

# The panorama of Spin Matrix theory

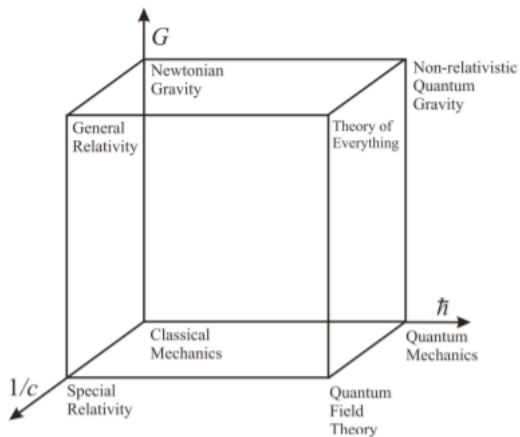
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Work by Stefano Baiguera, Troels Harmark and Nico Wintergerst 2009.03799, 2012.08532, 2111.10149, 2211.16519

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# The theory cube



# AdS/CFT motivation

$\mathcal{N} = 4$  SYM in adjoint of  $SU(N)$  group  $\leftrightarrow$  Type IIB strings in  $AdS_5 \times S^5$ :  
 Believed to be true for all couplings [Maldacena, 1997][Gubser et al, 1998][Witten, 1998]

- ① Planar limit  $N = \infty$  and the power of integrability [Minahan, Zarembo, 2002][Beisert et al, 2003]
- ② Supersymmetric localization [Pestun, 2007]
- ③ Recent microstate counting of supersymmetric  $AdS_5$  black hole [Kim, et al 2018] [Murthy et al, 2018] [Benini, Milan, 2018]

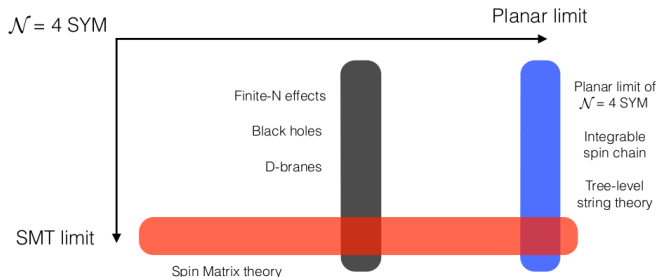
## Problem

- Planar limit: gravity enters as  $1/N$  perturbative corrections  $\Rightarrow$  No access to black holes and D-branes dynamics
- Finite  $N$  but weak coupling: string theory is not geometrical

# Spin Matrix Theory

Controlled finite  $N$  effects (strong coupled dynamics of gravity) and semiclassical geometry: Spin Matrix Theory limits [Harmark, Orselli, 2014].

- Decoupling limits of  $\mathcal{N} = 4$  SYM on  $\mathbb{R} \times S^3 \Rightarrow$  the theory reduces to a subsector with only one-loop contributions of the dilatation operator [Harmark, Orselli, 2006][Harmark, Kristjansson, Orselli, 2006-07]
- Approach unitarity (BPS) bounds
- Understand how quantum gravity gets simplified in non-relativistic limit (as expansions of  $c^{-1}$ )



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# Contents of $\mathcal{N} = 4$ SYM

The set of letters of  $\mathcal{N} = 4$  SYM

- 6 independent gauge components  $F_{\pm,0}, \bar{F}_{\pm,0}$
- 6 complex scalars  $Z, W, X, \bar{Z}, \bar{W}, \bar{X}$
- 16 complex fermions  $\chi_i, \bar{\chi}_i, i = 1, \dots, 8$
- 4 components of covariant derivatives  $d_{1,2}$  and  $\bar{d}_{1,2}$

The letters are specified by dimension  $D_0$ ,  $SO(4)$  spin  $(S_1, S_2)$  and R-charges  $(Q_1, Q_2, Q_3)$ . The BPS letters are those satisfying

$$D_0 = S_1 + S_2 + Q_1 + Q_2 + Q_3$$

## BPS letters

Letter	$SO(4)[S_1, S_2]$	Name in 0510251	$Q = \frac{1}{2}(Q_1 + Q_2)$	$Q_3$	$D_0$
$Z$	$[0, 0]$	$Z$	$\frac{1}{2}$	0	1
$X$	$[0, 0]$	$X$	$\frac{1}{2}$	0	1
$W$	$[0, 0]$	$Y$	0	1	1
$A$	$[1, 1]$	$F_{++}$	0	0	2
$\chi_1$	$[\frac{1}{2}, -\frac{1}{2}]$	$\psi_{0,+,+++}$	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{3}{2}$
$\chi_2$	$[-\frac{1}{2}, \frac{1}{2}]$	$\psi_{0,-,+++}$	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{3}{2}$
$\bar{\chi}_3$	$[\frac{1}{2}, \frac{1}{2}]$	$\psi_{+,0,-++}$	0	$\frac{1}{2}$	$\frac{3}{2}$
$\bar{\chi}_5$	$[\frac{1}{2}, \frac{1}{2}]$	$\psi_{+,0,+ - +}$	0	$\frac{1}{2}$	$\frac{3}{2}$
$\bar{\chi}_7$	$[\frac{1}{2}, \frac{1}{2}]$	$\psi_{+,0,+-}$	$\frac{1}{2}$	$-\frac{1}{2}$	$\frac{3}{2}$
$d_1$	$[1, 0]$	$\partial_{++}$	0	0	1
$d_2$	$[0, 1]$	$\partial_{+-}$	0	0	1

