_X$ .)

$$\begin{aligned} \rightarrow D(\rho_X, \rho_X + d\rho_X)^2 &= \frac{N}{2} \text{tr}(d\rho_X d\rho_X) = \frac{N}{2} \text{tr}(\partial_M \rho \partial_N \rho) dX^M dX^N \\ &= \frac{N}{2} \sum_{a,b=1}^N \text{tr}(\partial_M (|\sigma^a(x; \eta)\rangle \langle \sigma^a(x; \eta)|) \partial_N (|\sigma^b(x; \eta)\rangle \langle \sigma^b(x; \eta)|)) dX^M dX^N \\ &= \sum_{a=1}^N (\langle \partial_N \sigma^a(x; \eta) | \partial_M \sigma^a(x; \eta) \rangle) dX^M dX^N = g_{MN} dX^M dX^N \end{aligned}$$

# “Why do you construct like this?”

[Aoki-Balog-SY '18]

- ② Classical geometry appears in the leading term in the 1/N expansion.  
Bulk quantum correction is encoded into the subleading terms in the 1/N expansion.

Def. (pre-geometric operator)

$$\mathcal{O}[g] \rightarrow \mathcal{O}[\hat{g}] =: \hat{\mathcal{O}}$$

$\mathcal{O}$ : geometric object in differential geometry

ex.

$$\hat{\Gamma}_{LN}^M(x; \eta) = \frac{1}{2} \hat{g}^{MP}(x; \eta) (\hat{g}_{P\{N,L\}}(x; \eta) - \hat{g}_{NL,P}(x; \eta))$$
$$\hat{R}_{LP}^M(x; \eta) = \partial_{[L} \hat{\Gamma}_{P]N}^M(x; \eta) + \hat{\Gamma}_{[LQ}^M(x; \eta) \hat{\Gamma}_{P]N}^Q(x; \eta)$$
$$\vdots$$



$$\langle \mathcal{O}[\hat{g}] \rangle = \mathcal{O}[\langle \hat{g} \rangle] + \langle \mathcal{O}[\hat{g}] \rangle_c$$

Leading Order (LO)

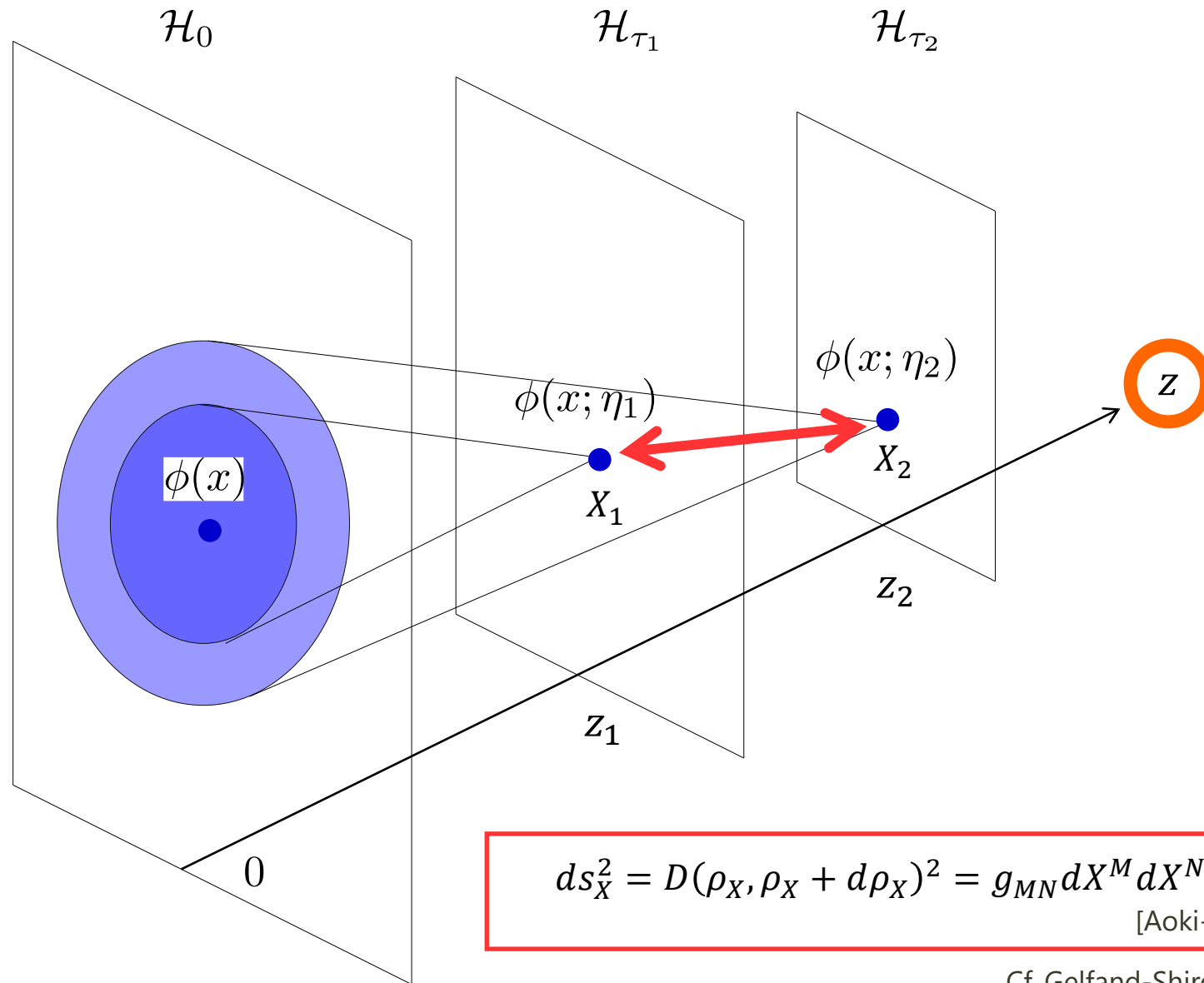
Next to Leading Order (NLO)