## Dirac equation

$$d_1 \chi_2 - d_2 \chi_1 = 0$$



# Decoupling

Assume  $(\omega_1, \omega_2, \Omega_1, \Omega_2, \Omega_3) = (n_1\Omega, n_2\Omega, n_3\Omega, n_4\Omega, n_5\Omega)$  are the chemical potentials conjugate to the angular momenta and R-charges. The critical values are denoted as  $(n_1, n_2, n_3, n_4, n_5)$ , which is reached when  $\Omega \rightarrow 1$ . The critical overall charge is then  $J \equiv n_1 S_1 + n_2 S_2 + n_3 Q_1 + n_4 Q_2 + n_5 Q_3$ . We are interested in sectors  $D_0 = J$ . The partition function is

$$\begin{aligned} Z &= \text{Tr}[e^{-\beta D + \beta \Omega J}] \\ &= \text{Tr}[e^{-\beta(D_0 - J) + \beta(1 - \Omega)J - \beta\lambda D_2 + \mathcal{O}(\lambda^{\frac{3}{2}})}] \end{aligned}$$

Take the following limit (decoupling limit)

$$\beta \rightarrow \infty, \quad \Omega \rightarrow 1, \quad \lambda \rightarrow 0, \quad \tilde{\beta} = \beta(1 - \Omega), \quad \beta\lambda \text{ fixed}$$

Then the effective partition function is just

$$Z = \text{Tr}_{D_0=J}[e^{-\tilde{\beta}(D_0 + \tilde{\lambda}D_2)}]$$

i.e. Only one-loop correction survives in the decoupling limit.

# Non-relativistic

Recall that a known fact from special relativity is

$$E = \sqrt{m_0^2 c^4 + p^2 c^2} = m_0^2 c^2 + \frac{p^2}{2m_0} + \mathcal{O}(c^{-2})$$

The Newtonian mechanics is about the dynamics at the order  $c^0$ . A well-defined effective theory. The analogy in  $\mathcal{N} = 4$  SYM is that we denote the classical conformal dimension by  $D_0$ . In the presence of weak interaction parametrized by 't Hooft coupling  $\lambda$ , the conformal dimension will receive the quantum correction

$$D = D_0 + \lambda D_2 + \dots$$

where  $D_2$  is the one loop correction. We can decouple the higher order of Feynman diagram corrections the same as we do for non-relativistic mechanics.

Spin Matrix theory [Harmark, Orselli, 2014]

Constructing  $D_2$ ; as its letters carry both matrix indices from  $SU(N)$  and spin group indices from subgroup of  $PSU(2, 2|4)$ .

# Magnon example

The dispersion of a single magnon in  $\mathcal{N} = 4$  SYM is [\[Beisert, 2005\]](#)

$$E - Q = \sqrt{1 + \frac{\lambda}{\pi^2} \sin^2 \frac{p}{2}} - 1$$

Take the small momenta limit, we have

$$E - Q \approx \sqrt{1 + \frac{\lambda p^2}{4\pi^2}} - 1$$

In the SMT decoupling limit,  $\lambda \rightarrow 0$ , this becomes

$$E - Q = \frac{\lambda p^2}{8\pi^2}$$

# Zoo of decoupling limits

Without going to the details, it has been explored by [Harmark et al, 2007] to find all the possible decoupling limits. Except the trivial  $U(1)$  decoupling limit, there are 12 nontrivial decoupling limits

- There are letters  $D_0 = J$
- All the letters satisfy  $D_0 \geq J$

The compact subsectors are [Harmark, Orselli, 2014; Baiguera, Harmark, YL, 2021]

- $SU(2)$  limit,  $\vec{n} = (0, 0, 1, 1, 0)$ . Letter:  $Z, X$ .
- $SU(1|1)$  limit ( $XX_{\frac{1}{2}}$  Heisenberg spin chain),  $\vec{n} = (\frac{2}{3}, 0, 1, \frac{2}{3}, \frac{2}{3})$ . Letter:  $Z, \chi_1$
- $SU(1|2)$  limit ( $t - J$  model),  $\vec{n} = (\frac{1}{2}, 0, 1, 1, \frac{1}{2})$ . Letter:  $Z, X, \chi_1$  [Beisert, Staudacher, 2005]
- $SU(2|3)$  limit,  $\vec{n} = (0, 0, 1, 1, 1)$ . Letter:  $Z, X, W, \chi_1, \chi_2$

# Zoo of decoupling limits

Non-compact  $SU(1, 1)$  kind subsectors are [Baiguera, Harmark, YL, Wintergerst, 2020, 2021]

- Bosonic  $SU(1, 1)$  limit ( $XXX_{-\frac{1}{2}}$  Heisenberg model),  $\vec{n} = (1, 0, 1, 0, 0)$ . Letter:  $d_1^n Z$ .
- Fermionic  $SU(1, 1)$  limit,  $\vec{n} = (1, 0, \frac{2}{3}, \frac{2}{3}, \frac{2}{3})$ . Letter:  $d_1^n \chi_1$ .
- $SU(1, 1|1)$  limit,  $\vec{n} = (1, 0, 1, \frac{1}{2}, \frac{1}{2})$ , Letters  $d_1^n Z, d_1^n \chi_1$
- $PSU(1, 1|2)$  limit,  $\vec{n} = (1, 0, 1, 1, 0)$ . Letters:  $d_1^n Z, d_1^n X, d_1^n \chi_1, d_1^n \bar{\chi}_7$

Non-compact  $SU(1, 2)$  kind subsectors are [Baiguera, Harmark, YL, Wintergerst, 2020, 2022]