Classical geometry

Quantum correction

# Smearing = Extra direction



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# Special flow equation

[Aoki-Balog-Onogi-SY '22]

# Holography

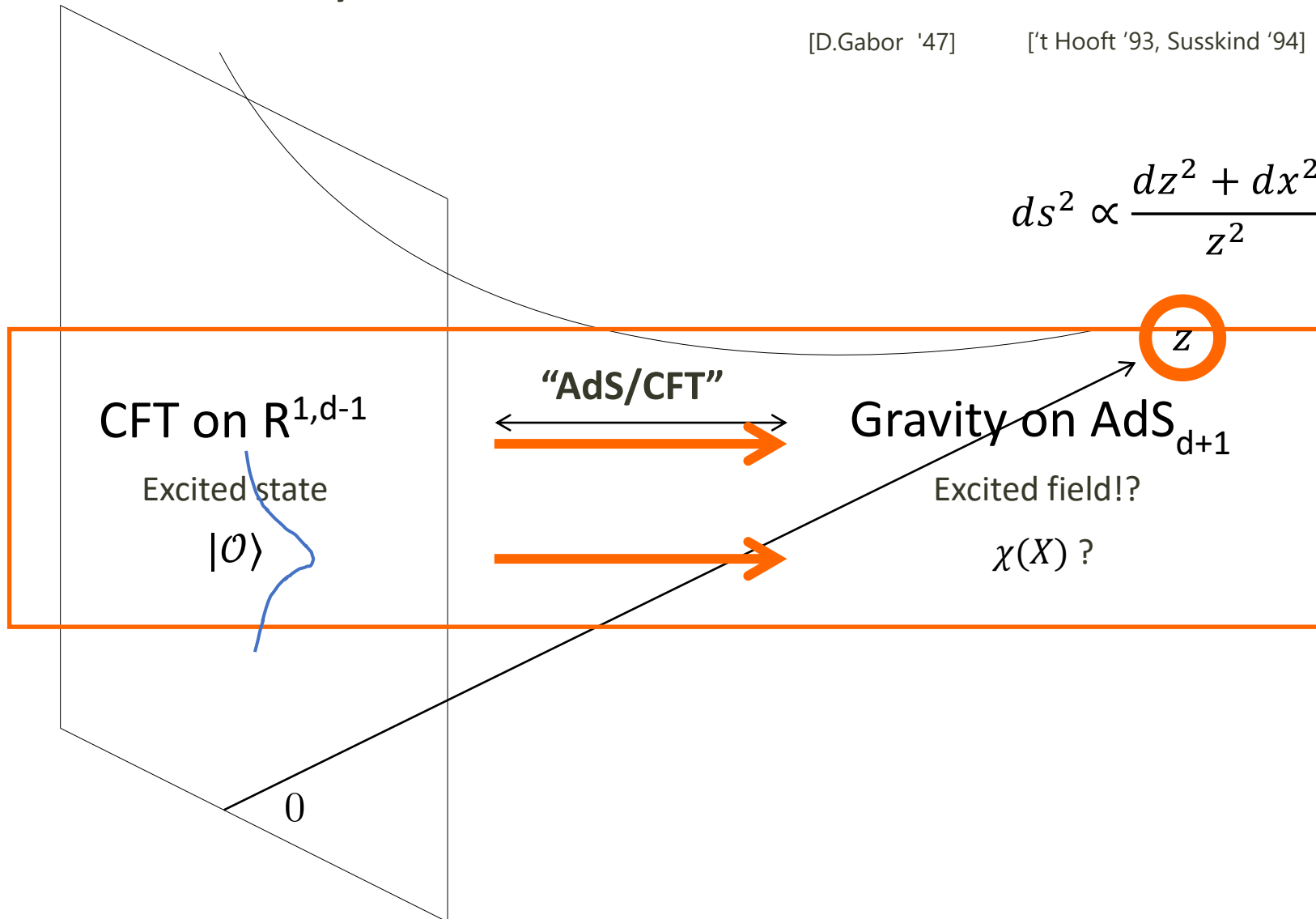
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Bulk

[D.Gabor '47]

[’t Hooft '93, Susskind '94]

$$ds^2 \propto \frac{dz^2 + dx^2}{z^2}$$





# Bulk construction for excited states?

## ① Model CFT

→ Free O(N) vector model

$$\phi^a(x) \quad \langle \phi^a(x_1) \phi^b(x_2) \rangle = \frac{C \delta^{ab}}{x_{12}^{2\Delta}}$$

## ② CFT Excited state

→ O(N) singlet state

$$|O(y)\rangle = O(y)|0\rangle \quad O(y) = \frac{1}{N} : (\phi^a)^2 : (y)$$

## ③ Expected excitation of a scalar field $\chi$ in the bulk!?


$$\chi(X) = \langle 0 | \sum_{a=1}^N \sigma^a(x; \eta)^2 | O(y) \rangle$$

 Needs to satisfy the (constructed) bulk equation of motion.

$$(\nabla_{AdS}^2 - m^2)\chi(X) = 0?$$

$$\nabla_{AdS}^2 = z^2(\partial_z^2 + \partial^2) - (d-1)z\partial_z, \quad m^2 = \Delta_o(\Delta_o - d)$$



But it does not satisfy...  $(\nabla_{AdS}^2 - m^2)\chi(X) \neq 0$   Something should be modified...

# Interpolating flow equation

→ Consider a minimal extension of the free flow equation.

$$\frac{\partial \phi^a(x; \eta)}{\partial \eta} = \partial^2 \phi(x; \eta) \rightarrow \left(-\alpha \frac{\partial^2}{\partial \eta^2} + \beta \frac{\partial}{\partial \eta}\right) \phi^a(x; \eta) = \partial^2 \phi(x; \eta)$$

Solution

$$\rightarrow \left(-\alpha \frac{\partial^2}{\partial \eta^2} + \beta \frac{\partial}{\partial \eta}\right) \rho_\eta(p) = -p^2 \rho_\eta(p) \quad \phi^a(x; \eta) = \int d^d y \rho_\eta(x-y) \phi^a(y)$$

$$\rho_0(p) = 1$$

$$\rightarrow \rho_\eta(p) = \frac{2}{\Gamma(\nu)} \tilde{p}^\nu K_\nu(2\tilde{p}) \quad \nu = (\alpha + \beta)/\alpha \quad \tilde{p} = \sqrt{\frac{\eta}{\alpha}} p, \quad p = \sqrt{p^2}$$