- $SU(1, 2)$  limit,  $\vec{n} = (1, 1, 0, 0, 0)$ . Letter:  $d_1^n d_2^k A$
- $SU(1, 2|1)$  limit,  $\vec{n} = (1, 1, \frac{1}{2}, \frac{1}{2}, 0)$ . Letter:  $d_1^n d_2^k A, d_1^n d_2^k \bar{\chi}_7$
- $SU(1, 2|2)$  limit,  $\vec{n} = (1, 1, 1, 0, 0)$ . Letter:  $d_1^n d_2^k A, d_1^n d_2^k Z, d_1^n d_2^k \chi_1, d_1^n d_2^k \bar{\chi}_7$
- $PSU(1, 2|3)$  limit,  $\vec{n} = (1, 1, 1, 1, 1)$ . Letter:  $d_1^n d_2^k A, d_1^n d_2^k Z, d_1^n d_2^k W, d_1^n d_2^k X, d_1^n d_2^k \chi_{1,2}, d_1^n d_2^k \bar{\chi}_{3,5,7}$

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# Hamiltonian

Effectively, we want to derive the Hamiltonian as

$$H = \frac{1}{N} \sum_{i,j,m,n} U_{mn}^{ij} a_m^\dagger a_n^\dagger a_i a_j$$

Beisert proposed

$$H = -\frac{1}{N} C_{CD}^{AB} : \text{Tr}[W_A, \check{W}^C][W_B, \check{W}^D] :$$

# Sectors with effective (1+1)-dimensional theories

Focus on BPS bounds

$$H \geq S_1 + \sum_{i=1}^3 \omega_i Q_i$$

- $S_1, S_2$  Cartan generators for rotations on  $S^3$
- $Q_i$  Cartan generators of  $SU(4)$  R-symmetry group
- $\omega_i$  chemical potentials characterizing the bound

Spin Matrix Theory limit

$$\lambda \rightarrow 0, \quad H_2 = \frac{H - S_1 - \sum_{i=1}^3 \omega_i Q_i}{\lambda} \text{ finite,} \quad N \text{ fixed}$$

Sectors	Combination of $SU(4)$ Cartan charges $\sum_{i=1}^3 \omega_i Q_i$
SU(1, 1) bosonic	$Q_1$
SU(1, 1) fermionic	$\frac{2}{3}(Q_1 + Q_2 + Q_3)$
SU(1, 1 1)	$Q_1 + \frac{1}{2}(Q_2 + Q_3)$
PSU(1, 1 2)	$Q_1 + Q_2$



# SU(1, 1) subsector

General procedure:

- Isolate the propagating modes in a given near-BPS limit from the quadratic classical Hamiltonian
- Derive the form of the current which couple to the gauge field from the  $\mathcal{N} = 4$  SYM action – of order  $\lambda$
- Integrate out additional non-dynamical modes giving rise to effective interactions in a given near-BPS limit
- Derive the interacting Hamiltonian from

$$H_{\text{int}} = \lim_{g \rightarrow 0} \frac{H - S_1 - \sum_{i=1}^3 \omega_i Q_i}{g^2 N}$$

$$H_{\text{int}} = \frac{1}{2N} \sum_{l=1}^{\infty} \frac{1}{l} \text{tr} \left( q_l^\dagger q_l \right)$$

where we defined scalar block as

$$q_l = \sum_{n=0}^{\infty} [\Phi_n^\dagger, \Phi_{n+l}]$$

# SU(1, 1|1)

The full Hamiltonian of SU(1, 1) bosonic sector is

$$H = L_0 + \frac{\tilde{g}^2}{2N} \sum_{l=0}^{\infty} \frac{1}{l} \text{tr} \left( q_l^\dagger q_l \right)$$

For SU(1, 1|1) subsector including bosons and fermions,

$$H_{\text{int}} = \frac{1}{2N} \sum_{l=0}^{\infty} \frac{1}{l} \text{tr} \left( \hat{q}_l^\dagger \hat{q}_l \right) + \frac{1}{2N} \sum_{l=0}^{\infty} \text{tr} \left( F_l^\dagger F_l \right)$$

where

$$\hat{q}_l = q_l + \tilde{q}_l$$

$$\tilde{q}_l = \sum_{n=0}^{\infty} \sqrt{\frac{n+1}{n+l+1}} \{ \psi_n^\dagger, \psi_{n+l} \}$$

$$F_l = \sum_{n=0}^{\infty} \frac{[\psi_{n+l}, \Phi_m^\dagger]}{\sqrt{n+l+1}}$$

# SU(1, 2) Hamiltonian

Letters:  $A, d_1, d_2$

The block is

$$q_{l, \Delta\mu} \equiv \sum_{\mu_1, \mu_2} \sum_{s_2=0}^{\infty} C_{\frac{s_2}{2}, \frac{\mu_2}{2}; \frac{l}{2}, \frac{\Delta\mu}{2}}^{\frac{s_2+l}{2}, \frac{\mu_2+\Delta\mu}{2}} \sqrt{\frac{(s_2+1)(s_2+2)}{(s_2+l+1)(s_2+l+2)}} [A_{s_2\mu_2}^\dagger, A_{s_2+l, \mu_2+\Delta\mu}]$$

The final Hamiltonian is then

$$H_{\text{int}} = \sum_{l=1}^{\infty} \sum_{\Delta\mu=-l}^l \frac{1}{l} \text{tr}(q_{l, \Delta\mu}^\dagger q_{l, \Delta\mu})$$

# Overall Hamiltonian in SU(1, 2|2)

Summing all the interactions, we find

$$\begin{aligned}
 H_{\text{int}} &= \frac{1}{2N} \sum_{l=1}^{\infty} \sum_{\Delta\mu=-l}^l \frac{1}{l} \text{tr} \left( \mathbf{Q}_{l,\Delta\mu}^\dagger \mathbf{Q}_{l,\Delta\mu} \right) \\
 &+ \frac{1}{2N} \sum_{a=2,3} \sum_{l=0}^{\infty} \sum_{\Delta\mu=-l}^l \text{tr} \left( (F_a^\dagger + K_a^\dagger)_{l,\Delta\mu} (F^a + K^a)_{l,\Delta\mu} \right) \\
 &+ \frac{1}{2N} \sum_{l=0}^{\infty} \sum_{\Delta\mu=-l}^l \text{tr} \left( W_{l,\Delta\mu}^\dagger W_{l,\Delta\mu} \right)
 \end{aligned}$$

where

$$\mathbf{Q}_{l,\Delta\mu} \equiv q_{l,\Delta\mu} + \tilde{q}_{l,\Delta\mu} + \mathbf{q}_{l,\Delta\mu}$$

# Blocks

$$q_{l, \Delta\mu} \equiv \sum_{s_2=0}^{\infty} \sum_{\mu_2=-s_2}^{s_2} C_{\frac{s_2}{2}, \frac{\mu_2}{2}; \frac{l}{2}, \frac{\Delta\mu}{2}}^{\frac{s_2+l}{2}, \frac{\mu_2+\Delta\mu}{2}} [\Phi_{s_2\mu_2}^\dagger, \Phi_{s_2+l, \mu_2+\Delta\mu}]$$

$$\tilde{q}_{l, \Delta\mu} \equiv \sum_{a=1,2} \sum_{s_2=0}^{\infty} \sum_{\mu_2=-s_2}^{s_2} C_{\frac{s_2}{2}, \frac{\mu_2}{2}; \frac{l}{2}, \frac{\Delta\mu}{2}}^{\frac{s_2+l}{2}, \frac{\mu_2+\Delta\mu}{2}} \sqrt{\frac{s_2+1}{s_2+l+1}} \{(\zeta_a^\dagger)_{s_2\mu_2}, (\zeta^a)_{s_2+l, \mu_2+\Delta\mu}\}$$

$$q_{l, \Delta\mu} \equiv \sum_{\mu_1, \mu_2} \sum_{s_2=0}^{\infty} C_{\frac{s_2}{2}, \frac{\mu_2}{2}; \frac{l}{2}, \frac{\Delta\mu}{2}}^{\frac{s_2+l}{2}, \frac{\mu_2+\Delta\mu}{2}} \sqrt{\frac{(s_2+1)(s_2+2)}{(s_2+l+1)(s_2+l+2)}} [A_{s_2\mu_2}^\dagger, A_{s_2+l, \mu_2+\Delta\mu}]$$

$$(F^a)_{l, \Delta\mu} \equiv \sum_{s_2=0}^{\infty} \sum_{\mu_2=-s_2}^{s_2} C_{\frac{l}{2}, \frac{\Delta\mu}{2}; \frac{s_2}{2}, \frac{\mu_2}{2}}^{\frac{s_2+l}{2}, \frac{\mu_2+\Delta\mu}{2}} \epsilon^{ab} \frac{[(\zeta^b)_{s_2+l, \mu_2+\Delta\mu}, \Phi_{s_2\mu_2}^\dagger]}{\sqrt{s_2+l+1}}$$

$$(K^a)_{l, \Delta\mu} \equiv \sum_{s_2=0}^{\infty} \sum_{\mu_2=-s_2}^{s_2} \sqrt{\frac{s_2+1}{(s_2+l+1)(s_2+l+2)}} C_{\frac{l}{2}, \frac{\Delta\mu}{2}; \frac{s_2}{2}, \frac{\mu_2}{2}}^{\frac{s_2+l}{2}, \frac{\mu_2+\Delta\mu}{2}} [(\zeta_a^\dagger)_{s_2, \mu_2}, A_{s_2+l, \mu_2+\Delta\mu}]$$

$$W_{l, \Delta\mu} = \sum_{s_2=0}^{\infty} \sum_{\mu_2=-s_2}^{s_2} \sqrt{\frac{l+1}{(s_2+l+1)(s_2+l+2)}} C_{\frac{s_2}{2}, \frac{\mu_2}{2}; \frac{l}{2}, \frac{\Delta\mu}{2}}^{\frac{s_2+l}{2}, \frac{\mu_2+\Delta\mu}{2}} [\Phi_{s_2\mu_2}^\dagger, A_{s_2+l, \mu_2+\Delta\mu}]$$

# Representations of SU(1, 2)

Like the global symmetry of  $\mathcal{N} = 4$  SYM, the algebra can be represented by oscillators

$$[\mathbf{a}_\alpha, \mathbf{a}_\beta^\dagger] = \delta_{\alpha\beta}, \quad [\mathbf{b}_{\dot{\alpha}}, \mathbf{b}_{\dot{\beta}}^\dagger] = \delta_{\dot{\alpha}\dot{\beta}}, \quad \{\mathbf{c}_a^\dagger, \mathbf{c}_b\} = \delta_{ab}$$