Modified Bessel function

➔

$$\rho_\eta(x) = \frac{2}{\Gamma(\nu)} 2\pi^{\frac{d}{2}} \left(\frac{x}{2}\right)^{1-\frac{d}{2}} \int_0^\infty \frac{dpp^{\frac{d}{2}}}{(2\pi)^d} J_{\frac{d}{2}-1}(px) \tilde{p}^\nu K_\nu(2\tilde{p})$$

$$\stackrel{(\nu < \frac{d}{2})}{=} \frac{\Gamma\left(\nu + \frac{d}{2}\right)}{\Gamma(\nu)} \left(\frac{\alpha}{4\pi\eta}\right)^{\frac{d}{2}} \left(1 + \frac{\alpha x^2}{4\eta}\right)^{-\nu-\frac{d}{2}} \int_0^\infty dk k^{\mu+\nu+1} J_\mu(xk) K_\nu(bk) = \frac{(2x)^\mu (2b)^\nu}{(x^2 + b^2)^{\mu+\nu+1}} \Gamma(\mu + \nu + 1)$$

with  $\text{Re}[b] > |\text{Im}[a]|, \text{Re}[\mu] > |\text{Re}[\nu]| - 1$ .

**NOTE**

$\rho_\eta(x) \rightarrow \delta^d(x)$  as long as  $0 < \nu$ .

In the  $\alpha \rightarrow 0$  limit,  $\rho_\eta(x) \Big|_{\beta=1} \rightarrow \left(\frac{1}{4\pi\eta}\right)^{\frac{d}{2}} e^{-\frac{x^2}{4\eta}}$

Smearing function of the free flow

# Conformal transformation & AdS isometry

→ Consider an infinitesimal transformation

$$\delta^{conf} \varphi^a(x) = -\delta x^\mu \partial_\mu \varphi(x) - \frac{\Delta}{d} (\partial_\nu \delta x^\nu) \varphi^a(x)$$

$$\delta x^\mu = a^\mu + \omega^\mu{}_\nu x^\nu + \lambda x^\mu + b^\mu x^2 - 2x^\mu (b \cdot x)$$

$$\begin{aligned} \rightarrow \delta^{conf} \sigma^a(x; \eta) &= \frac{1}{\sqrt{\langle \phi^2(x; \eta) \rangle}} \int d^d y \rho_\eta(x-y) \delta^{conf} \varphi^a(y) \\ &= \delta^{diff} \sigma^a(x; \eta) + \delta^{res} \sigma^a(x; \eta) \end{aligned}$$

$$\left\{ \begin{aligned} \delta^{diff} \sigma^a(x; \eta) &:= -\bar{\delta} x^\mu \partial_\mu \sigma^a(x; \eta) - \bar{\delta} z \partial_z \sigma^a(x; \eta) & z = \sqrt{\eta/\gamma} \\ \bar{\delta} x^\mu &= \delta x^\mu + \frac{4\gamma}{\alpha} z^2 b^\mu & \bar{\delta} z = (\lambda - 2b \cdot x) z \\ \delta^{res} \sigma^a(x; \eta) &:= \frac{2 \left( \nu - \frac{d}{2} + \Delta \right)}{\sqrt{\langle \phi^2(x; \eta) \rangle}} \int d^d y \rho_\eta(y) (b \cdot y) \varphi^a(x-y) \end{aligned} \right.$$



$$\delta^{res} \sigma^a(x; \eta) = 0 \Leftrightarrow \nu = \frac{d}{2} - \Delta$$

With this parametrization and  $\gamma = \alpha/4$ , the conformal transformation matches the AdS isometry!

$$\rightarrow \delta^{conf} \sigma^a(x; \eta) = \delta^{diff} \sigma^a(x; \eta) = -\bar{\delta} x^\mu \partial_\mu \sigma^a(x; \eta) - \bar{\delta} z \partial_z \sigma^a(x; \eta)$$

$$\bar{\delta} x^\mu = \delta x^\mu + z^2 b^\mu, \quad \bar{\delta} z = (\lambda - 2b \cdot x) z$$

# Conformal transformation & AdS isometry

Claim The induced metric becomes the AdS one.

∴ Induced metric is invariant under any conformal transformation

$$\delta^{conf} g_{MN}(X) = \langle \delta^{conf} \hat{g}_{MN}(x; \eta) \rangle = 0$$

On the other hand, the conformal transformation is the same as the AdS isometric one:

$$\delta^{conf} \hat{g}_{MN}(x; \eta) = \delta^{diff} \hat{g}_{MN}(x; \eta)$$

Since the AdS space is a maximally symmetric one,  $g_{MN}(X)$  has to be the AdS metric. Q.E.D.