Such that

$$\begin{aligned} L_0 &= \frac{1}{2}(1 + \mathbf{a}_1^\dagger \mathbf{a}_1 + \mathbf{b}_1^\dagger \mathbf{b}_1), & L_1 &= \mathbf{a}_1^\dagger \mathbf{b}_1^\dagger, & L_{-1} &= \mathbf{a}_1 \mathbf{b}_1 \\ \tilde{L}_0 &= \frac{1}{2}(1 + \mathbf{a}_2^\dagger \mathbf{a}_2 + \mathbf{b}_1^\dagger \mathbf{b}_1), & \tilde{L}_1 &= \mathbf{a}_2^\dagger \mathbf{b}_1^\dagger, & \tilde{L}_{-1} &= \mathbf{a}_2 \mathbf{b}_1 \\ J_+ &= \mathbf{a}_1^\dagger \mathbf{a}_2, & J_- &= \mathbf{a}_2^\dagger \mathbf{a}_1 \end{aligned}$$

There are a few merits using this

- $L_1, \tilde{L}_1$  are  $d_1, d_2$  operations respectively. Two spatial directions are treated equally.
- If  $\tilde{L}_{0,\pm}$  is turned off, we can reacquire the algebra SU(1, 1) of subsectors. They are ghost like
- The descendants are labelled by  $(n, k)$  symmetrically.

## Classification of $(p, q)$ representations

Like SU(1, 1) shown in the notes, we need to understand how the generators act on a given state and preserve the unitarity. Recall SU( $N$ ) has  $N - 1$  independent Casimir operators. Thus we need

$$C_2 = -1 - x_1x_2 - x_2x_3 - x_3x_1 = p + q + \frac{1}{3}(p^2 + pq + q^2)$$

$$C_3 = x_1x_2x_3 = \frac{1}{27}(p - q)(p + 2q + 3)(q + 2p + 3)$$

Remind the Casimir of SU(1, 1) is

$$C = -j(j - 1)$$

- Principle representation:  $p, q$  can be complex (analogous to continuous)
- $p$ -series:  $p$  is integer while  $q$  is not
- $q$ -series:  $q$  is integer while  $p$  is not
- $(p + q)$ -series: Neither of  $p, q$  is integer but  $p + q$  is
- Integer series:  $p, q \in \mathbb{Z}$
- Supplementary series

# Representations

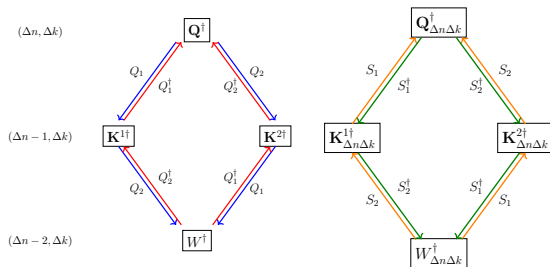
- **Gauge field.** The gauge field  $\bar{F}_+$  is parametrized by  $(p, q) = (0, 0)$  representation.
- **Fermion:** The fermions  $\bar{\chi}_{5,7}$  are parametrized by  $(p, q) = (0, -1)$  representation.
- **Scalar:** The scalar  $Z$  is parametrized by  $(p, q) = (0, -2)$  representation.



# Symmetry actions

What about blocks?

- The block  $\mathbf{Q}_{nk}^\dagger$  is parametrized by  $(p, q) = (0, -3)$  representation. This is a representation of fermion!
- The fermionic block  $\mathbf{K}_{nk}^{a\dagger} = (K_{nk}^{a\dagger} + F_{nk}^{a\dagger})$  are parametrized by  $(p, q) = (0, -2)$  representation.
- The scalar block  $W_{nk}^\dagger$  is parametrized by  $(p, q) = (0, -1)$  representation.



The blocks and letters in  $SU(1, 2|2)$  are forming  $\mathcal{N} = 2$  vector multiplets.

## SU(2|3) subsector

The decoupling condition:  $H_0 = Q_1 + Q_2 + Q_3$

There are three scalars  $\Phi_{1,2,3}$  and two chiral fermions  $\chi_{1,2}$  in this sector. The full SMT Hamiltonian by spherical reduction is

$$H_{\text{int}} = \frac{1}{4N} \text{tr} \left( [\Phi_b^\dagger, \Phi_a^\dagger] [\Phi_a, \Phi_b] \right) + \frac{1}{4N} \text{tr} \left( \{\chi_\beta^\dagger, \chi_\alpha^\dagger\} \{\chi_\alpha, \chi_\beta\} \right) \\ + \frac{1}{2N} \text{tr} \left( [\Phi_a^\dagger, \chi_\beta^\dagger] [\chi_\beta, \Phi_a] \right)$$

### D/F term

D-term means

$$[W^\dagger, W][W^\dagger, W]$$

while F-term means

$$[W, W][W^\dagger, W^\dagger]$$

The Hamiltonian in this subsector are made by F-terms.

## PSU(1, 1|2) subsector

The quantum version of the Hamiltonian was obtained in [Bellucci, Casteill, 06].

We have decoupling limit  $H_0 = S_1 + Q_1 + Q_2$ .