The normalized smeared operator is written as

$$\sigma^a(x; \eta) = \int d^d y K(X, y) \varphi^a(y) \quad K(X, y) = \frac{\rho_\eta(x-y)}{\sqrt{\langle \phi^2(x; \eta) \rangle}} \propto \left( \frac{z}{z^2 + (x-y)^2} \right)^{d-\Delta}$$

## Comment

This kernel is formally the same as the boundary-to-bulk propagator. [Witten '98]

However, in the flow equation approach, the smeared objects are elementary fields, while in other standard ones smeared objects are singlet or gauge-invariant.

$\Delta < \frac{d}{2}$  : flow equation approach       $d - 1 \leq \Delta$  : standard approach

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# Correlation functions

[Aoki-Balog-Onogi-SY '22]

# Constraint for correlation functions

The specially flowed operator  $\sigma^a(x; \eta)$  enables us to construct composite operators with bulk indices such that it behaves as a (n-th) tensor in holographic space.

$$G_{M_1 M_2 \dots M_n}[\sigma](X)$$

$$G_{M'_1 M'_2 \dots M'_n}[\sigma](X') = \frac{\partial X^{M_1}}{\partial X'^{M'_1}} \frac{\partial X^{M_2}}{\partial X'^{M'_2}} \dots \frac{\partial X^{M_n}}{\partial X'^{M'_n}} G_{M_1 M_2 \dots M_n}[\sigma](X)$$

$X'$ : (finite) isometric transformation of  $X$

**ex** Metric operator  $\hat{g}_{MN}(X)$

**Claim** Correlation functions of operators with bulk indices and primary operators in CFT is severely constrained by conformal symmetry.

$$\begin{aligned} & \langle G_{M'_1 M'_2 \dots M'_n}[\sigma](X') T_{\mu_1 \mu_2 \dots \mu_m}(y') \rangle \\ &= \frac{\partial X^{M_1}}{\partial X'^{M'_1}} \frac{\partial X^{M_2}}{\partial X'^{M'_2}} \dots \frac{\partial X^{M_n}}{\partial X'^{M'_n}} \times J(y)^{-\Delta_T} \frac{\partial y^{\nu_1}}{\partial y'^{\mu_1}} \frac{\partial y^{\nu_2}}{\partial y'^{\mu_2}} \dots \frac{\partial y^{\nu_m}}{\partial y'^{\mu_m}} \\ & \quad \times \langle G_{M_1 M_2 \dots M_n}[\sigma](X) T_{\nu_1 \nu_2 \dots \nu_m}(y) \rangle \end{aligned}$$

where  $J(y) = |\det(\partial_\nu y'^\mu)|^{\frac{1}{d}}$   $y'$ : (finite) conformal transformation of  $y$   $\Delta_T$ : dimension of T

# Correlation functions of smeared operators

A correlation function of a smeared operator and an unsmeared one has fixed position dependence:

$$\langle \sigma^a(x; \eta) \varphi^b(y) \rangle \propto \delta^{ab} \left( \frac{z}{(x-y)^2 + z^2} \right)^\Delta$$

( Cf. In the embedding formalism,  $\langle \sigma^a(x; \eta) \varphi^b(y) \rangle \propto \frac{\delta^{ab}}{(-2\hat{X}(X) \cdot \hat{X}(y))^\Delta}$  )

$$\hat{X}^A(X) = \left( \frac{1}{z}, \frac{z^2 + x^2}{z}, \frac{x^\mu}{z} \right) = \frac{1}{z} \hat{X}^A(x) + (0, z, 0)$$

↑ 'Poincare section'

➔  $\langle \sigma^a(x_1; \eta_1) \sigma^b(x_2; \eta_2) \rangle = \int d^d y K(X_2, y) \langle \sigma^a(x_1; \eta_1) \varphi^b(y) \rangle$

$$\propto \delta^{ab} \int d^d y \left( \frac{z_2}{(x_2 - y)^2 + z_2^2} \right)^{d-\Delta} \left( \frac{z_1}{(x_1 - y)^2 + z_1^2} \right)^\Delta$$

( Cf.  $\propto \sum_{c=1}^N \int d^d y \langle \varphi^a(x_1; \eta_1) | \varphi^c(y) \rangle \langle (\varphi^c)^*(y) | \varphi^b(x_2; \eta_2) \rangle$  )