The letters are two scalars  $\Phi_{1,2}$ , a chiral fermion  $\chi_1 = \psi_1$  and antichiral fermion  $\bar{\chi}_7 = \psi_2$ , including their descendants generated by  $d_1$ .

$$\begin{aligned}
 H_{\text{int}} = & H_B + \frac{1}{N} \sum_{l=1}^{\infty} \frac{1}{l} : \text{tr} \left( Q_l^\dagger Q_l \right) : + \frac{1}{N} \sum_{l=0}^{\infty} : \text{tr} \left( (F_{ab})_l^\dagger (F_{ab})_l \right) : \\
 & - \frac{1}{N} \sum_{l=0}^{\infty} \sum_{m,n=0}^{\infty} \frac{1}{m+n+l+1} : \text{tr} \left( \epsilon^{ac} \epsilon^{bd} [(\Phi_a^\dagger)_m, (\Phi_b)_{m+l}] [(\Phi_c^\dagger)_{n+l}, (\Phi_d)_n] \right) : \\
 & + \frac{1}{N} \sum_{l=0}^{\infty} \sum_{m,n=0}^{\infty} \frac{\sqrt{(m+1)(n+1)}}{\sqrt{(m+l+1)(n+l+1)}} \frac{\text{tr} \left( \epsilon^{ac} \epsilon^{bd} \{(\psi_a^\dagger)_m, (\psi_b)_{m+l}\} \{(\psi_c^\dagger)_{n+l}, (\psi_d)_n\} \right)}{m+n+l+2} : \\
 & + \frac{1}{N} \sum_{l=0}^{\infty} \sum_{m,n=0}^{\infty} \sqrt{\frac{m+1}{n+l+1}} \frac{\epsilon^{ac} \epsilon^{bd}}{m+n+l+2} : \text{tr} \left( [(\psi_a^\dagger)_m, (\Phi_b)_{m+l+1}] [(\psi_c^\dagger)_{n+l}, (\Phi_d)_n] \right) : \\
 & - \frac{1}{N} \sum_{l=0}^{\infty} \sum_{m,n=0}^{\infty} \sqrt{\frac{m+1}{n+l+1}} \frac{\epsilon^{ac} \epsilon^{bd}}{m+n+l+2} : \text{tr} \left( [(\Phi_a^\dagger)_{m+l+1}, (\psi_b)_m] [(\Phi_c^\dagger)_n, (\psi_d)_{n+l}] \right) :,
 \end{aligned}$$

# Positiveness

Although the Hamiltonian is tedious, we can show

$$\hat{Q}^\dagger = \sum_{m,n=0}^{\infty} \left[ \frac{1}{\sqrt{n+1}} \text{tr} \left( [(\Phi_a^\dagger)_{m+n+1}, (\Phi_a)_m] (\psi_2)_n \right) + \sqrt{\frac{m+1}{(n+1)(m+n+2)}} \text{tr} \left( \{(\psi_1^\dagger)_{m+n+1}, (\psi_1)_m\} (\psi_2)_n \right) \right. \\ \left. + \frac{1}{2} \sqrt{\frac{m+n+2}{(m+1)(n+1)}} \text{tr} \left( \{(\psi_2^\dagger)_{m+n+1}, (\psi_2)_m\} (\psi_2)_n \right) - \frac{1}{2\sqrt{m+n+1}} \epsilon^{ab} \text{tr} \left( (\psi_1^\dagger)_{m+n} [(\Phi_a)_m, (\Phi_b)_n] \right) \right]$$

We can then show

$$\{\hat{Q}, \hat{Q}^\dagger\} = H_{\text{int}}$$

The cubic supercharges are from the extra PSU(1|1)<sup>2</sup> symmetry of this subsector [Beisert, Zwiebel, 2007]

## Representation

How can the positiveness be manifest as square of blocks?

# F-term problem

We define

$$J_L = \sum_{n=0}^L [\Phi_{L-n}^1, \Phi_n^2]$$

and  $L = m + n + l$  We can show

$$\begin{aligned} & -\frac{1}{2N} \sum_{l=0}^{\infty} \sum_{m,n=0}^{\infty} \frac{1}{m+n+l+1} \text{tr} (\epsilon^{ac} \epsilon^{bd} [(\Phi_a^\dagger)_m, (\Phi_b)_{m+l}] [(\Phi_c^\dagger)_{n+l}, (\Phi_d)_n]) \\ &= \sum_{L=0}^{\infty} \frac{1}{L+1} \text{tr}(J_L^\dagger J_L) \end{aligned}$$

To derive this we need to use the Jacobi identity

$$\text{tr}([\Phi_a, \Phi_b][\Phi_b^\dagger, \Phi_a^\dagger]) = \text{tr}([\Phi_b, \Phi_a^\dagger][\Phi_a, \Phi_b^\dagger]) - \text{tr}([\Phi_a, \Phi_a^\dagger][\Phi_b, \Phi_b^\dagger])$$

Then PSU(1, 1|2) symmetry generator action:

$$(L_+)_D J_L^\dagger = (L+1)J_{L+1}^\dagger, \quad (L_+)_D J_L = -(L+1)J_{L-1}$$

# Question

In the known case, we have achieved some manifest symmetry structure for two of the 1/8-BPS subsector:

- 1  $SU(1, 2|2)$  block as  $\mathcal{N} = 2$  vector multiplet
- 2  $SU(2|3)$  block as three  $\mathcal{N} = 1$  chiral multiplet

But we do not know how to organize the Hamiltonian of PSU(1, 1|2).

- Why PSU(1, 1|2) is not that manifestly positive definite?
- What is the PSU(1, 2|3) sector would be like?

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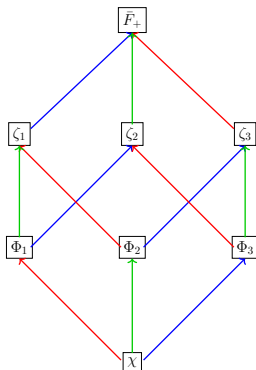
# PSU(1, 2|3) letters

PSU(1, 2|3) limit,  $\vec{n} = (1, 1, 1, 1, 1)$ . Letter:

$d_1^n d_2^k A$ ,  $d_1^n d_2^k Z$ ,  $d_1^n d_2^k W$ ,  $d_1^n d_2^k X$ ,  $d_1^n d_2^k \chi_{1,2}$ ,  $d_1^n d_2^k \bar{\chi}_{3,5,7}$

Also  $d_1 \chi_2 - d_2 \chi_1 = 0$  due to Dirac equation. We then define the ancestor fermion such that

$$\chi_1 = d_1 \chi, \quad \chi_2 = d_2 \chi$$





# Cubic supercharges

We want to construct a few cubic supercharges which could result in  $H = \{Q^\dagger, Q\}$ .

$$T_A = \sum_{n,k,n',k'=0}^{\infty} P_{n,k,n',k'}^{(i,j)} \text{tr}([V_{n,k}^\dagger \tilde{V}_{n',k'}^\dagger] \hat{V}_{n+n',k+k'}),$$

with

$$P_{n,k,n',k'}^{(i,j)} = \sqrt{\frac{(k+n+i-1)!(k'+n'+j-1)!(n+n')!(k+k')!}{(k+k'+n+n'+i+j-1)!n!k!n'!k'!}}.$$

We want to have some constraints

- For example: each ingredient is invariant under bosonic symmetry.

$$\{L_+, T_A\}_D = \{L_-, T_A\}_D = \{J_+, T_A\}_D = 0$$

- $\{Q, Q_a\} = 0$ .

# Explicit $T_A$ charges

$T_A$  invariant under bosonic symmetry.

$$T_1 = \frac{1}{2} \sum_{n,k,n',k'=0}^{\infty} P_{n,k,n',k'}^{(0,0)} \text{tr}(\chi_{n,k}^\dagger \{\chi_{n',k'}^\dagger, \chi_{n+n',k+k'}\})$$

$$T_2 = \sum_{n,k,n',k'=0}^{\infty} P_{n,k,n',k'}^{(0,1)} \delta^{ab} \text{tr}(\chi_{n,k}^\dagger [(\Phi_a^\dagger)_{n',k'}, (\Phi_b)_{n+n',k+k'}])$$

$$T_3 = \sum_{n,k,n',k'=0}^{\infty} P_{n,k,n',k'}^{(0,2)} \delta^{ab} \text{tr}(\chi_{n,k}^\dagger \{(\zeta_a^\dagger)_{n',k'}, (\zeta_b)_{n+n',k+k'}\})$$

$$T_4 = \sum_{n,k,n',k'=0}^{\infty} P_{n,k,n',k'}^{(0,3)} \text{tr}(\chi_{n,k}^\dagger [A_{n',k'}^\dagger, A_{n+n',k+k'}])$$

$$T_5 = \frac{1}{2} \sum_{n,k,n',k'=0}^{\infty} P_{n,k,n',k'}^{(1,1)} \epsilon^{abc} \text{tr}([( \Phi_a^\dagger )_{n,k}, ( \Phi_b^\dagger )_{n',k'}] (\zeta_c)_{n+n',k+k'})$$

$$T_6 = \sum_{n,k,n',k'=0}^{\infty} P_{n,k,n',k'}^{(1,2)} \delta^{ab} \text{tr}([( \Phi_a^\dagger )_{n,k}, (\zeta_b^\dagger)_{n',k'}] A_{n+n',k+k'})$$

$Q$  invariant under supersymmetry:

$$Q = T_1 + T_2 + T_3 + T_4 + T_5 - T_6$$

Let's compute

$$H = \{Q^\dagger, Q\}$$

We get

$$H_{\text{int}} = H_D + H_F ,$$

$$H_D = \sum_{n,k=0}^{\infty} \text{tr} \left[ (\mathcal{B}_0^\dagger)_{n,k} (\mathcal{B}_0)_{n,k} + \sum_{a=1}^3 \sum_{I=1,2} (\mathcal{B}_I^{a\dagger})_{n,k} (\mathcal{B}_I^a)_{n,k} + (\mathcal{B}_3^\dagger)_{n,k} (\mathcal{B}_3)_{n,k} \right] ,$$

$$H_F = \sum_{n,k=0}^{\infty} \text{tr} \left[ (\mathcal{F}_0^\dagger)_{n,k} (\mathcal{F}_0)_{n,k} + \sum_{a=1}^3 \sum_{I=1,2} (\mathcal{F}_I^{a\dagger})_{n,k} (\mathcal{F}_I^a)_{n,k} + (\mathcal{F}_3^\dagger)_{n,k} (\mathcal{F}_3)_{n,k} \right] ,$$