↑ 'shadow operator'

$$= \frac{\delta^{ab}}{N} {}_2F_1 \left( \frac{\Delta}{2}, \frac{d-\Delta}{2}; \frac{d+1}{2}; 1 - \frac{\mathcal{R}^2}{4} \right)$$

$$\mathcal{R} = \frac{(x_1 - x_2)^2 + z_1^2 + z_2^2}{z_1 z_2} \quad (= -2\hat{X}(X_1) \cdot \hat{X}(X_2)) : \text{SO}(1, d+1) \text{ invariant ratio}$$

**Note** This shows the absence of the contact divergence of 2pt function of smeared operators.



# Bulk reconstruction for excited states

[Aoki-Balog-Onogi-SY '22]

# Bulk construction for excited states?

## ① Model CFT

→ Free O(N) vector model

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→ O(N) singlet state

$$|O(y)\rangle = O(y)|0\rangle \quad O(y) = \frac{1}{N} : (\phi^a)^2 : (y)$$

## ③ Expected excitation of a scalar field $\chi$ in the bulk!?

$$\chi(X) = \langle 0 | \sum_{a=1}^N \sigma^a(x; \eta)^2 | O(y) \rangle$$



Needs to satisfy the (constructed) bulk equation of motion.

$$(\nabla_{AdS}^2 - m^2)\chi(X) = 0?$$

$$\nabla_{AdS}^2 = z^2(\partial_z^2 + \partial^2) - (d-1)z\partial_z, \quad m^2 = \Delta_o(\Delta_o - d)$$

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A correlation function of a smeared operator and the singlet has fixed position dependence:

$$\chi(X) = \left\langle \sum_{a=1}^N \sigma^a(x; \eta)^2 O(y) \right\rangle \propto \left( \frac{z}{(x-y)^2 + z^2} \right)^{\Delta_0} \propto K(X, y) \Big|_{\Delta \rightarrow d - \Delta_0}$$

$\Delta_0 = 2\Delta$ : conformal dimension of  $O$



This satisfies the (constructed) bulk equation of motion!

$$(\nabla_{AdS}^2 - m^2)\chi(X) = 0$$

$$\nabla_{AdS}^2 = z^2(\partial_z^2 + \partial^2) - (d-1)z\partial_z, \quad m^2 = \Delta_0(\Delta_0 - d)$$



To reproduce the GKP-Witten relation, couple to a sufficiently small source field  $J(y)$

**Def.**  $\chi_J(X) := \langle 0 | \sum_{a=1}^N \sigma^a(x; \eta)^2 \int d^d y J(y) O(y) | 0 \rangle$

**Claim.**  $(\nabla_{AdS}^2 - m^2)\chi(X) = 0$  with  $\chi_J(X) \underset{z \sim 0}{\sim} z^{\Delta_0} \langle O(y) \rangle_J$

**Proof.**  $\chi_J(X) \sim \int d^d y J(y) \left( \frac{z}{(x-y)^2} \right)^{\Delta_0} = z^{\Delta_0} \int d^d y J(y) \langle O(x) O(y) \rangle$   
 $= z^{\Delta_0} \langle O(x) e^{\int d^d y J(y) O(y)} \rangle = z^{\Delta_0} \langle O(y) \rangle_J$  **Q.E.D.**

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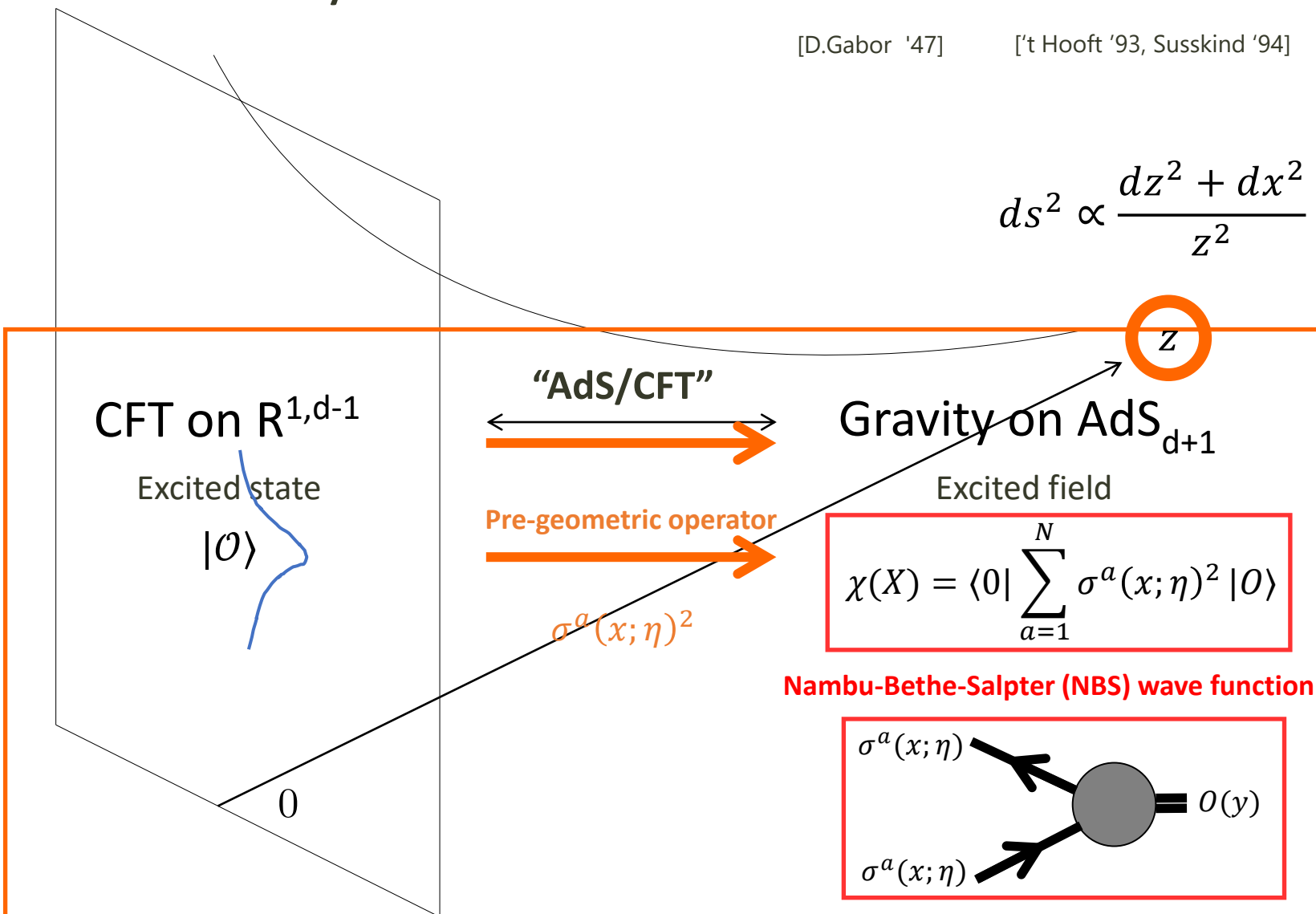
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CFT on  $R^{1,d-1}$

Excited state

$|O\rangle$

0

“AdS/CFT”

Gravity on  $AdS_{d+1}$

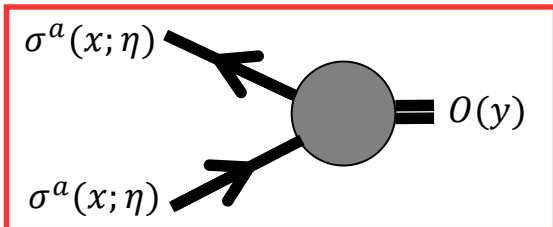
Excited field

Pre-geometric operator

$\sigma^a(x; \eta)^2$

$$\chi(X) = \langle 0 | \sum_{a=1}^N \sigma^a(x; \eta)^2 | 0 \rangle$$

Nambu-Bethe-Salpter (NBS) wave function



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## Summary

- We developed a framework to construct the bulk theory holographically dual to a CFT incorporating **a special flow equation** including excited states.
- A bulk field is realized as **NBS wave function** of a pre-geometric operator and a CFT excited state.
- We succeeded in constructing the dual AdS geometry and a bulk scalar field satisfying the GKP-Witten relation **concurrently**.

## Future directions

- For interacting theory? Double-trace deformation? N=4 SYM?
- Minkowski space? Locality in the bulk? Bulk causality? cf. [Hamilton-Kabat-Lifshitz-Lowe '06]
- 1-loop calculation of dual gravity (higher-spin)? cf. [Giombi-Klebanov '02]...
- Finite temperature? BH?

⋮

**Thank you!**