## D-term blocks

$$\begin{aligned}
(\mathcal{B}_0)_{n,k} &= \sum_{n',k'=0}^{\infty} P_{n,k;n',k'}^{(0,0)} \{ \chi_{n',k'}^\dagger, \chi_{n+n',k+k'} \} \\
&+ \sum_{a=1}^3 P_{n,k;n',k'}^{(0,1)} [(\Phi_a^\dagger)_{n',k'}, (\Phi_a)_{n+n',k+k'}] \\
&+ \sum_{a=1}^3 P_{n,k;n',k'}^{(0,2)} \{ (\zeta_a^\dagger)_{n',k'}, (\zeta_a)_{n+n',k+k'} \} + P_{n,k;n',k'}^{(0,3)} [A_{n',k'}^\dagger, A_{n+n',k+k'}] , \\
(\mathcal{B}_1^a)_{n,k} &\equiv \sum_{n',k'=0}^{\infty} P_{n,k;n',k'}^{(1,1)} \epsilon^{abc} [(\zeta_b)_{n+n',k+k'}, (\Phi_c^\dagger)_{n',k'}] \\
&- P_{n,k;n',k'}^{(1,2)} [(\zeta_a^\dagger)_{n',k'}, A_{n+n',k+k'}] + P_{n,k;n',k'}^{(1,0)} [(\Phi_a)_{n+n',k+k'}, \chi_{n',k'}^\dagger] , \\
(\mathcal{B}_2^a)_{n,k} &\equiv \sum_{n',k'=0}^{\infty} P_{n,k;n',k'}^{(2,1)} [(\Phi_a^\dagger)_{n',k'}, A_{n+n',k+k'}] + P_{n,k;n',k'}^{(2,0)} \{ (\zeta_a)_{n+n',k+k'}, \chi_{n',k'}^\dagger \} , \\
(\mathcal{B}_3)_{n,k} &\equiv \sum_{n',k'=0}^{\infty} P_{n,k;n',k'}^{(3,0)} [A_{n+n',k+k'}, \chi_{n',k'}^\dagger] .
\end{aligned}$$

## F-term blocks

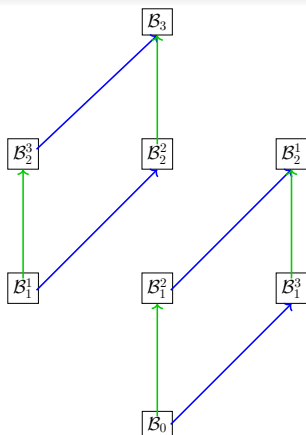
$$(\mathcal{F}_0)_{n,k} \equiv \frac{1}{2} \sum_{n'=0}^n \sum_{k'=0}^k P_{n',k';n-n',k-k'}^{(0,0)} \{ \chi_{n-n',k-k'}, \chi_{n',k'} \},$$

$$(\mathcal{F}_1^a)_{n,k} \equiv \sum_{n'=0}^n \sum_{k'=0}^k P_{n',k';n-n',k-k'}^{(0,1)} [(\Phi_a)_{n-n',k-k'}, \chi_{n',k'}],$$

$$(\mathcal{F}_2^a)_{n,k} \equiv \frac{1}{2} \sum_{n'=0}^n \sum_{k'=0}^k P_{n',k';n-n',k-k'}^{(1,1)} \epsilon^{abc} [(\Phi_c)_{n',k'}, (\Phi_b)_{n-n',k-k'}] \\ + P_{n',k';n-n',k-k'}^{(0,2)} \{ (\zeta_a)_{n-n',k-k'}, \chi_{n',k'} \},$$

$$(\mathcal{F}_3)_{n,k} \equiv \sum_{n'=0}^n \sum_{k'=0}^k P_{n',k';n-n',k-k'}^{(1,2)} [(\zeta_a)_{n-n',k-k'}, (\Phi_a)_{n',k'}] \\ - P_{n',k';n-n',k-k'}^{(0,3)} [A_{n-n',k-k'}, \chi_{n',k'}].$$

# Symmetry structure



All the block  $B_I$ ,  $\mathcal{F}_I$  and letters  $W_I$  are transforming in the  $(p, q) = (0, I - 3)$  representations of SU(1, 2) algebra,  $I = 0, 1, 2, 3$ .

# Puzzles in $\text{PSU}(1, 1|2)$

We will have two  $\mathcal{N} = 2$  hypermultiplets in  $\text{PSU}(1, 1|2)$  subsector. One in terms of D-term blocks while the other one is like F-term blocks.

# Summary: New physics in each subsector

- SU(2|3):  $\chi_{1,2}, Z, W, X$ , the fermionic doublet block  $\{\chi_1, \chi_2\}$  and scalar block, pure F-term
- SU(1, 1|1), telescopic sum, pure D-term  $\text{tr}(q_l^\dagger q_l)$ , infinite modes
- PSU(1, 1|2)  $\times$  SU(2)<sub>F</sub>:  $\chi_1, \bar{\chi}_7, Z, X, d_1$ , infinite modes+ F-term, fermionic doublet block? SU(2)<sub>F</sub> automorphism; Simultaneous presence of D-term and F-term supermultiplets
- SU(1, 2|2) :  $F, \Phi, \bar{\chi}_{5,7}, d_{1,2}$ , new  $[A, \Phi]$  blocks
- PSU(1, 2|3):  $F, Z, W, X, \chi_{1,2}, \bar{\chi}_{3,5,7}, d_{1,2}$ ,
  - 1 Dirac equation leads to ancestor fermion
  - 2 Simultaneous presence of D-term and F-term supermultiplets. Enhanced supersymmetry!



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# Some future work

- Local field theory in  $SU(1, 2)$  subsector [Baiguera, Harmark, Lei, 2023] and relation to chiral algebra?
- Relation to the work  $SU(1, D)$  field theory: [Lambert, Mouland, Orchard, 2022]
- $\frac{1}{16}$ -BPS black hole interpretation
- Understanding how Kerr/CFT appears holographically based on  $AdS_5/CFT_4$  (Based on [Goldstein, Jejjala, Lei, Leuven, Li, 2019]).

$$PSU(1, 2|3) \rightarrow PSU(1, 1|2)$$

- Factorization of partition function with finite  $N$ ?
- Relation to strings in TNC gravity [Harmark, Hartong, Obers, Yan, 2018, 2021]